



**R. Ganter**

Photocathodes for SwissFEL

16.06.2021



# Outline

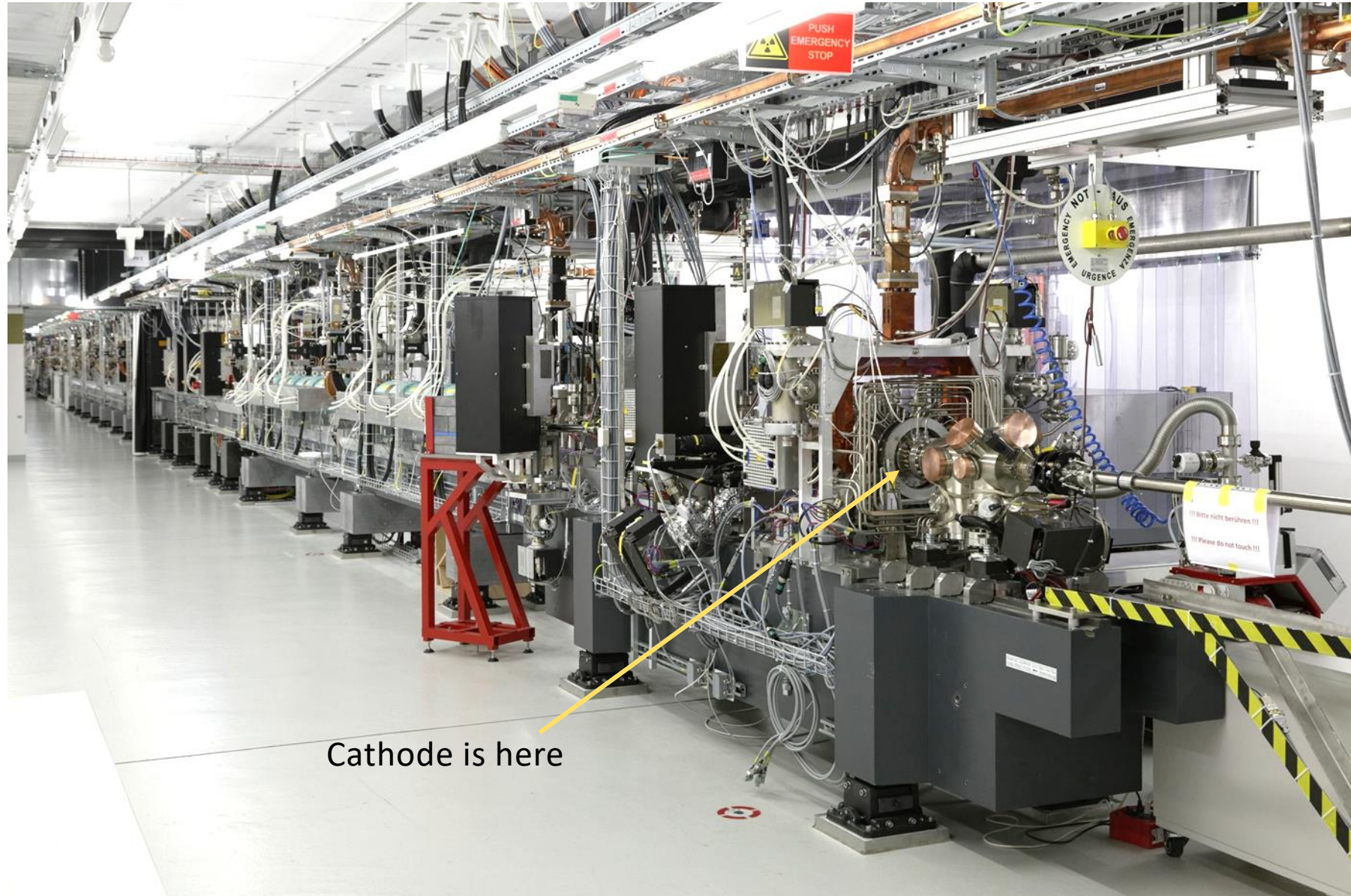
- Photocathode substrate and coating
- QE Performance



# Outline

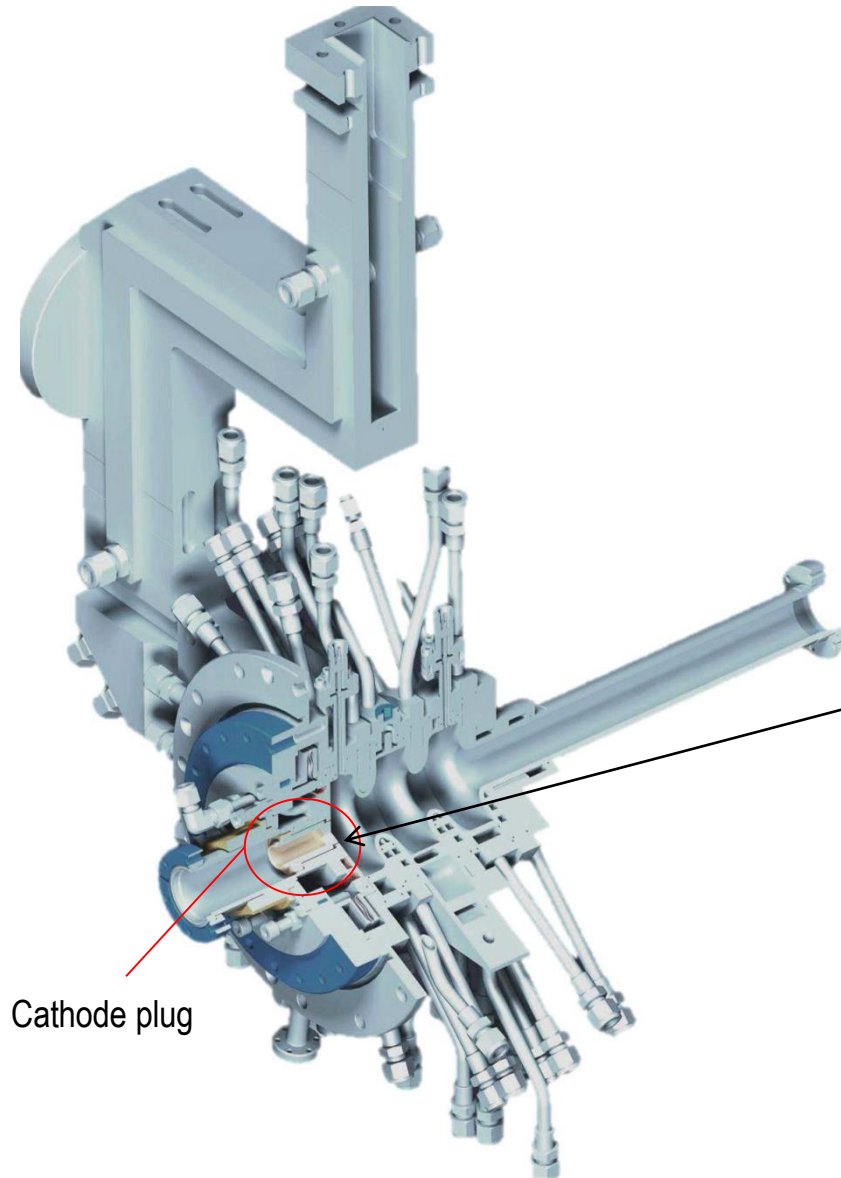
- Photocathode substrate and coating
- QE Performance

# SwissFEL Electron Gun and Loadlock



Cathode is here

# SwissFEL RF Photoinjector

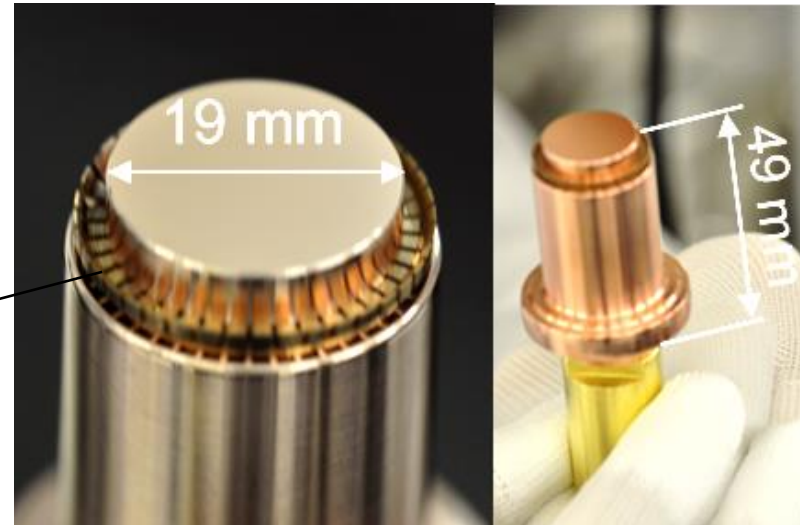


Cathode plug

## SwissFEL RF Photoinjector:

S band, 2.5 Cell; 7 MeV;

100 MV/m; 100 Hz; 2\*200 pC

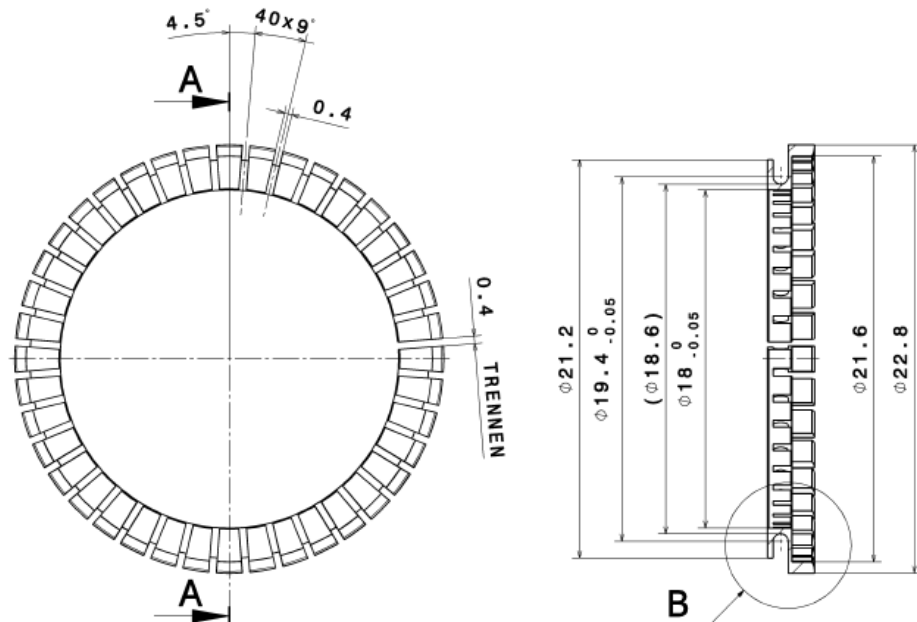
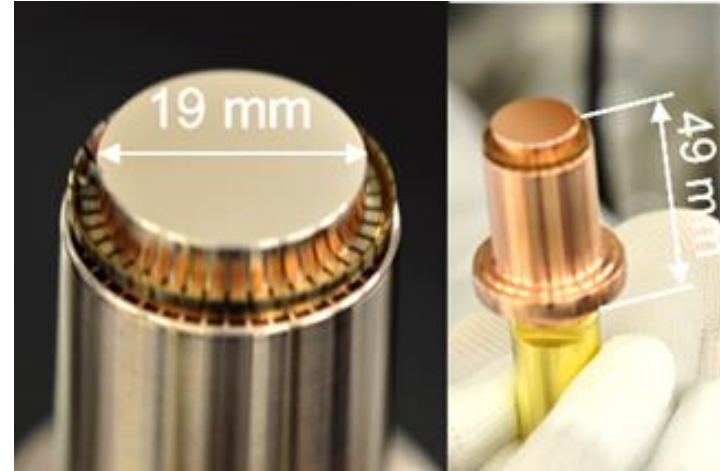


