

# Density Functional Theory

## Cs<sub>2</sub>Te calculations

Gowri Adhikari

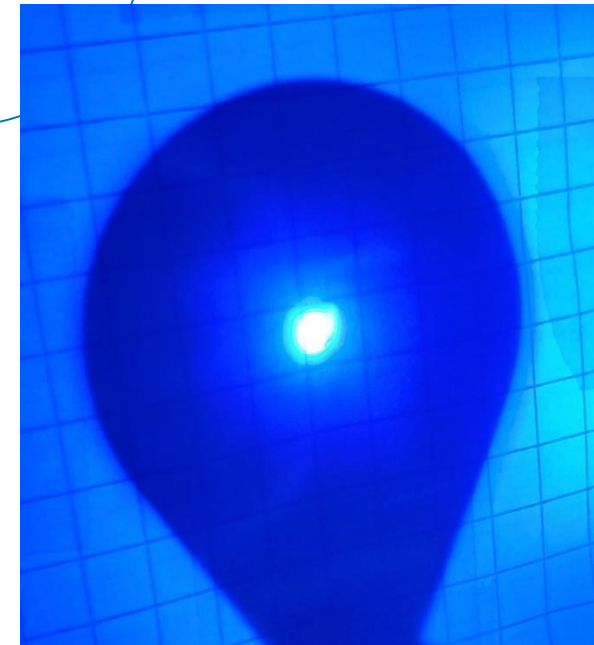
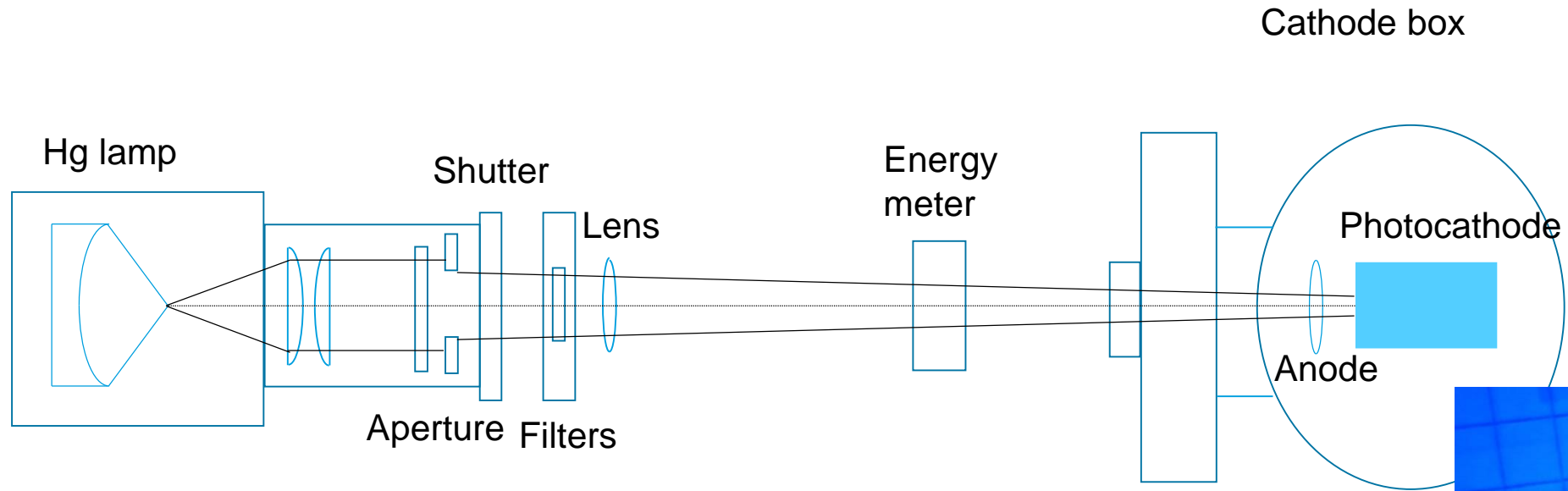
Zeuthen, 22/04/2021

# Outline

- Experimental QE measurements
- Density functional theory
  - Finding the minimum energy state  $Cs_xTe_{(1-x)}$  phase
  - Finding the minimum energy state  $Cs_2Te$  crystal structure
  - Band structure calculation  $Cs_2Te$
  - Effective mass calculation  $Cs_2Te$
- Future plans??