Exchangeable cathode plug(\*)

(\*) CERN design: CLIC Note 303 (1996)

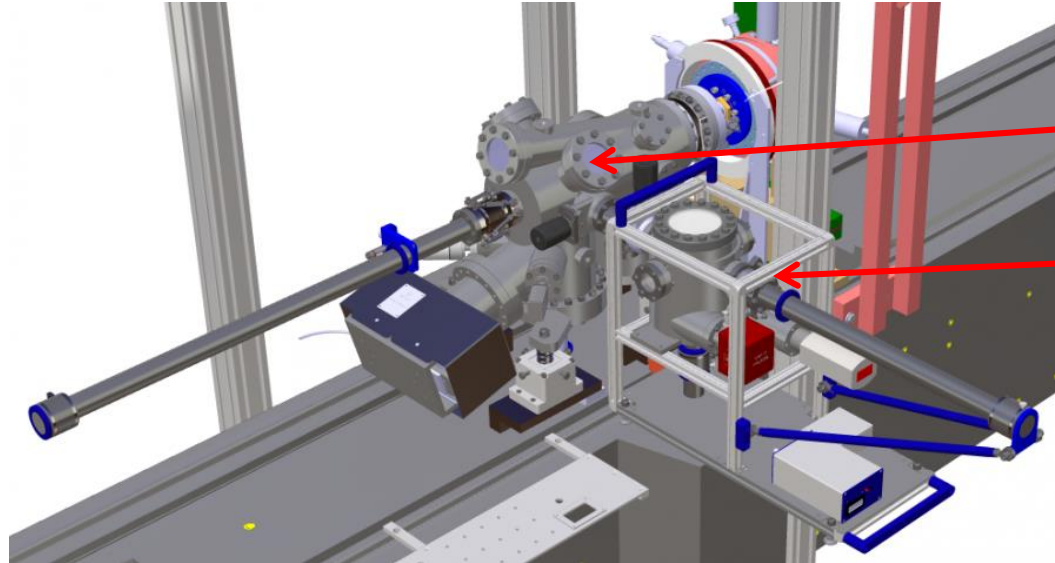
**SwissFEL Photocathode Material:**

- **Copper OFE:**
  - 99.99 % purity (< 5 ppm O<sub>2</sub>);
  - 3D Forged (grain size < 90um)
- RF spring **CuBe<sub>2</sub>** (tempered at 315° C for 3 hours)



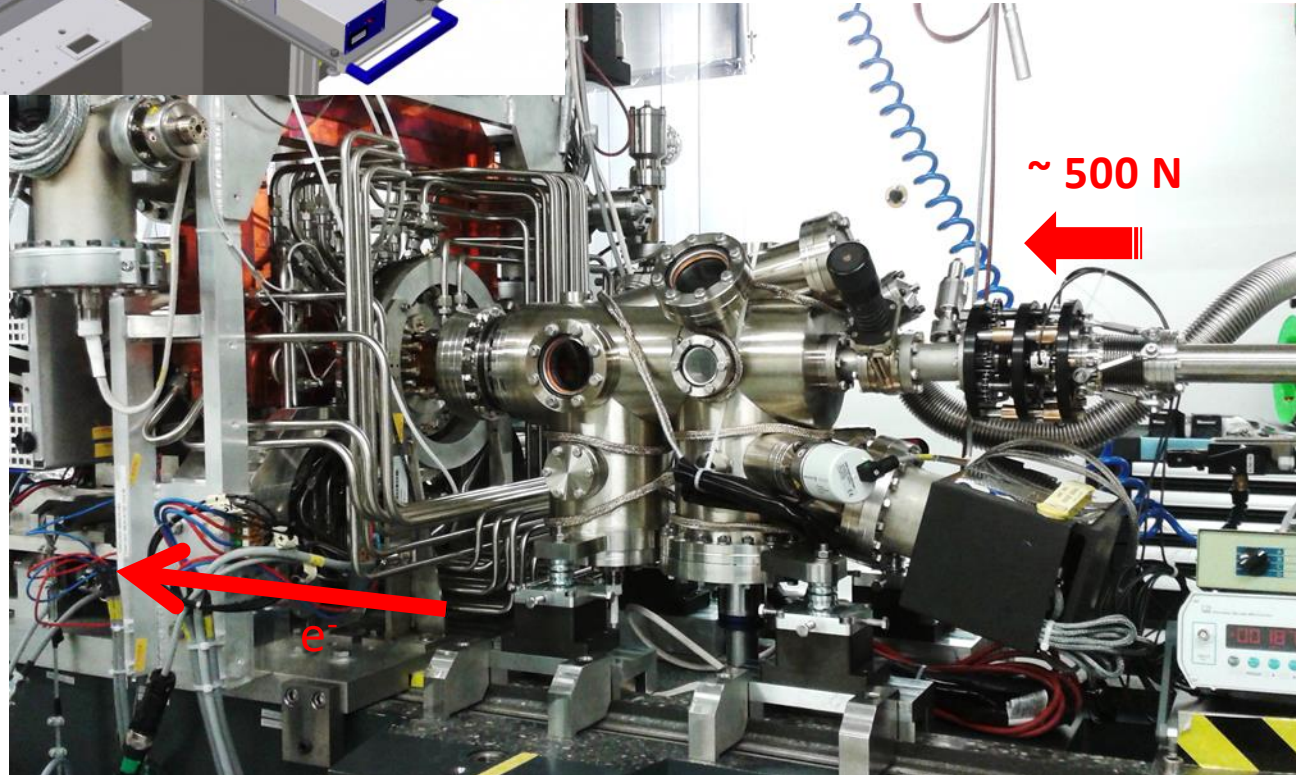
Gun Dark Current ~ 11 pC (100 MV/m)  
(measurement courtesy of P. Craievich)

# Loadlock chamber behind RF gun



Loadlock chamber

Vacuum suitcase

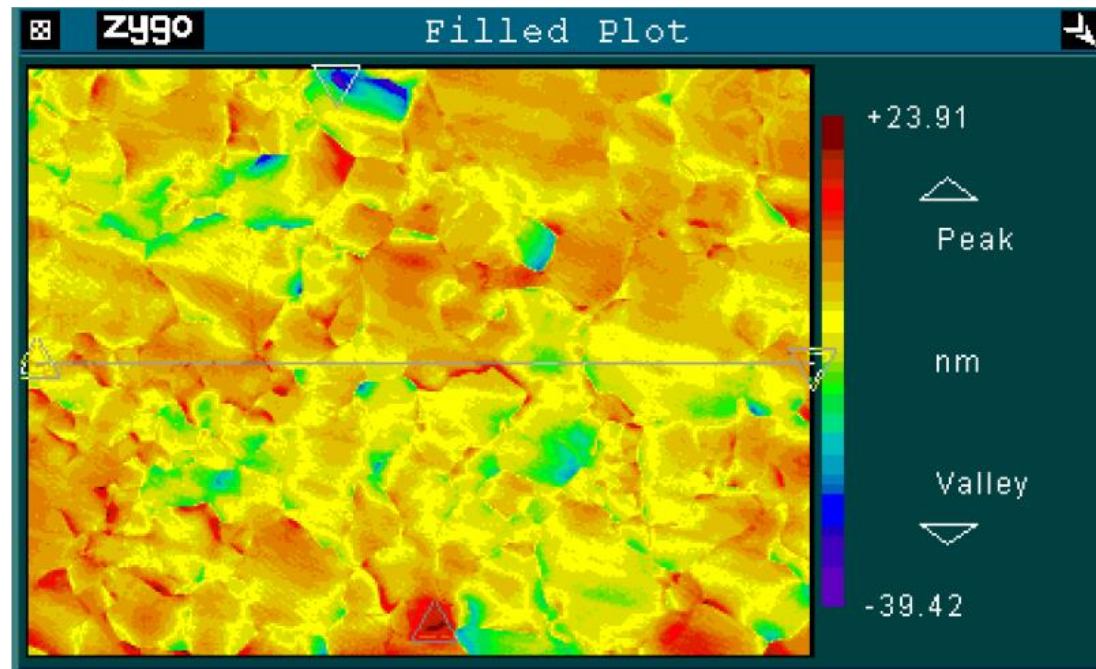


~ 500 N

e-

# Photocathode surface preparation

1. Surface Finish by Diamond turning (LT Ultra):  
 **$R_a = 5 \text{ nm rms}$**
2. Ultrasound cleaning to remove contaminants  
& *Chemical etching to remove oxide layer.*
3. **Vacuum Annealing at  $250^\circ \text{ C}$**  for 10 h to desorb water before coating.

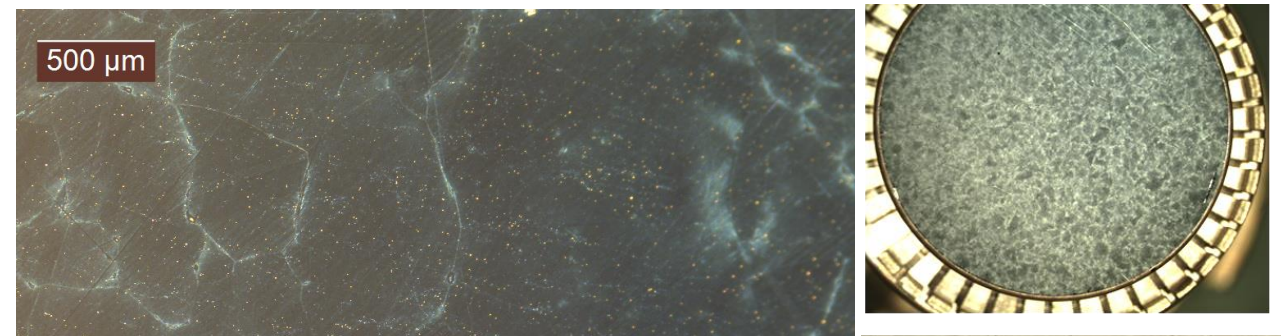


2.8 mm

Grain Size: 300  $\mu\text{m}$

$R_a \sim 5 \text{ nm}$

Height Peak to Valley  $\sim 30 \text{ nm}$



Copper Quantum Efficiency (with this method)  
 $\Rightarrow \text{QE}_{\text{Cu}} \sim 10^{-4}$



## Copper substrate

Forged OFE copper (less inclusions, 10 ppm impurities)  
Ultra precision diamond turning (Ra ~ 3 nm)



## Ultrasonic Cleaning

Soap > Water > Acetone > Alcohol



## Annealing

10 hours at 250 C  
At 1e-9 mbar



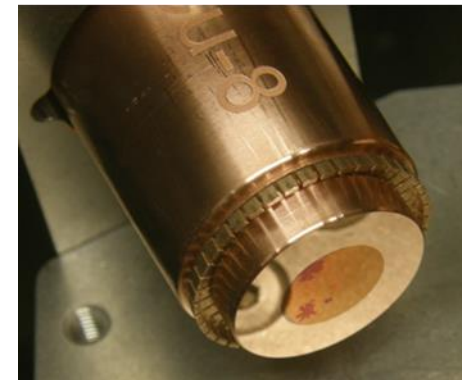
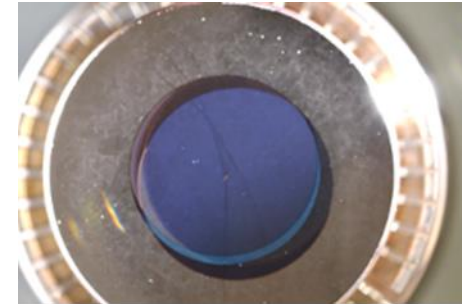
## Cu Photocathode