# Quantum efficiency measurements

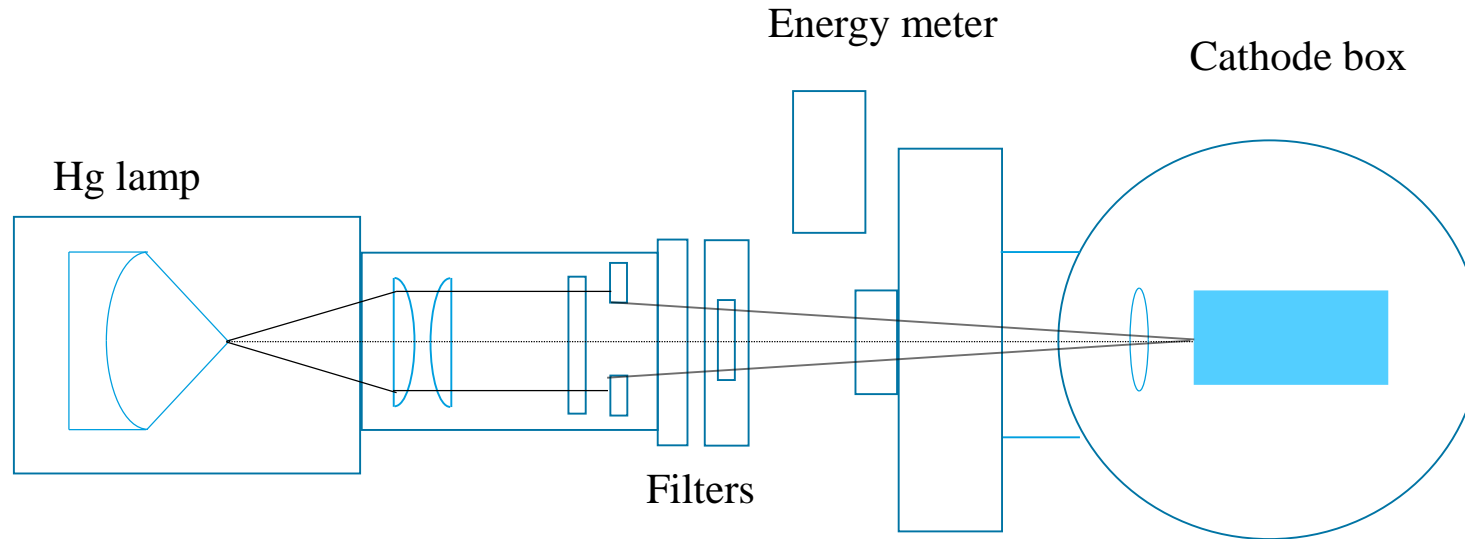
## The experimental setup 1



Focused beam on the cathode box window

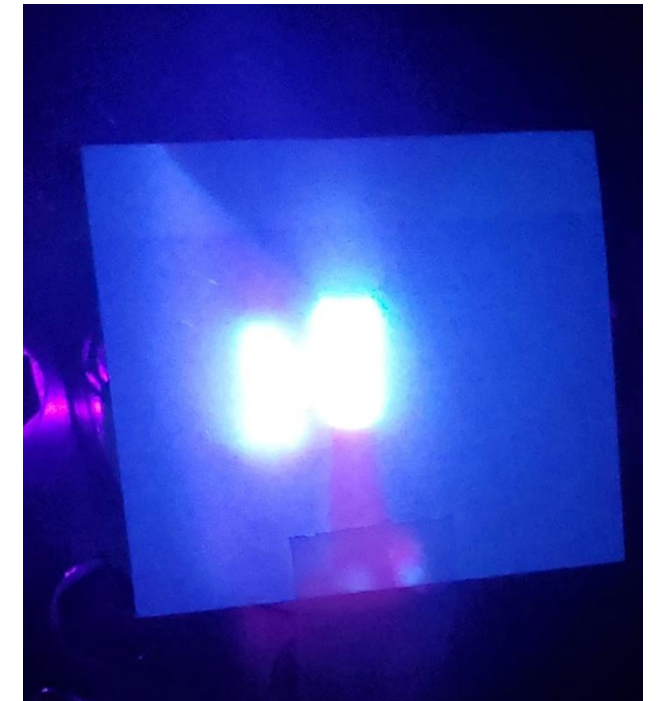
# Quantum efficiency measurements

## Experimental setup 2



Inside the tunnel only 40 cm space available near cathode box.