QE<sub>initial</sub> ~ 10<sup>-4</sup>



## Cs<sub>2</sub>Te Deposition (\*):

- Cathode plug heated to 110 deg C
- **Evaporation of 15 nm Te**
- **Evaporation of 25 nm Cs**
- pressure stays below 1e-8 mbar during evaporation
  - QE<sub>initial</sub> ~ 1 %



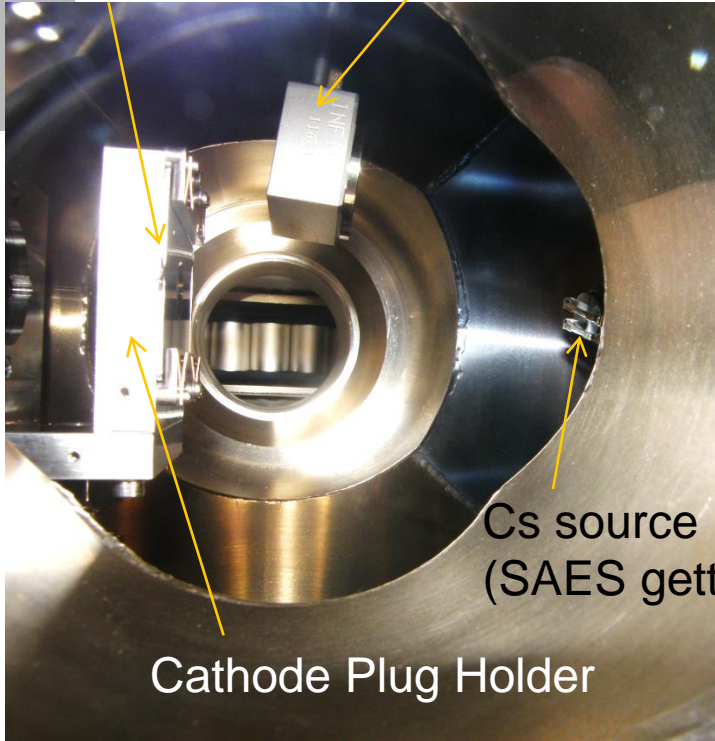
*SwissFEL Photocathodes*

(\*): recipe from CERN: CERN - CLIC Note 299 – E. Chevallay

# Cs<sub>2</sub>Te Deposition

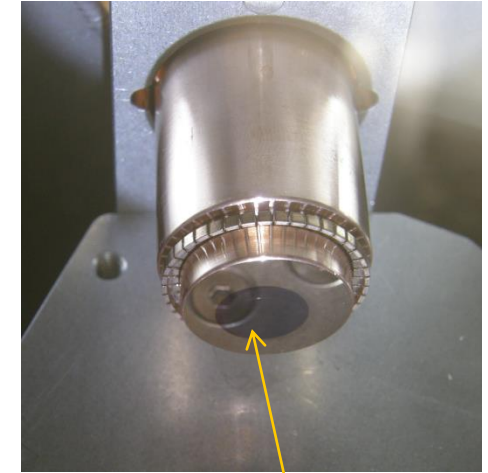
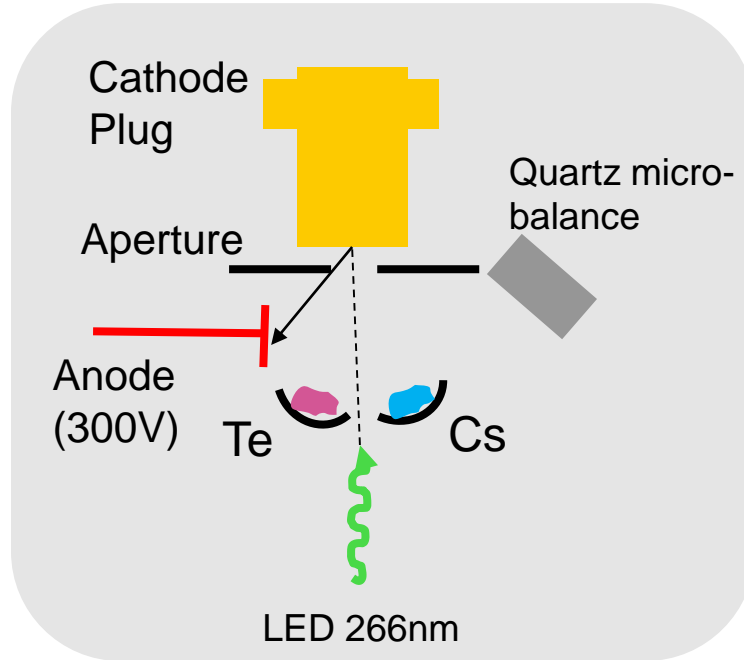
Aperture (in front of cathode)

Quartz micro-balance



Cs source (SAES getter)

Cathode Plug Holder



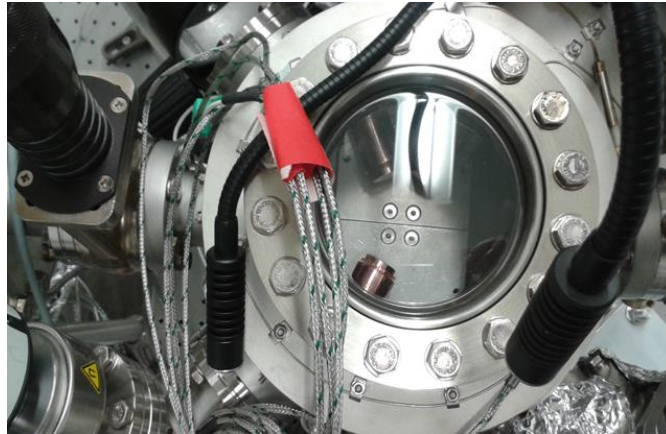
Cs<sub>2</sub>Te layer (∅=1cm; 40 nm)



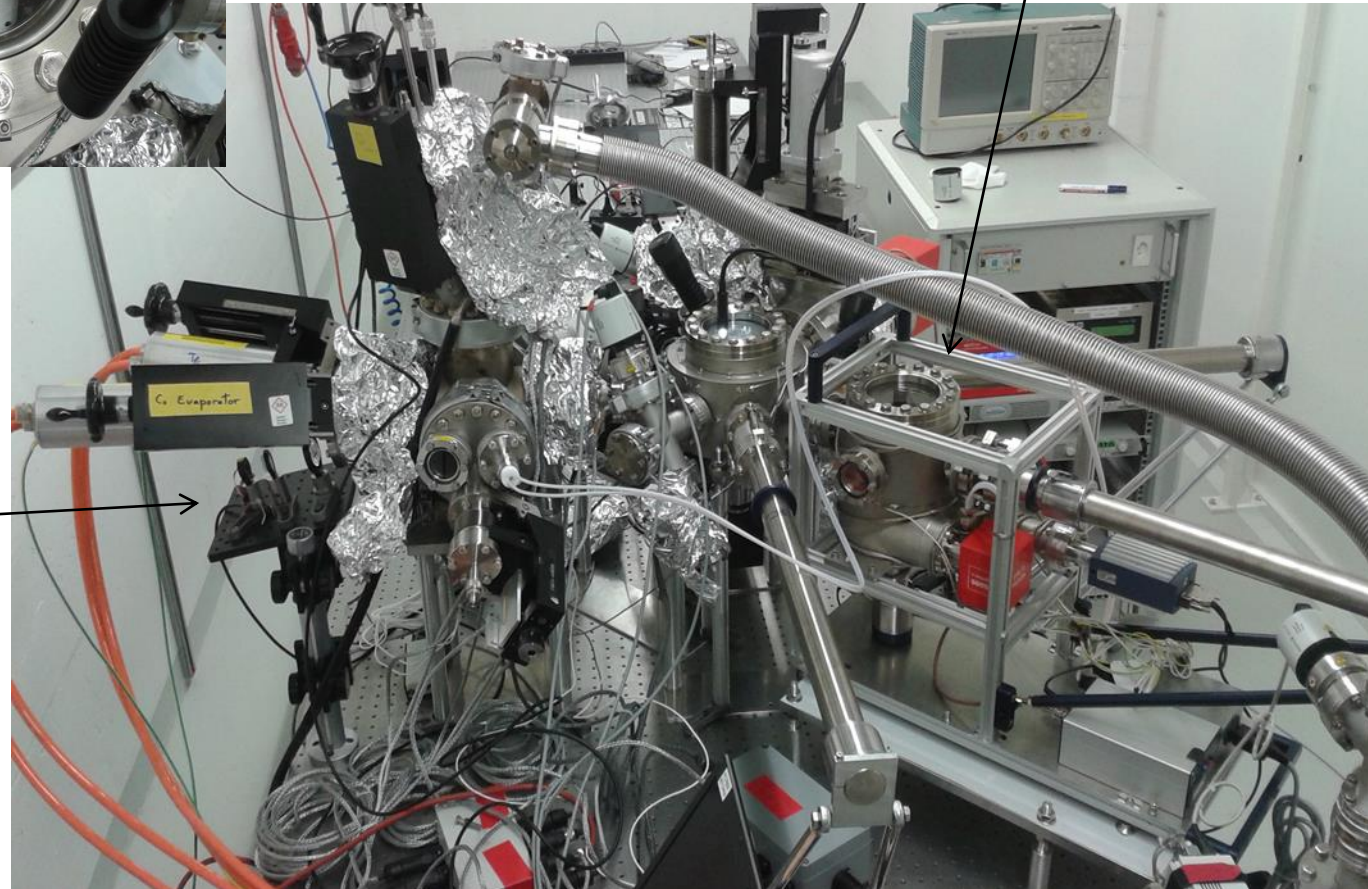
SAES alkali metal dispensers

- **successive deposition of Te and then Cs**  
(recipe from CERN: CERN - CLIC Note 299 – E. Chevallay)
- **Coevaporation of Cs and Te**

# SwissFEL Cathode Preparation system



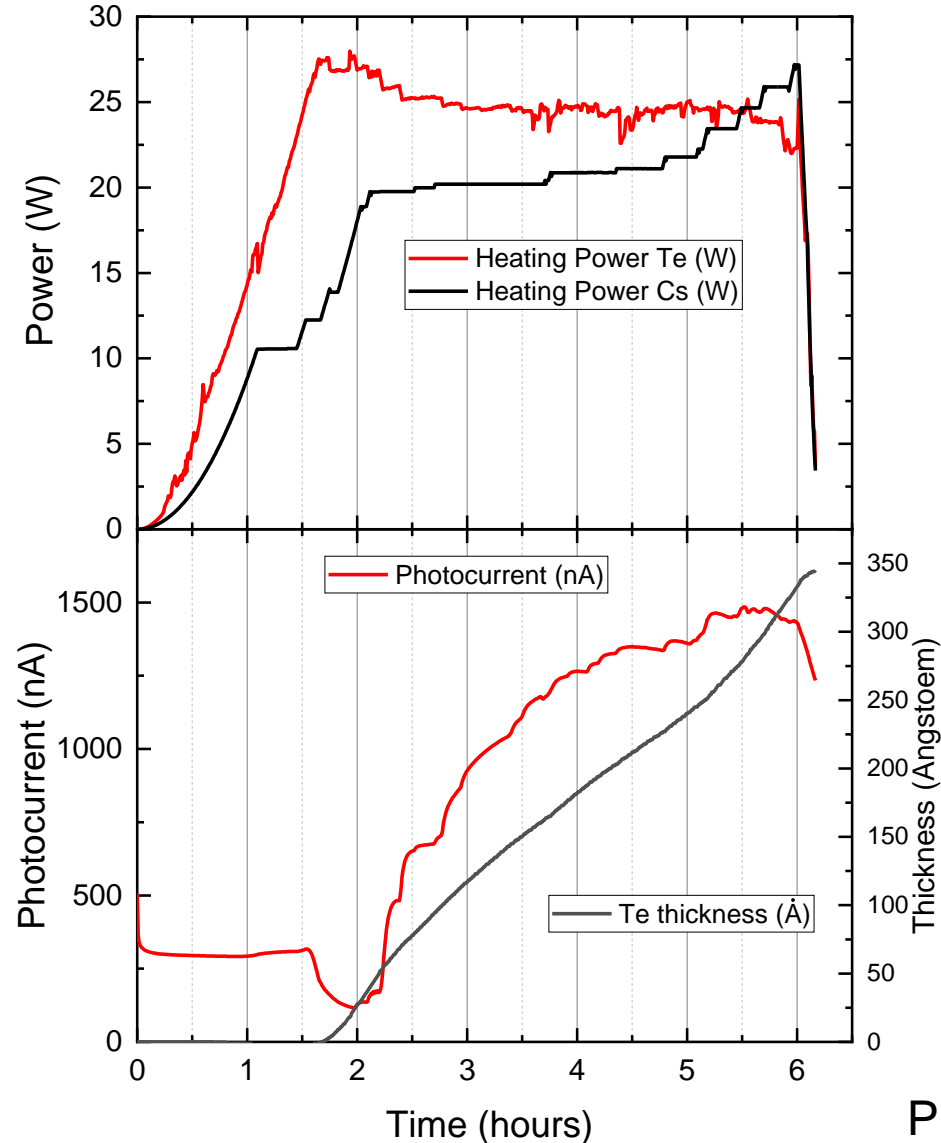
Vacuum Suitcase



266nm LED  
for QE monitoring

# Cs<sub>2</sub>Te co-evaporation on Cu Plug

Co-evaporation Cs and Te on Cu\_28; V<sub>anode</sub>=100V  
- 17.05.2018

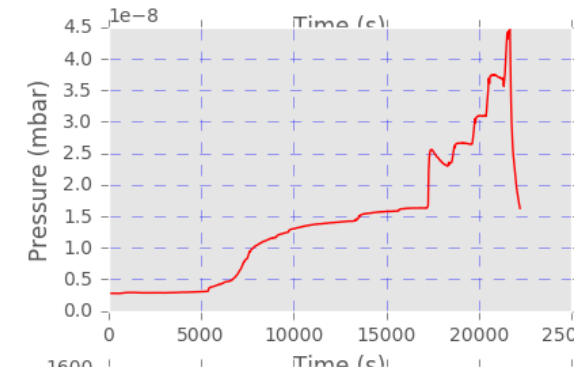


## Recipe:

- Cu plug annealed 10 h at 250 deg C
- Co-evaporation while monitoring photocurrent

## Difficulty:

- Control of stoichiometry  
(**Cs source heats Te source !**)
- No independent Cs thickness monitoring



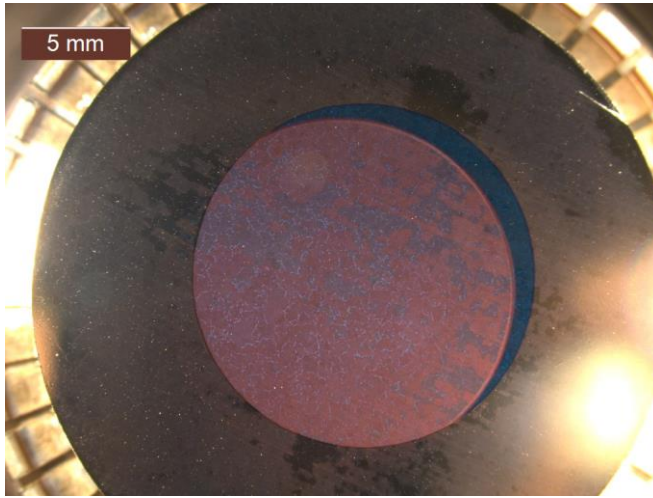
Pressure rises from 10<sup>-10</sup> to 10<sup>-8</sup> mbar



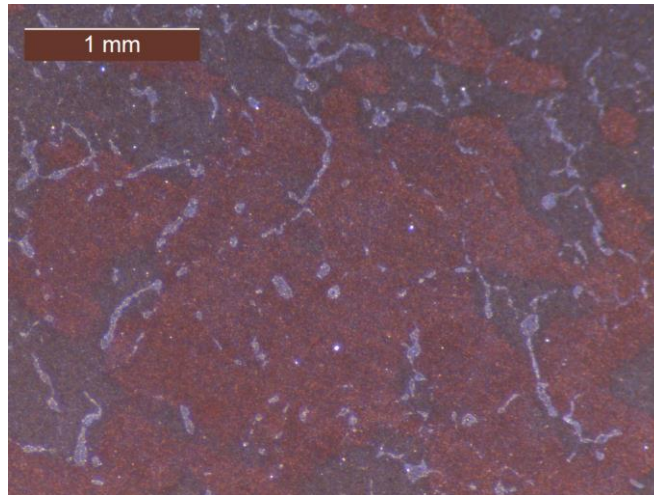
# Outline

- Photocathode substrate and coating
- QE Performance

# Example of Photocathode Cu\_25 currently in SwissFEL



- Successive deposition (**September 2015**) :  
15 nm Te + 24.3 nm Cs
- QE in the laboratory: 3%

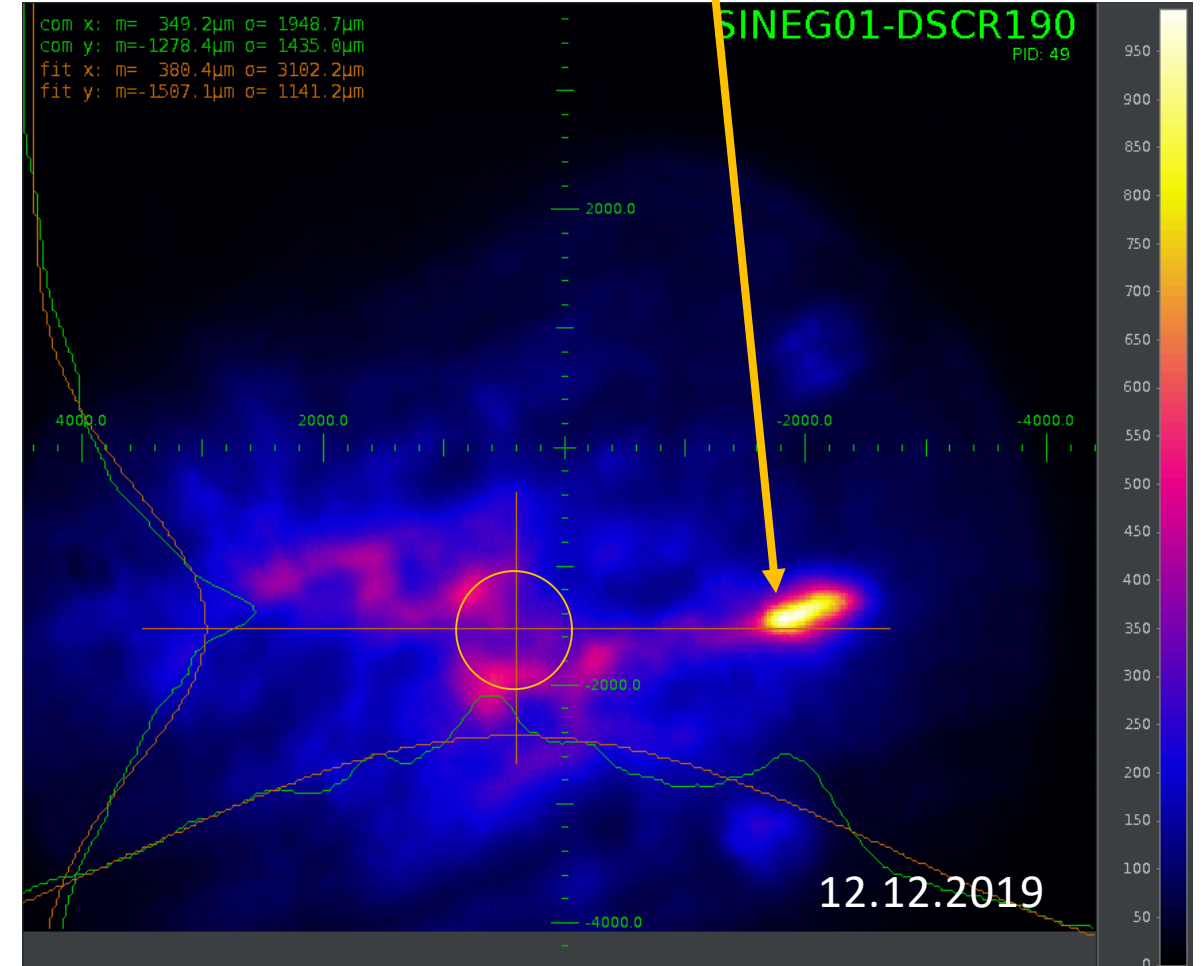
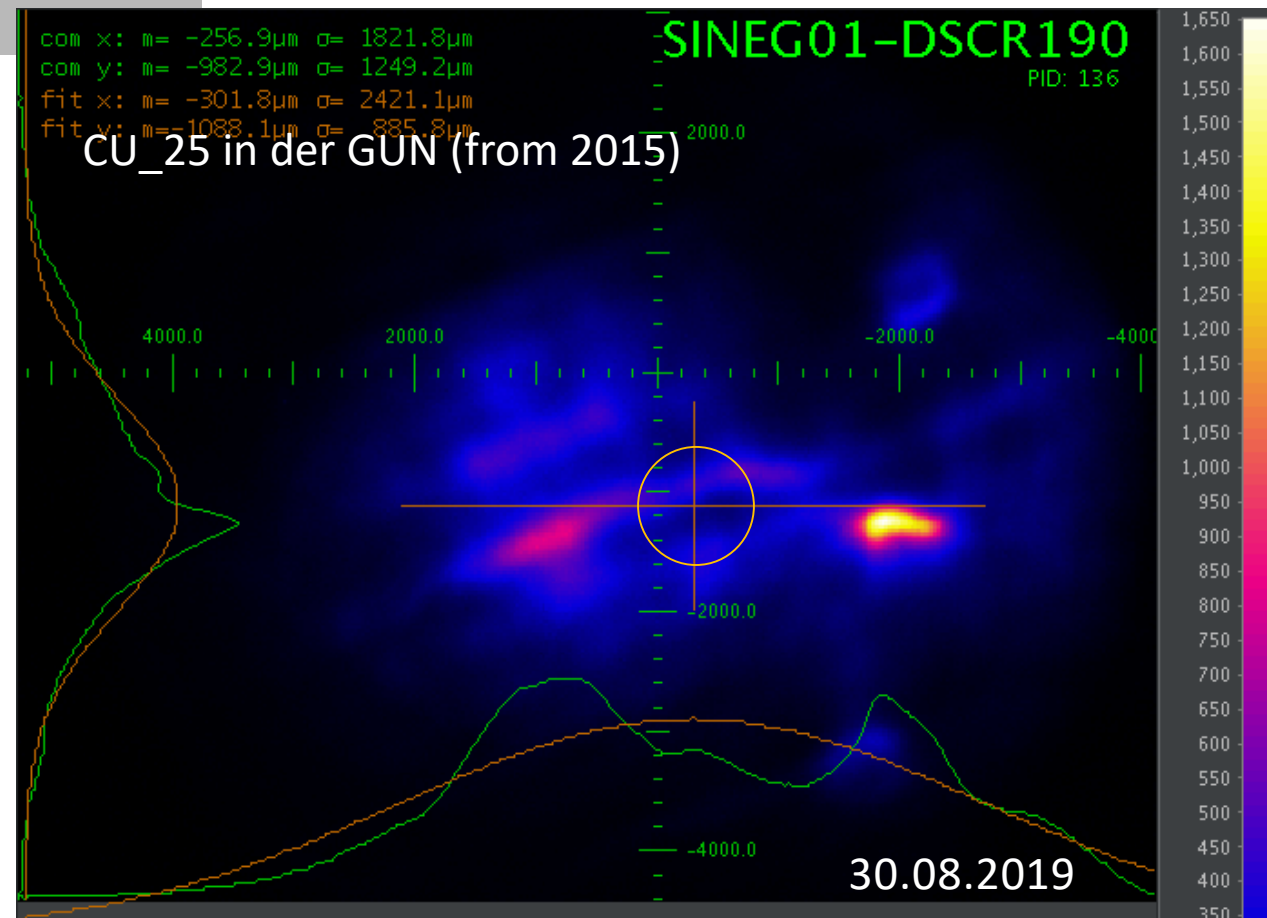