Beam on the cathode box window

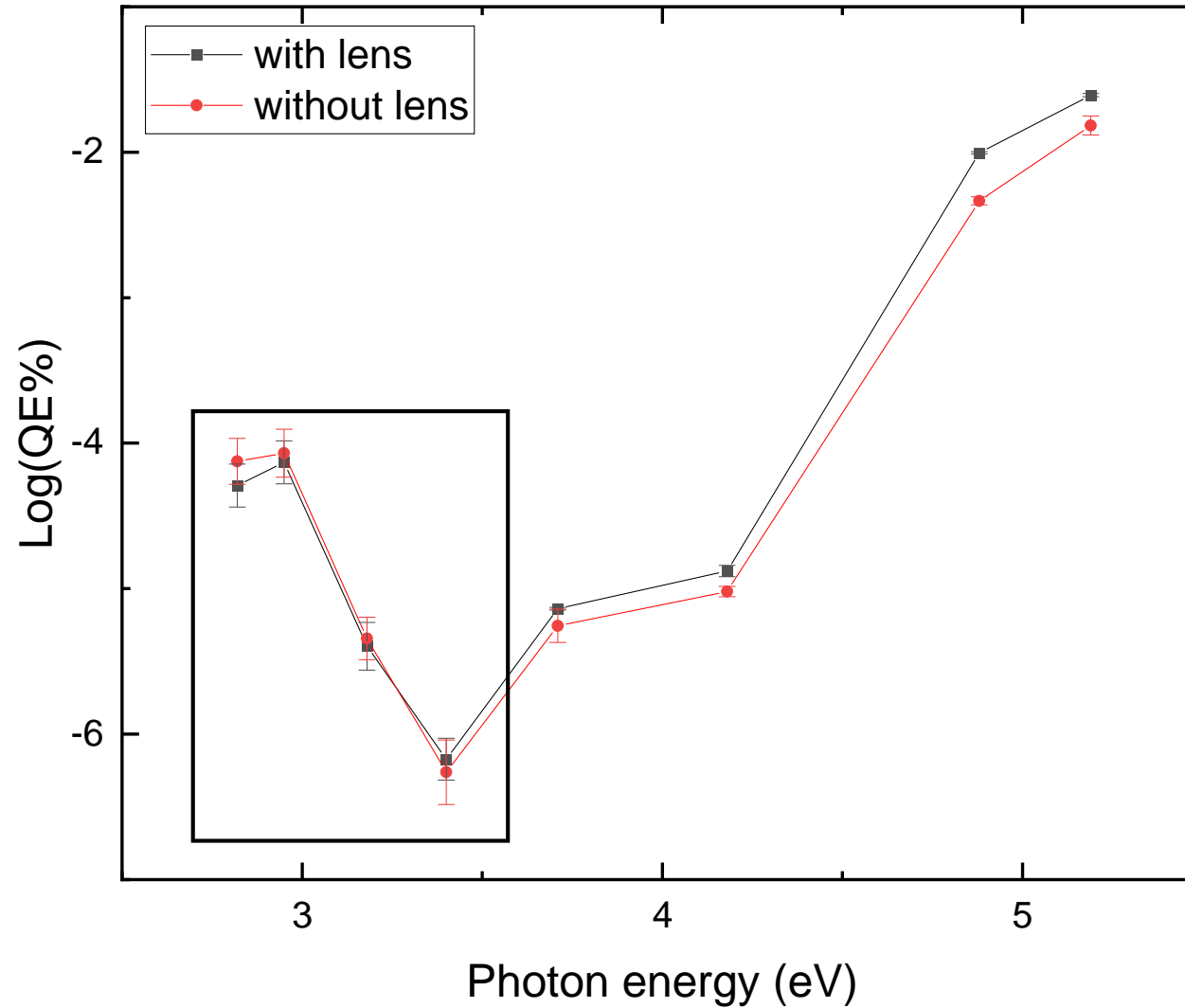


# QE measurements

17/03/2021

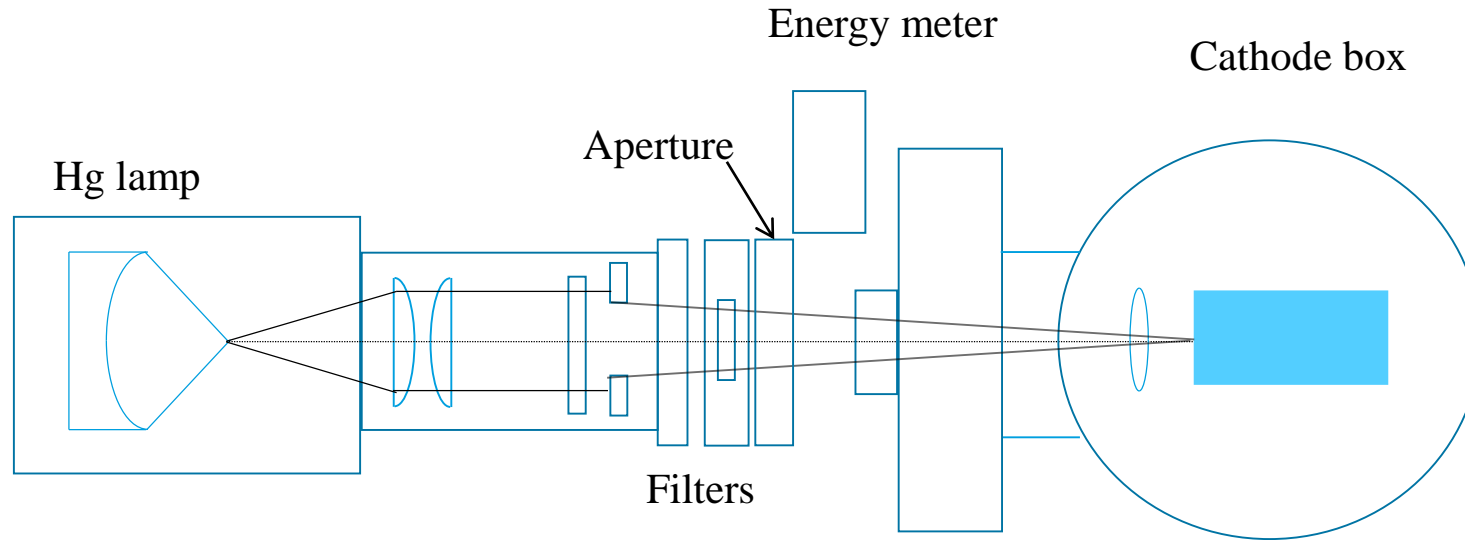
Photon energy (eV)	Current (nA)	Light Power ( $\mu$ W)	QE (%) With the lens	Current (nA)	Light Power ( $\mu$ W)	QE (%) without the lens
5.19	0.0235	0.494	$2.4702 \times 10^{-2}$	0.021	0.700	$1.5270 \times 10^{-2}$
4.88	0.0069	0.342	$9.8913 \times 10^{-3}$	0.00527	0.567	$4.6321 \times 10^{-3}$
4.18	0.00034	10.8	$1.3229 \times 10^{-5}$	0.00024	10.4	$9.5653 \times 10^{-6}$
3.71	0.00017	8.67	$7.2843 \times 10^{-6}$	0.00012	7.79	$5.5536 \times 10^{-6}$
3.4	0.00006	30.5	$6.7277 \times 10^{-7}$	0.00004	24.9	$5.4710 \times 10^{-7}$
3.18	0.00006	4.89	$4.0196 \times 10^{-6}$	0.00006	5.596	$4.5476 \times 10^{-6}$
2.95	0.00006	0.24	$7.3966 \times 10^{-5}$	0.00007	0.208	$8.5377 \times 10^{-5}$
2.82	0.00006	0.331	$5.1114 \times 10^{-5}$	0.00008	0.3004	$7.5093 \times 10^{-5}$

# Comparing experimental setups



# Quantum efficiency measurements

## Experimental setup 2

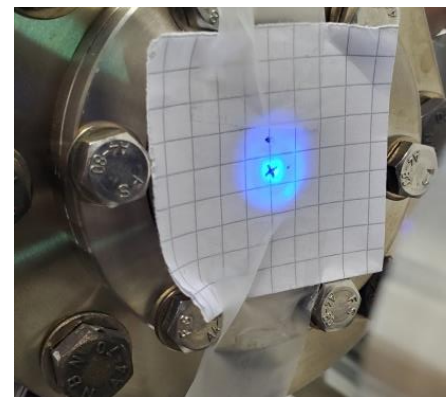


# Quantum efficiency measurements

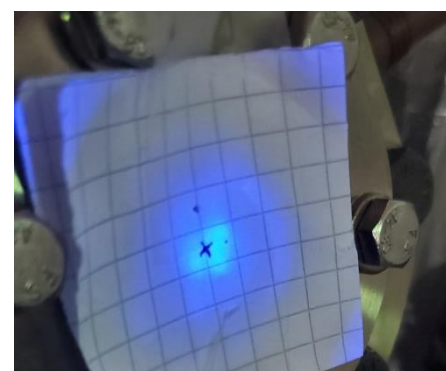
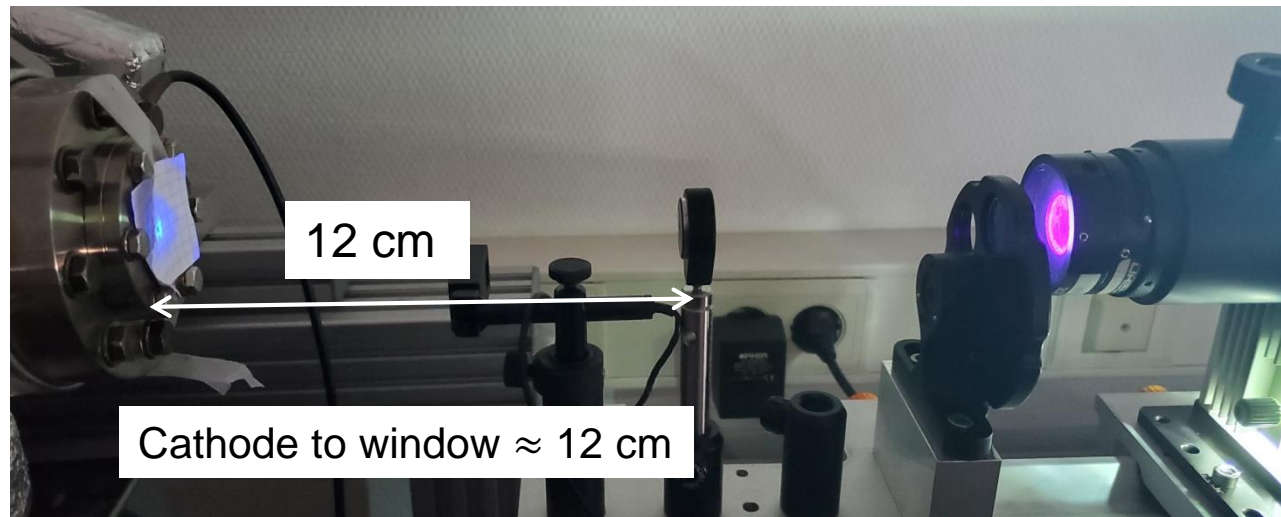
## Beam size check