- Installation in the Gun: **August 2019**
- Initial QE in the Gun: 1%

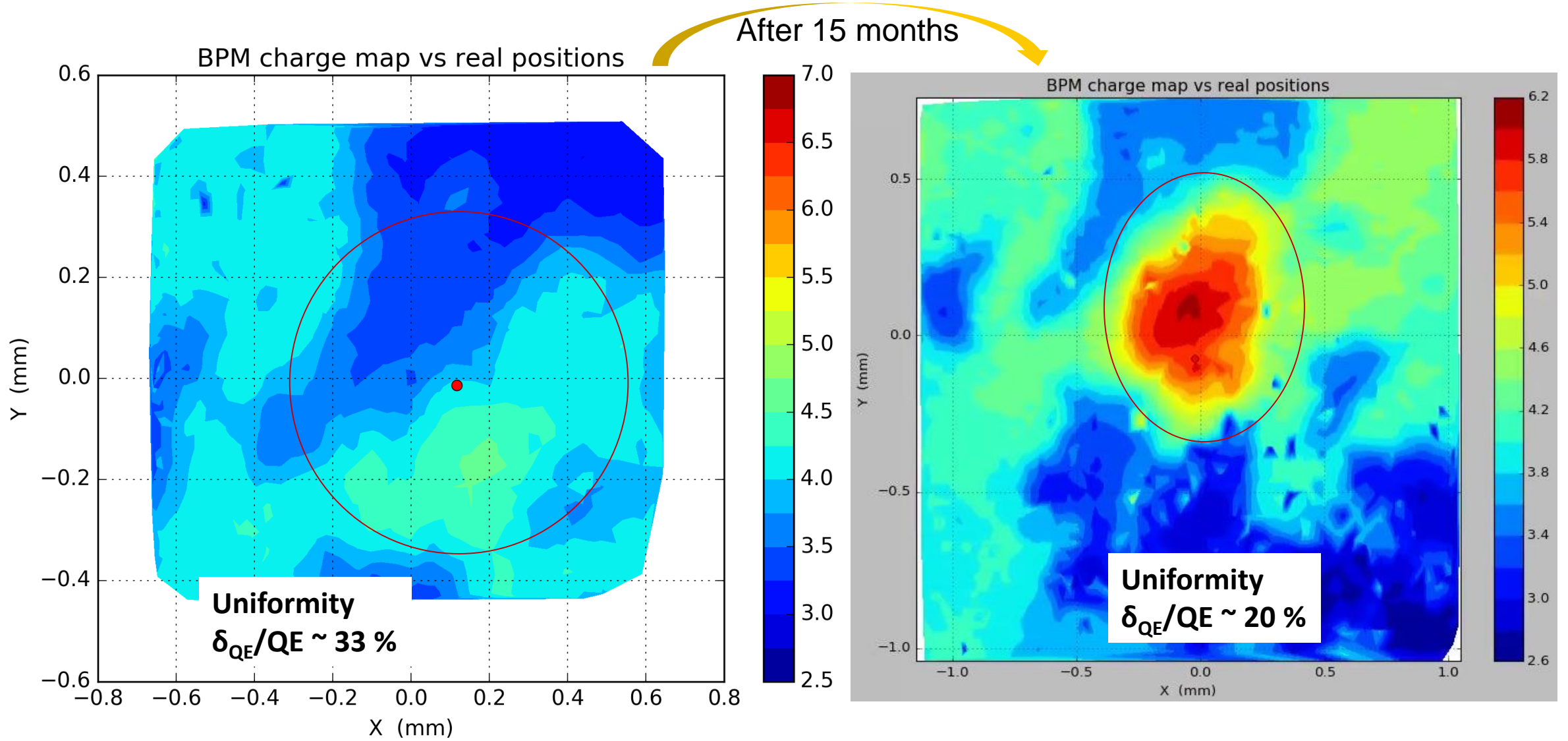
Copper surface **after** annealing (250 deg C – 10 H) and after coating (2015)