Beam size on the window  $\approx 3\text{mm}$



Beam on the cathode box window



Rough estimation of the beam on the cathode

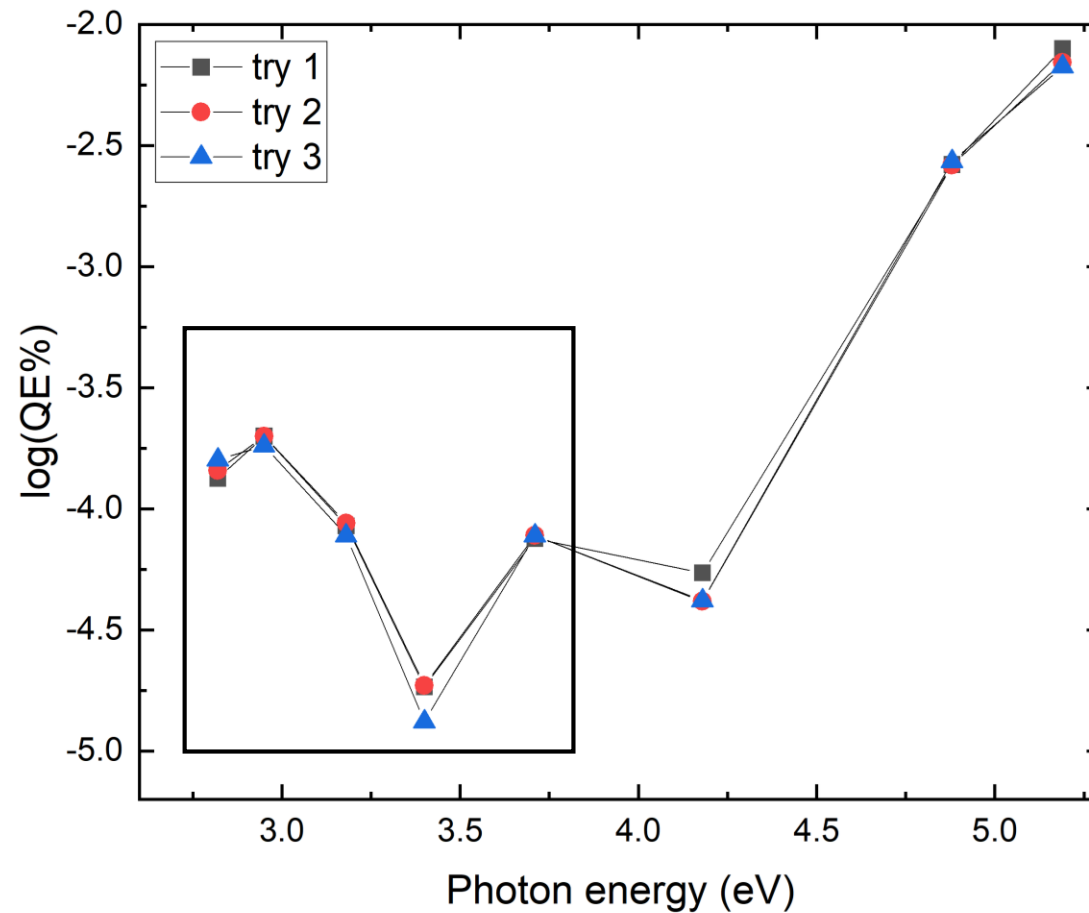
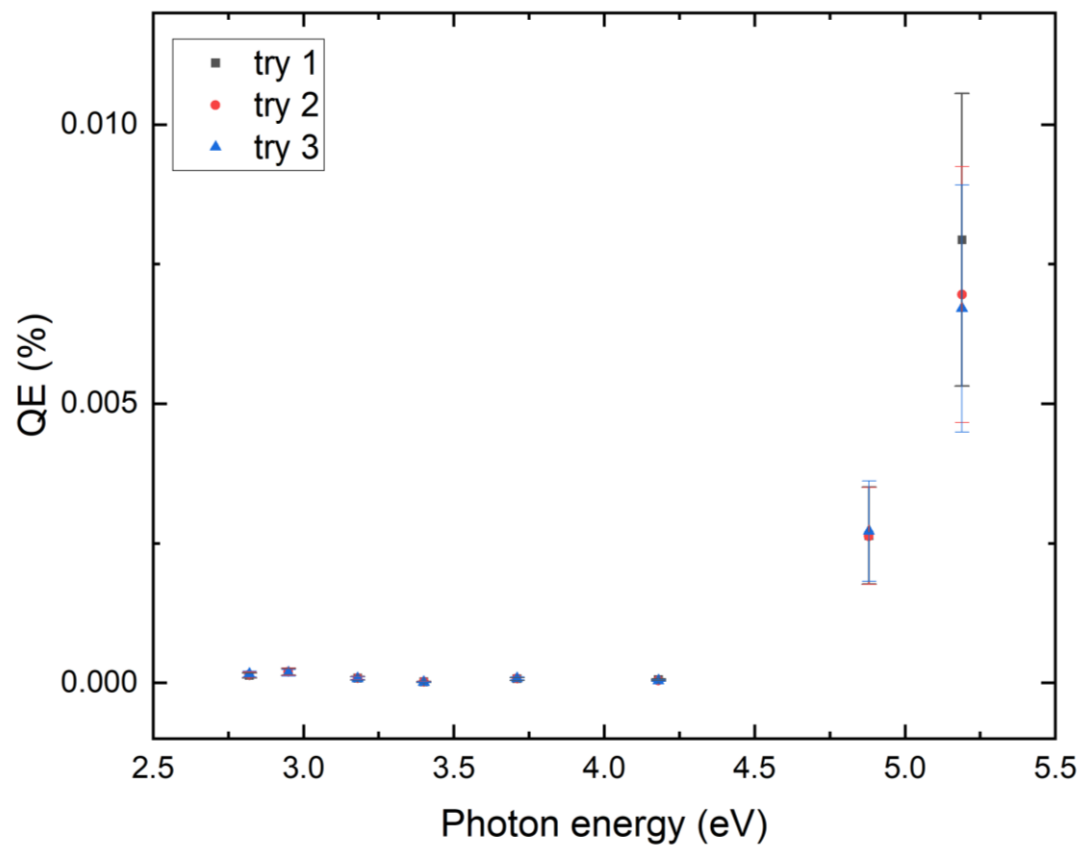
Beam size on the cathode  $\approx 5\text{mm}$