# Cathode imaging with the solenoid onto a YAG

Some structures and hot spot from cathode

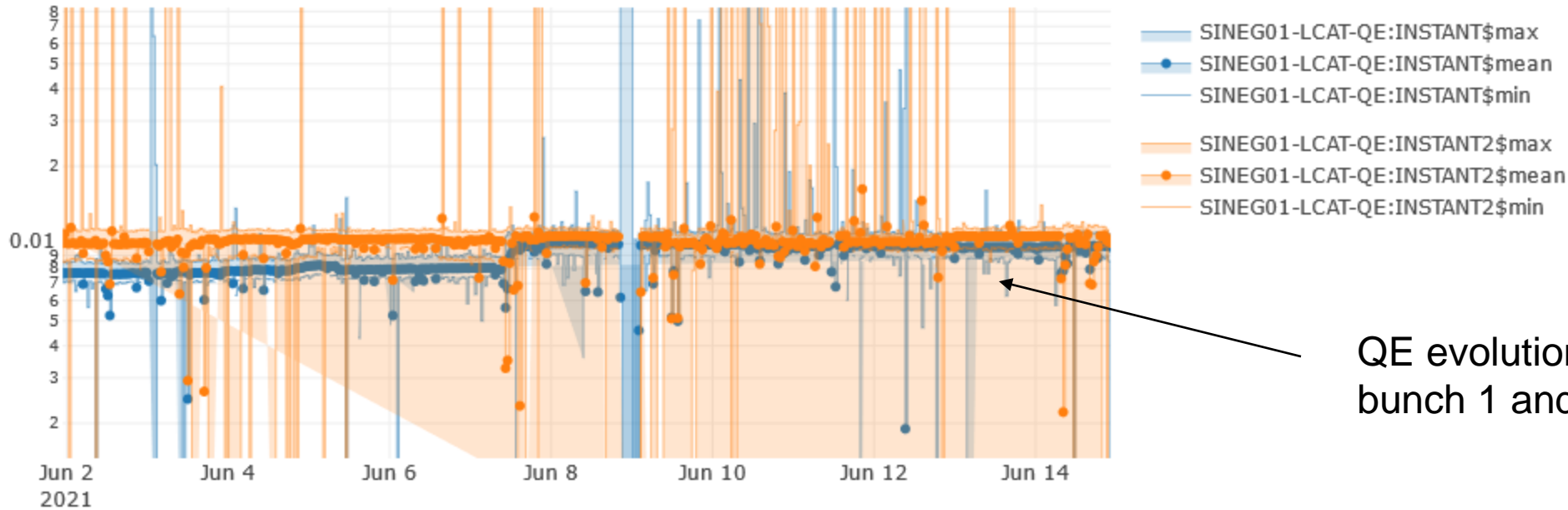


Convolution of laser non uniformities with QE non uniformities





## Cathode Cu\_25: QE lifetime

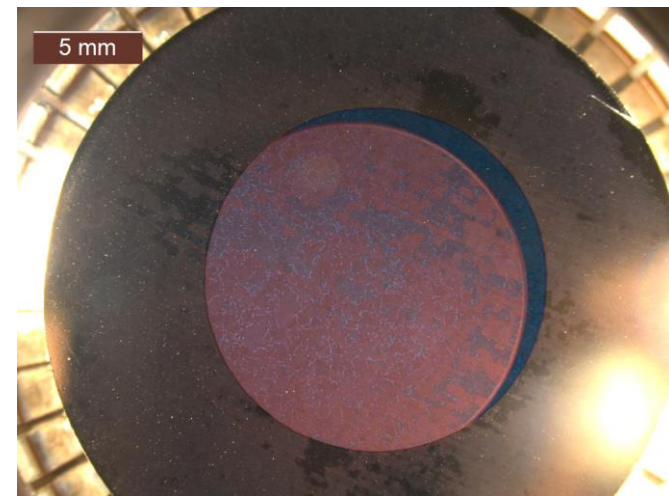


QE evolution last two weeks for bunch 1 and bunch 2 => **QE ~ 1%**

### September 2019 to today :

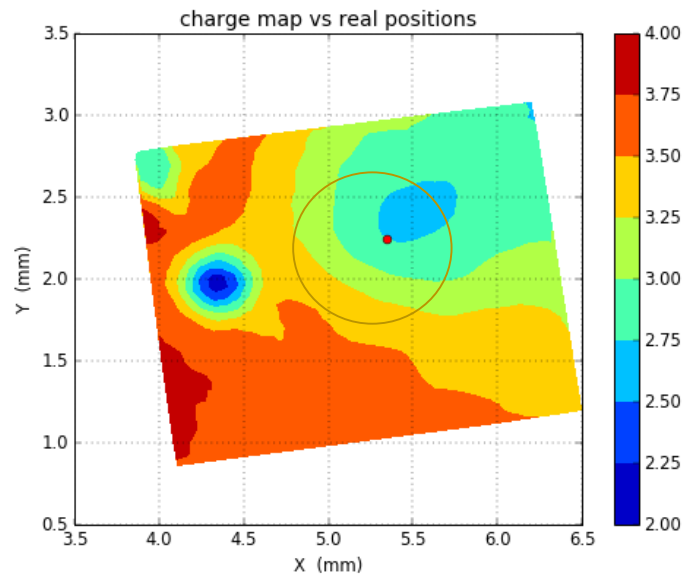
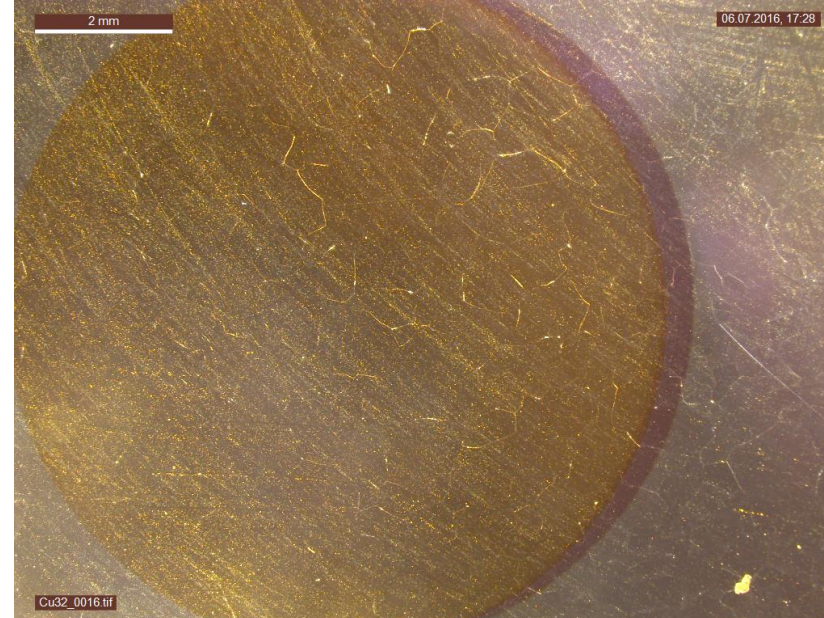
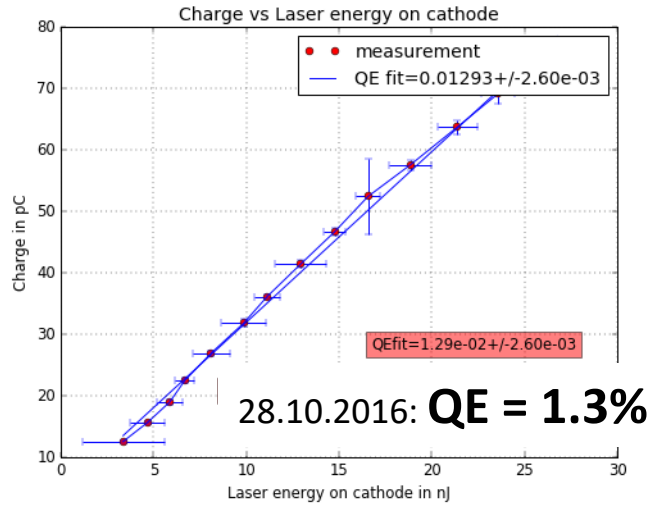
- QE varied between **0.7% and 1%**
- Laser pulse energy ~ **80 nJ /bunch**
- Laser spot size: 170 um rms
- $P_{\text{gun}} = 6.e-11$  mbar

100 MV/m; 200 pC/bunch; 100 Hz



# Example of a “not so good cathode” : Cu\_32

From October 2016 to July 2017: Cathode #32

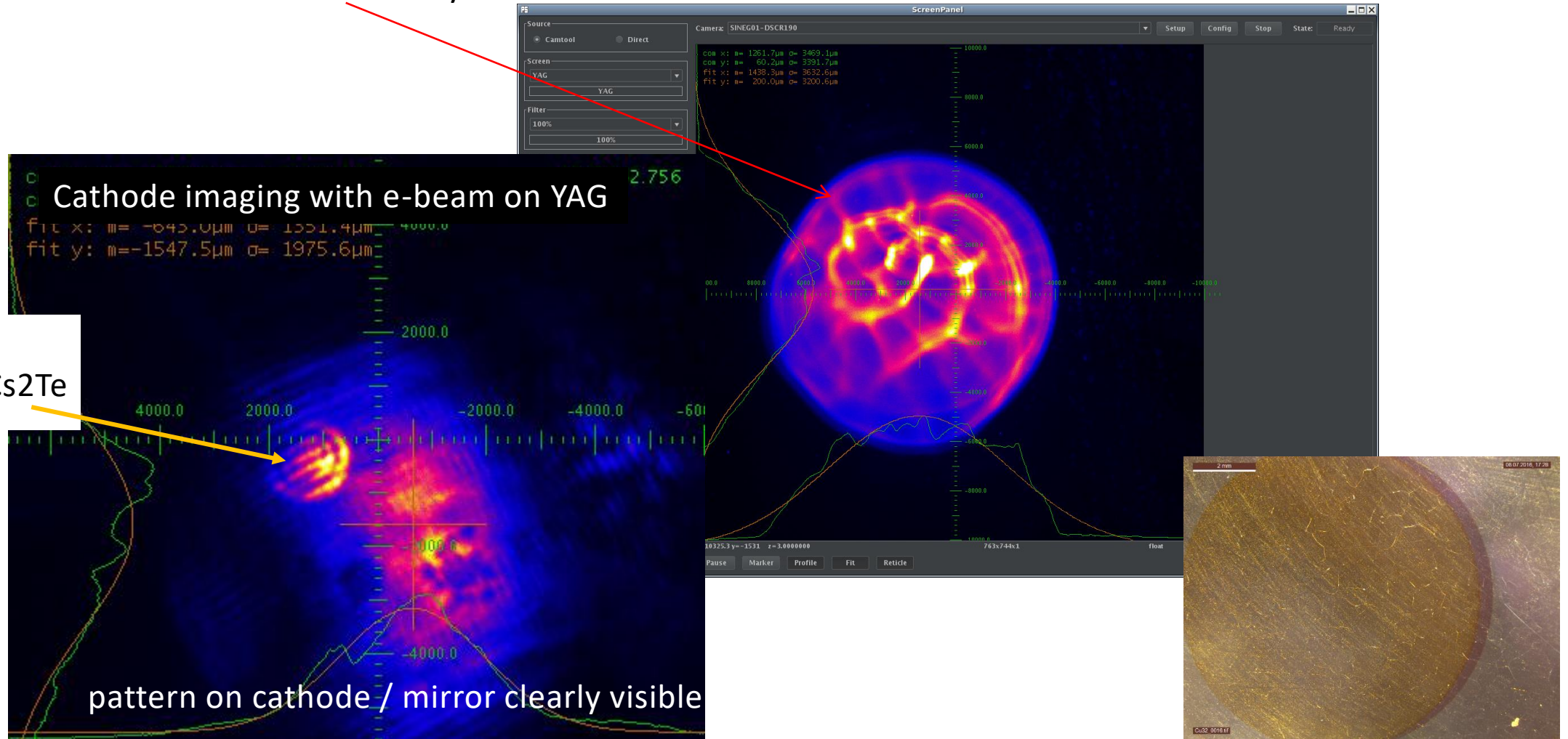


Cathode#32: Cs<sub>2</sub>Te by co-evaporation ;  
**very thin layer < 20nm**

04.07.2017:  
 QE ~ 1.3%  
 $\delta_{QE}/QE \sim 15\%$

No QE decay in 10 Months

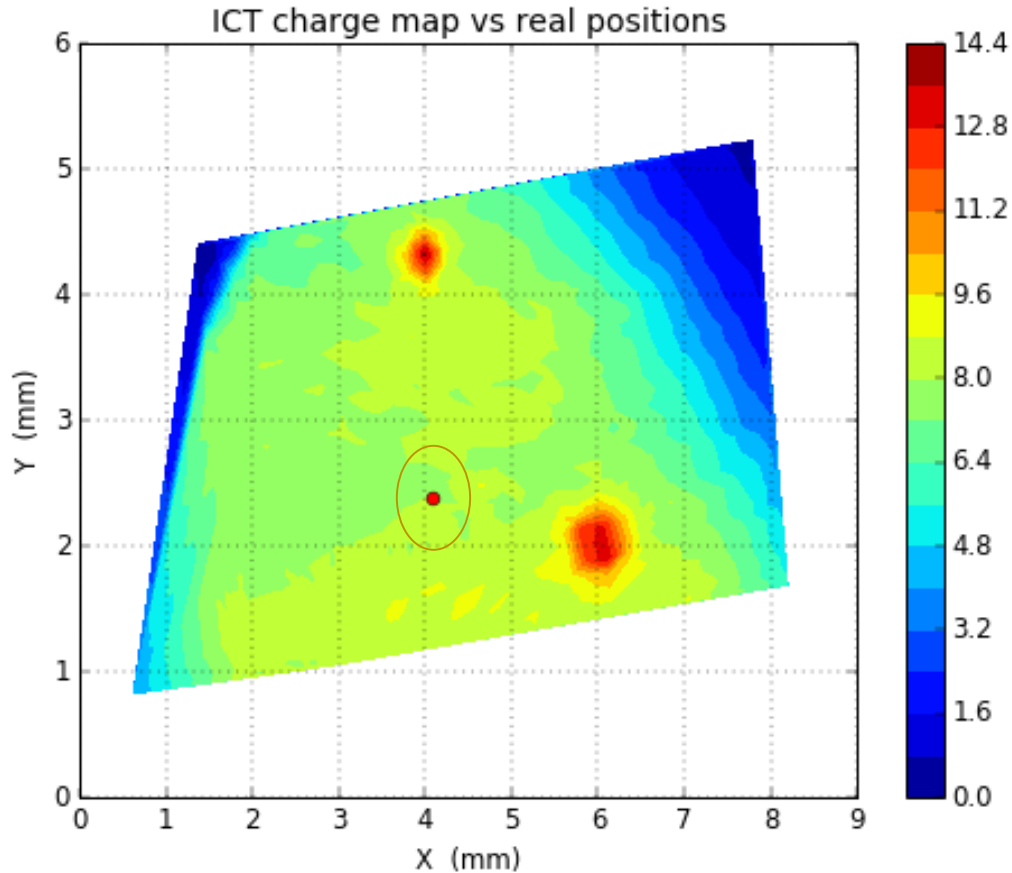
## Electron Beam uniformity issues



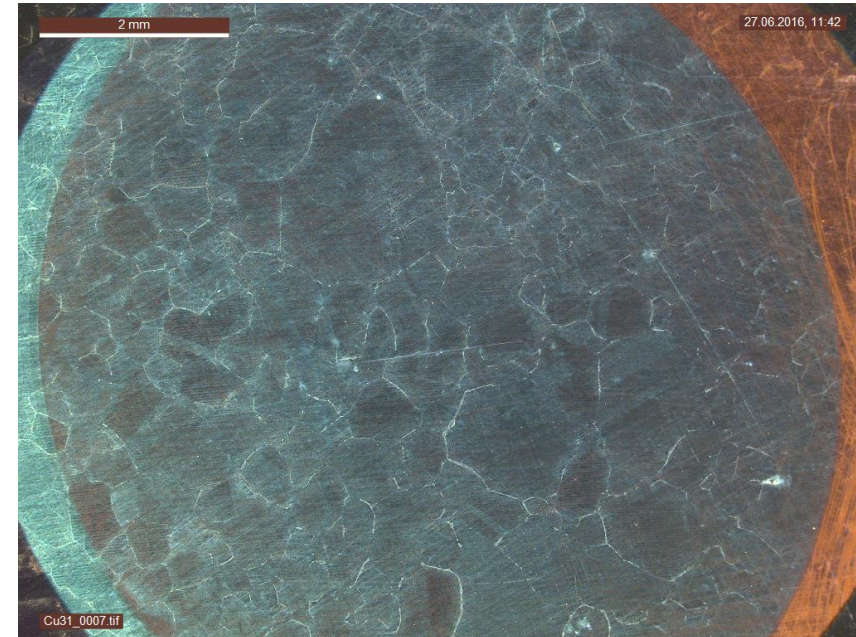
⇒ Exchanged cathode on July 21<sup>st</sup> 2017 (**after 10 months**)

⇒ Cs<sub>2</sub>Te detached at some area (dark spot visible by eye on cathode)