# Comparing experimental setups

Photon energy (eV)	QE (%) Measurement 1	error	QE (%) measurement 2	error	QE (%) measurement 3	error
5.19	7.9342E-03	2.618E-3	6.9544E-03	2.295E-3	6.7050E-03	2.213E-3
4.88	2.6382E-03	8.706E-4	2.6289E-03	8.675E-4	2.7204E-03	8.977E-4
4.18	5.4458E-05	1.797E-5	4.1475E-05	1.369E-5	4.1764E-05	1.378E-5
3.71	7.5249E-05	2.483E-5	7.7620E-05	2.561E-5	7.7635E-05	2.562E-5
3.4	1.8385E-05	6.067E-6	1.8636E-05	6.150E-6	1.3232E-05	4.367E-6
3.18	8.5076E-05	2.808E-5	8.7425E-05	2.885E-5	7.7629E-05	2.562E-5
2.95	1.9958E-04	6.400E-5	1.9938E-04	6.419E-5	1.8196E-04	5.934E-5
2.82	1.3371E-04	4.412E-5	1.4412E-04	4.756E-5	1.5946E-04	5.262E-5

# Comparing experimental setups



# Density Functional Theory

## Introduction

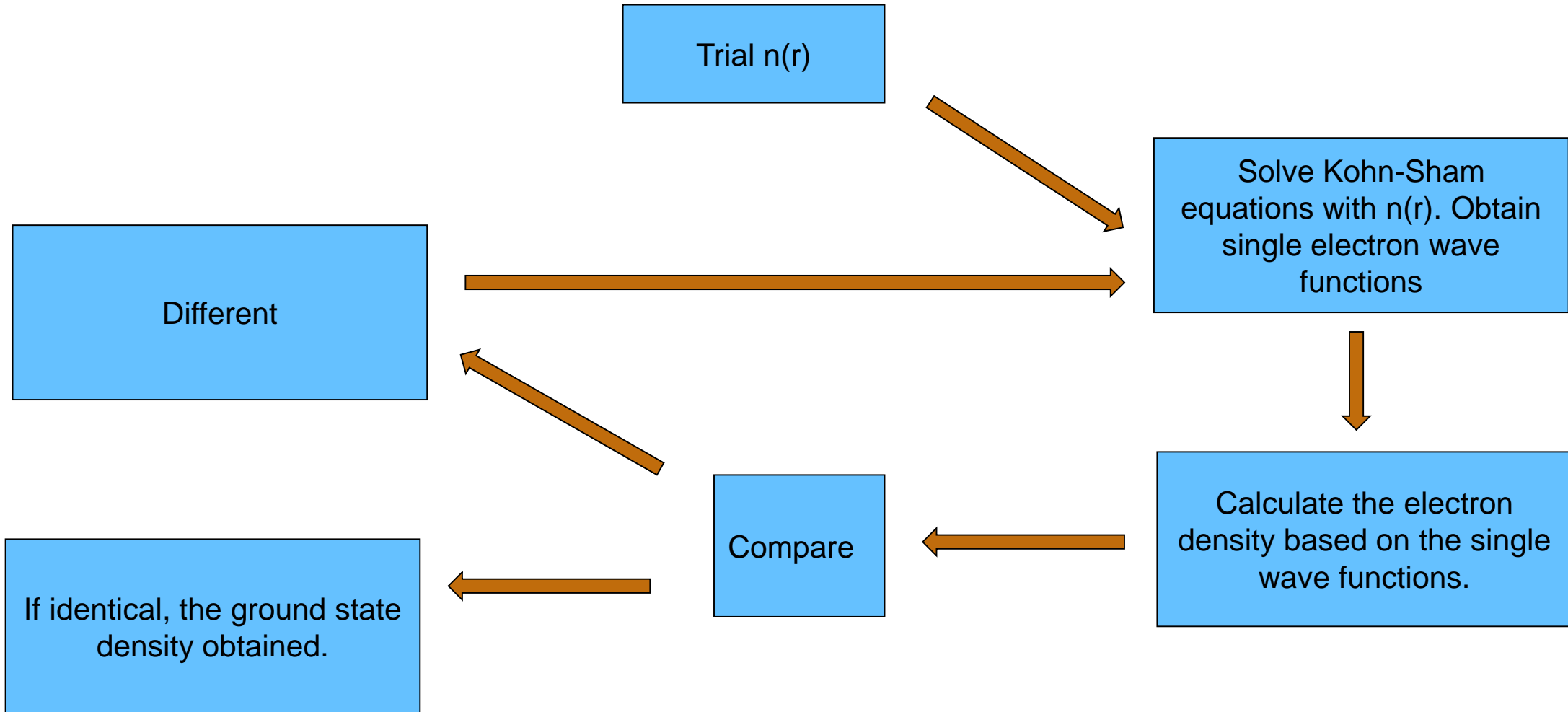
- To solve many body problems by Schrödinger's equation.
- Only up to one electron problem we can solve Schrödinger's equation exactly.
- It is very hectic to solve the Schrödinger's equation for a N- body system.
- We must involve some approximation to solve the problem a method to obtain an approximate solution to the Schrödinger's equation of a many body system is DFT.
- DFT is a computational quantum mechanical modelling method used in physics ,chemistry, & material science to investigate the electronic structure ( ground state) of many body systems .
- Using this theory the properties of many- electron system can be determined by using FUNCTIONALS.
- In DFT instead of considering wave function we considered density functional . DFT : work in terms of density

$$E=E[n(r)]$$

$$\varphi^2=n(r)$$

# Density Functional Theory

## Self-Consistency Scheme



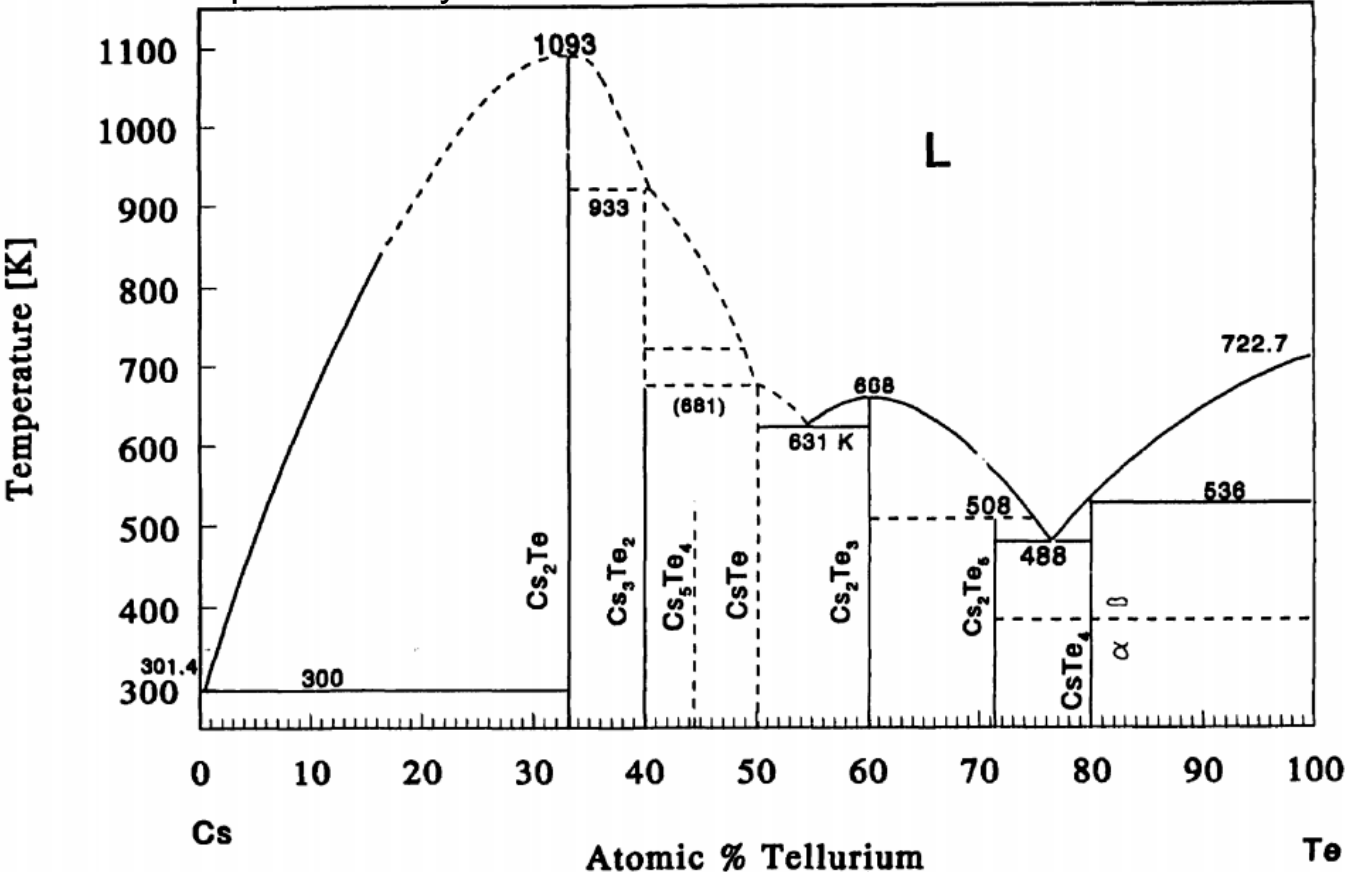
# Density Functional Theory

- The PWscf code of the Quantum ESPRESSO suite
- Ultra-soft pseudopotentials (USPP) with the generalized gradient approximation (GGA)
- Relativistic, spin-orbit coupling, approximations are included for the 4d, 5d and 6p transition metals
- The convergence tests performed to achieve minimum total energy configuration.
- Formation energy is used instead of total energy to find the minimum energy state for different phases

$$E_f = \sum E_{Cs_xTe_{1-x}} - (E_{Cs} \times \text{no of Cs atoms}) - (E_{Te} \times \text{no of Te atoms})$$