## From July 2017 to August 2019: Cathode #31



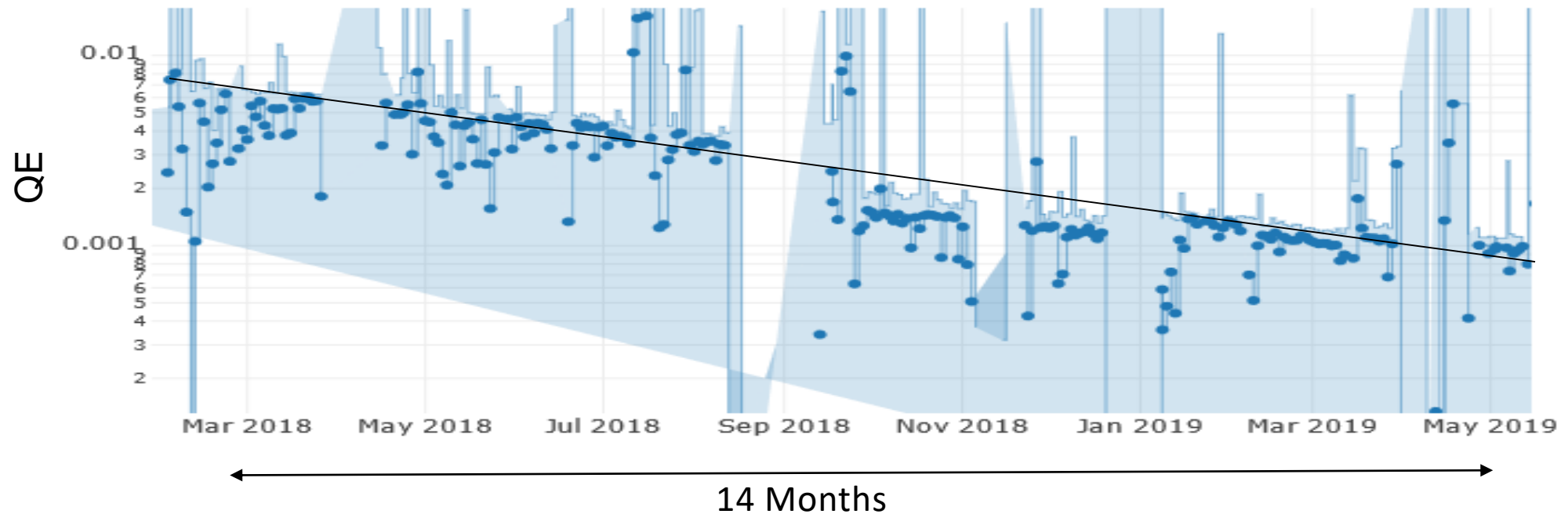
24.07.2017:



Cathode#31: Cs<sub>2</sub>Te by successive evaporation ; ~ **40nm**

Averaged QE after installation: QE ~ 0.6 %

## QE lifetime in SwissFEL Gun Cu\_31

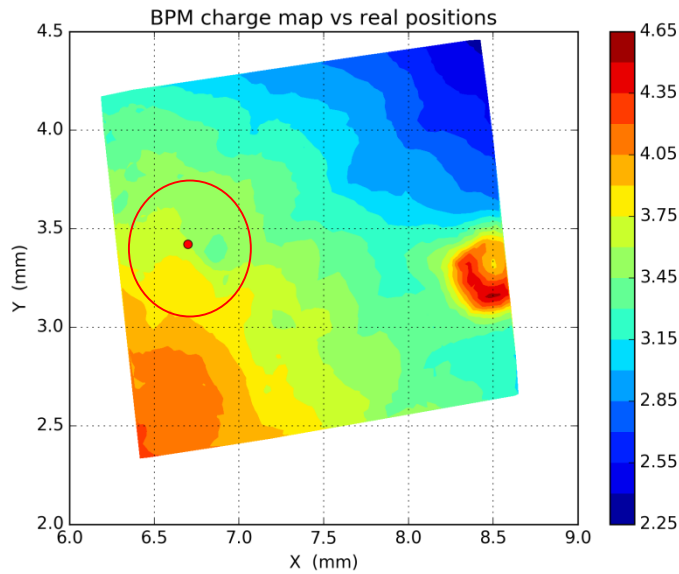


- Averaged QE dropped by factor 10 after 14 Months (~ 35 mC charge)
- Lifetime until  $QE \sim 0.1\% > 2$  years

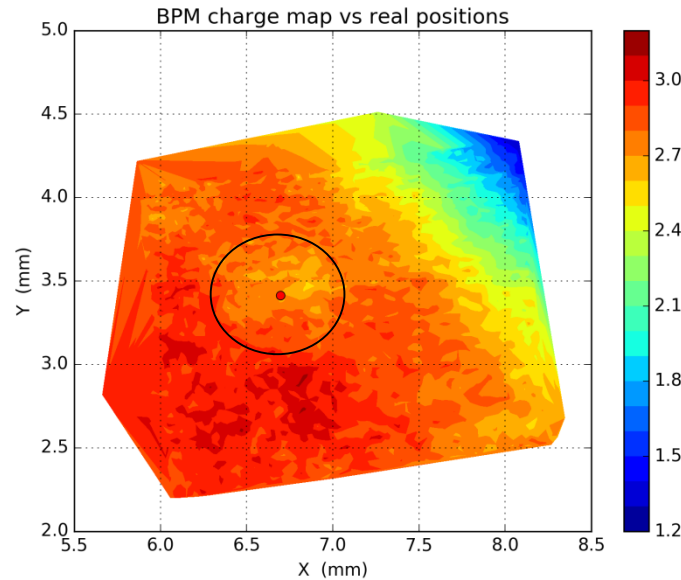
**Cathode #31 ( $Cs_2Te$ ): July 17 – August 19**  
 10 Hz; 200 pC  
 100 MV/m  
 $P_{\text{cathode}} < 1 \cdot 10^{-9}$  mbar  
 ( $1.1e-11$  mbar at the pump)

# Cathode Cu\_31: July 2017 – August 2019

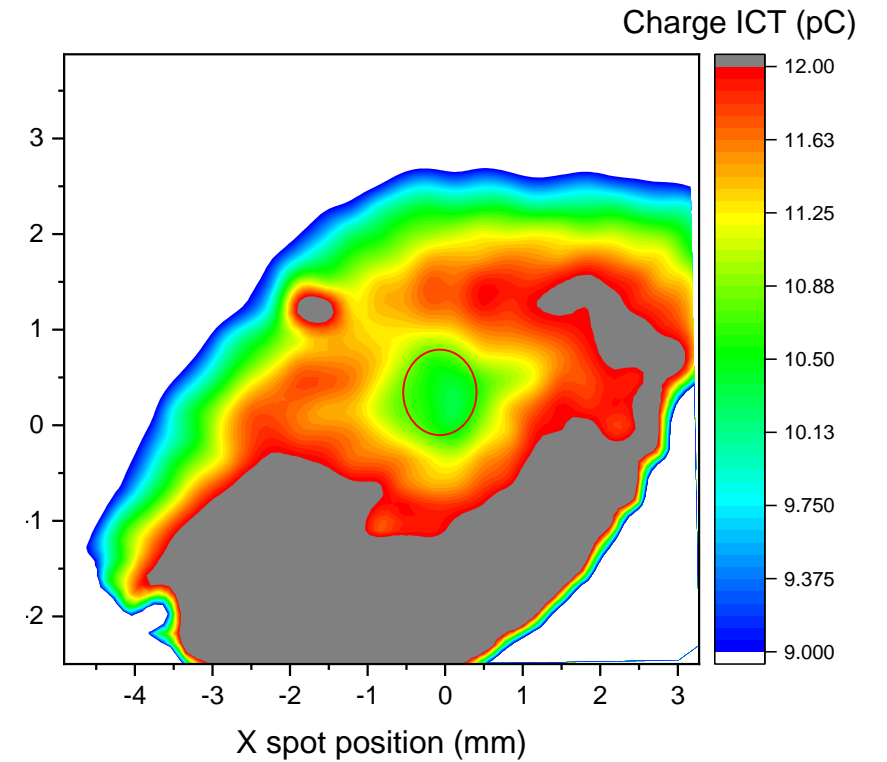
## Cathode emission uniformity



02 Sept. 2017:  
Uniformity  $\delta_{QE}/QE \sim 15\%$

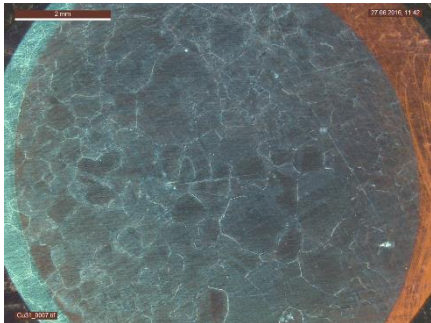


22 Dec. 2017  
Uniformity  $\delta_{QE}/QE \sim 13\%$



31 Jul. 2018  
Uniformity  $\delta_{QE}/QE \sim 10\%$

### Cathode #31



Cathode#31:  
Cs<sub>2</sub>Te by successive evaporation ;  $\sim 40\text{nm}$

Cathode develops a **QE hole**  
after 10 months !  
=> Gain in uniformity !

- Cathode production very basic: Pressure and cleaning should be improved !
- Since SwissFEL Operation started in 2016: 3 cathodes
- Lifetime seems to get better: 10 months -> 25 months -> ???
- Initial QE  $\sim 1\%$  (to be compared to the 10-20% of expert laboratory like INFN, BNL, Cornell, ...)

Are Intrinsic emittance and QE related in SC ?

In metal, small QE  $\Rightarrow$  smaller momentum spread of emitted electrons  
 $\Rightarrow$  less electrons with large transverse momentum  
 $\Rightarrow$  smaller emittance

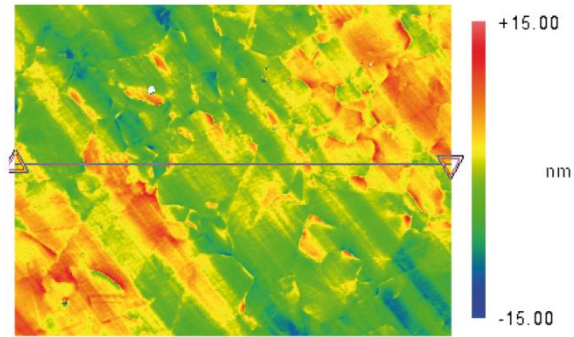
Phys. Rev. ST Accel. Beams **12**, 074201 (2009)

**Many Thanks**

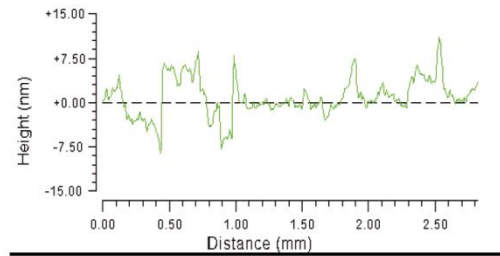




### Height Profiles

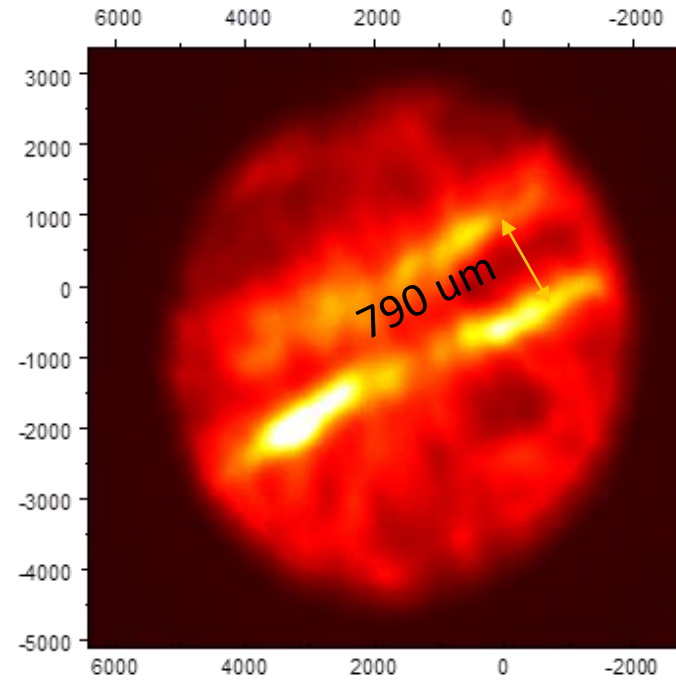


Höhenprofil des Messpunktes 1.