# Cs-Te crystallization phases

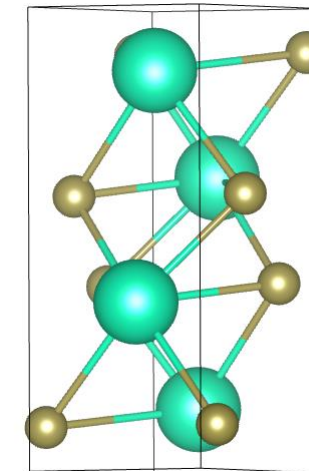
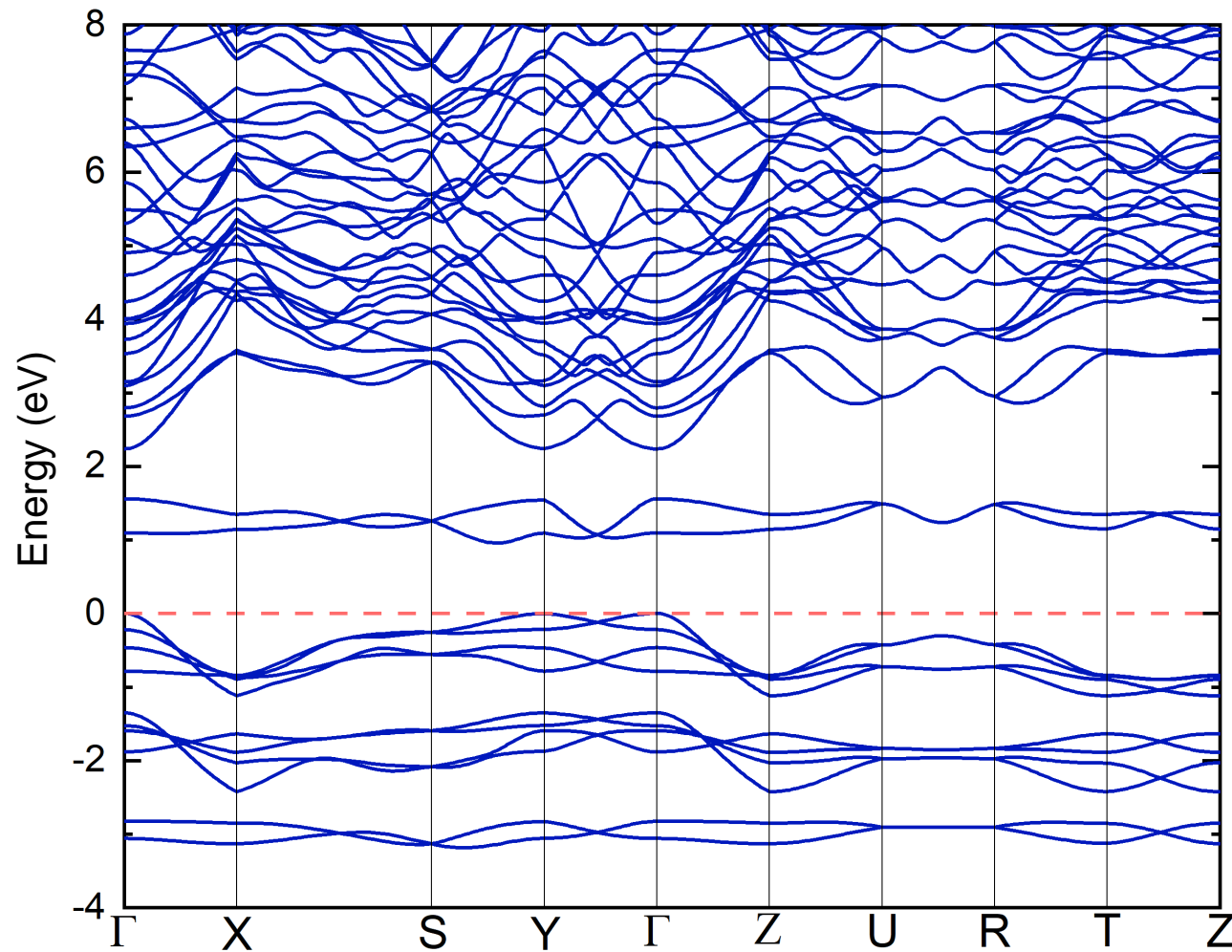
Phase diagram obtained experimentally



CsTe	Cs <sub>2</sub> Te <sub>3</sub>	Cs <sub>2</sub> Te <sub>5</sub>	Cs <sub>2</sub> Te	CsTe <sub>4</sub>
Orthorhombic pbam(55)	Orthorhombic cmc21(36)	Orthorhombic cmcm(63)	Orthorhombic pnma(62)	Orthorhombic pbam(55)

# Possible Phases $\text{Cs}_x\text{Te}_{1-x}$

CsTe



Orthorhombic crystal structure

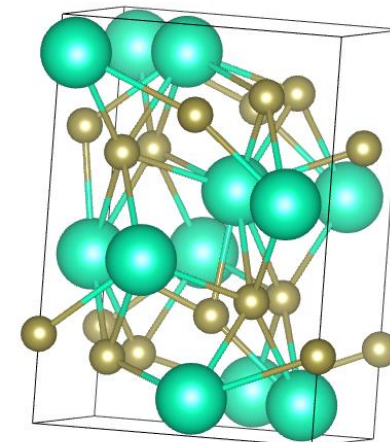
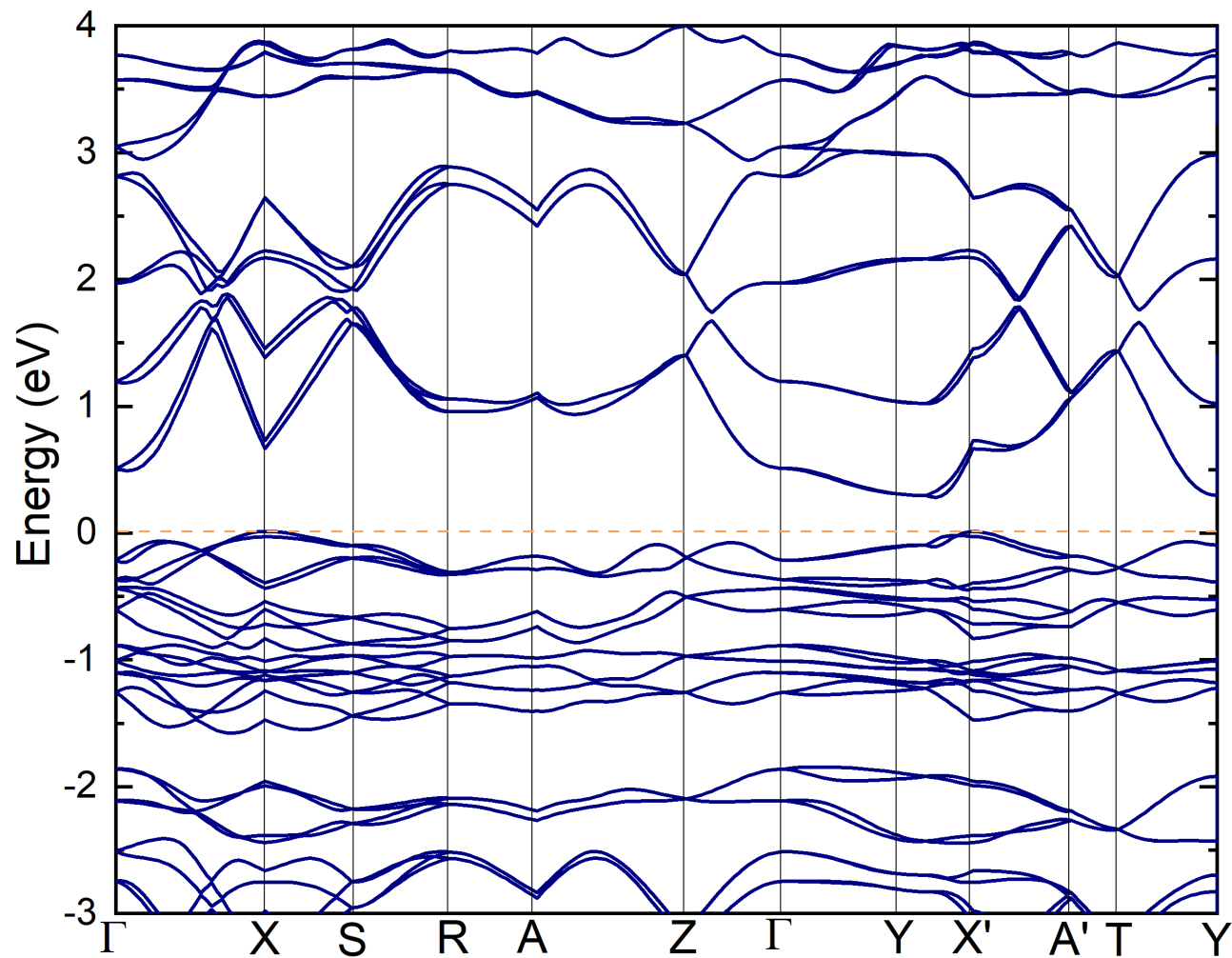
Pbam (55)