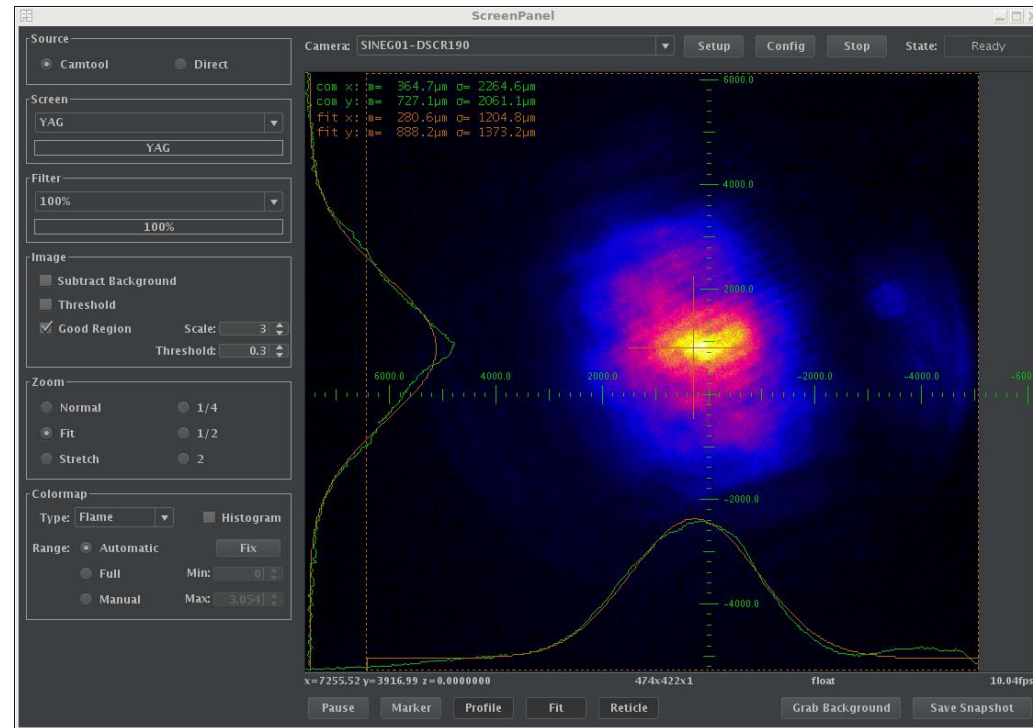
Höhenprofil entlang der Linie, siehe Bild oben.

Profilometry measured on Cu\_1



Electrons imaging of cathode Cs<sub>2</sub>Te\_31 on Dec. 4th

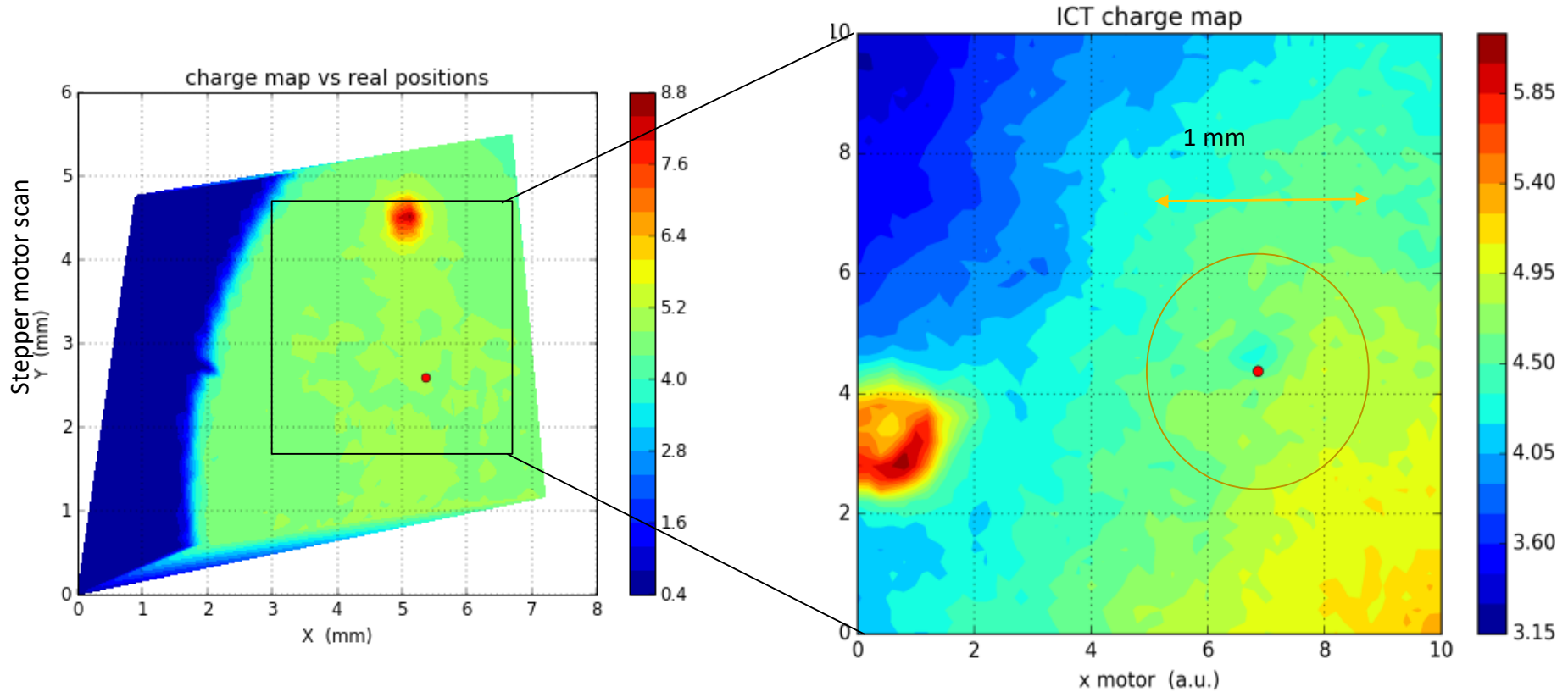
# Cathode imaging with e-beam on YAG



No defects clearly visible

Courtesy of N. Hiller

# Uniformity of Cathode#31



14.07.2017

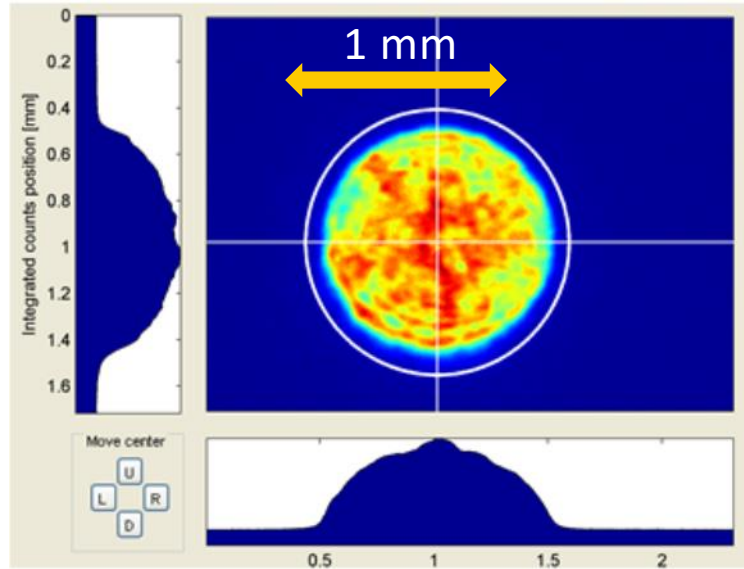
18.08.2017

Uniformity  $\delta_{QE}/QE \sim 15 \%$

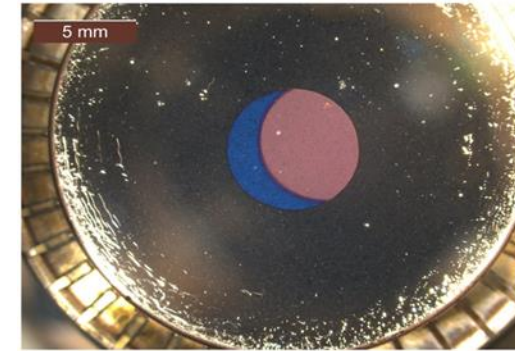
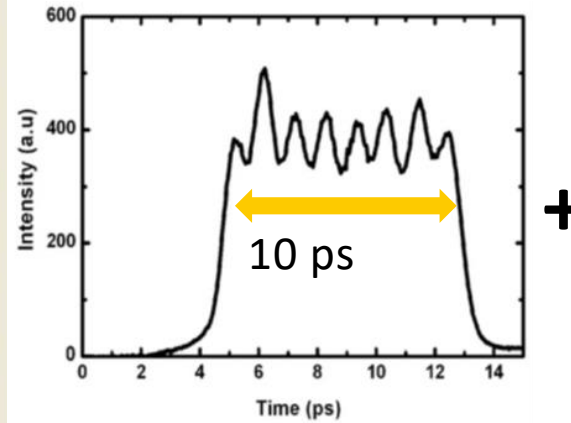
QE scans: 5 pC;  $\sigma_{r,laser} \sim 100 \mu m$

# Laser illumination

Laser Transverse Profile (263 nm)



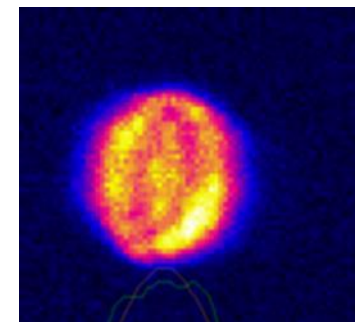
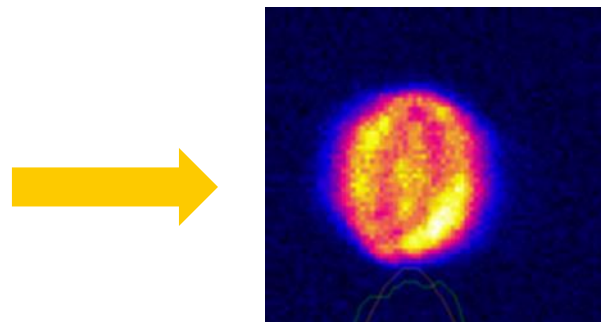
Laser Longitudinal Profile



Truncated Gaussian or pulse stacking

Photocathode Uniformity

Ref. C.P. Hauri – FEL2011



Electron Beam Profile

$\epsilon_{\text{slice}} = 155 \text{ nm}\cdot\text{rad}$   
 Charge 200 pC; 300 MeV  
 SwissFEL - PSI