$a = 5.049 \text{ \AA}$

$b = 6.287 \text{ \AA}$

$c = 11.847 \text{ \AA}$

# Possible Phases Cs - Te



Orthorhombic crystal structure

Cmc21 (36)

$a = 8.689 \text{ \AA}$

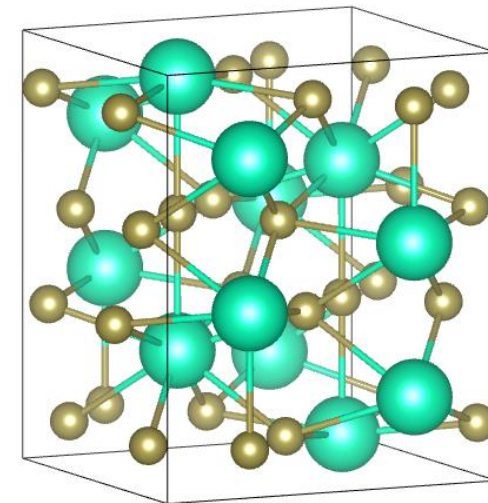
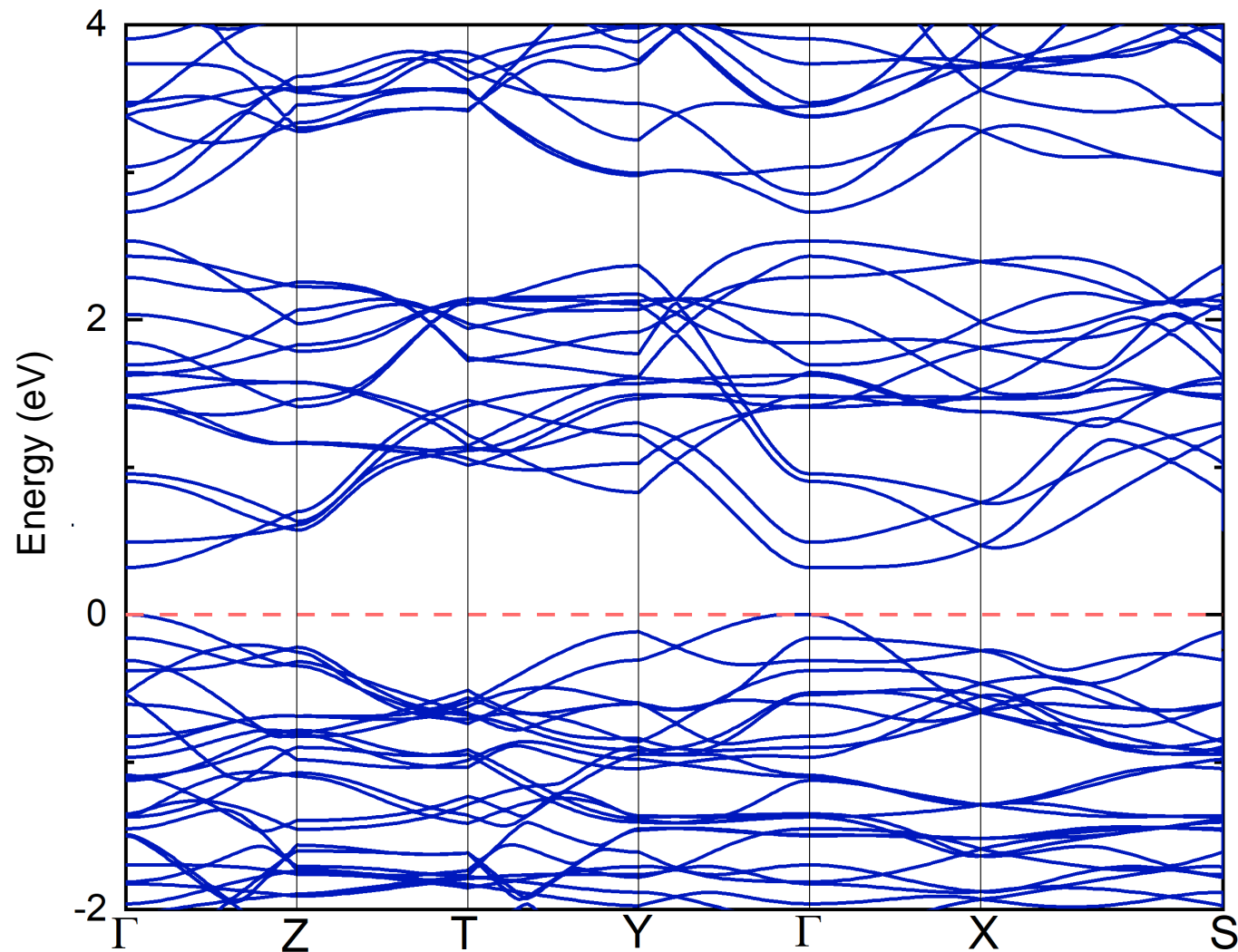
$b = 12.452 \text{ \AA}$

$c = 8.7047 \text{ \AA}$



# Possible Phases Cs - Te

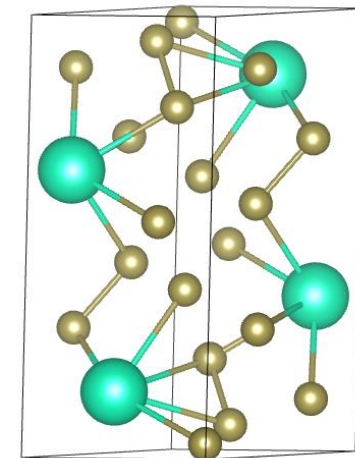
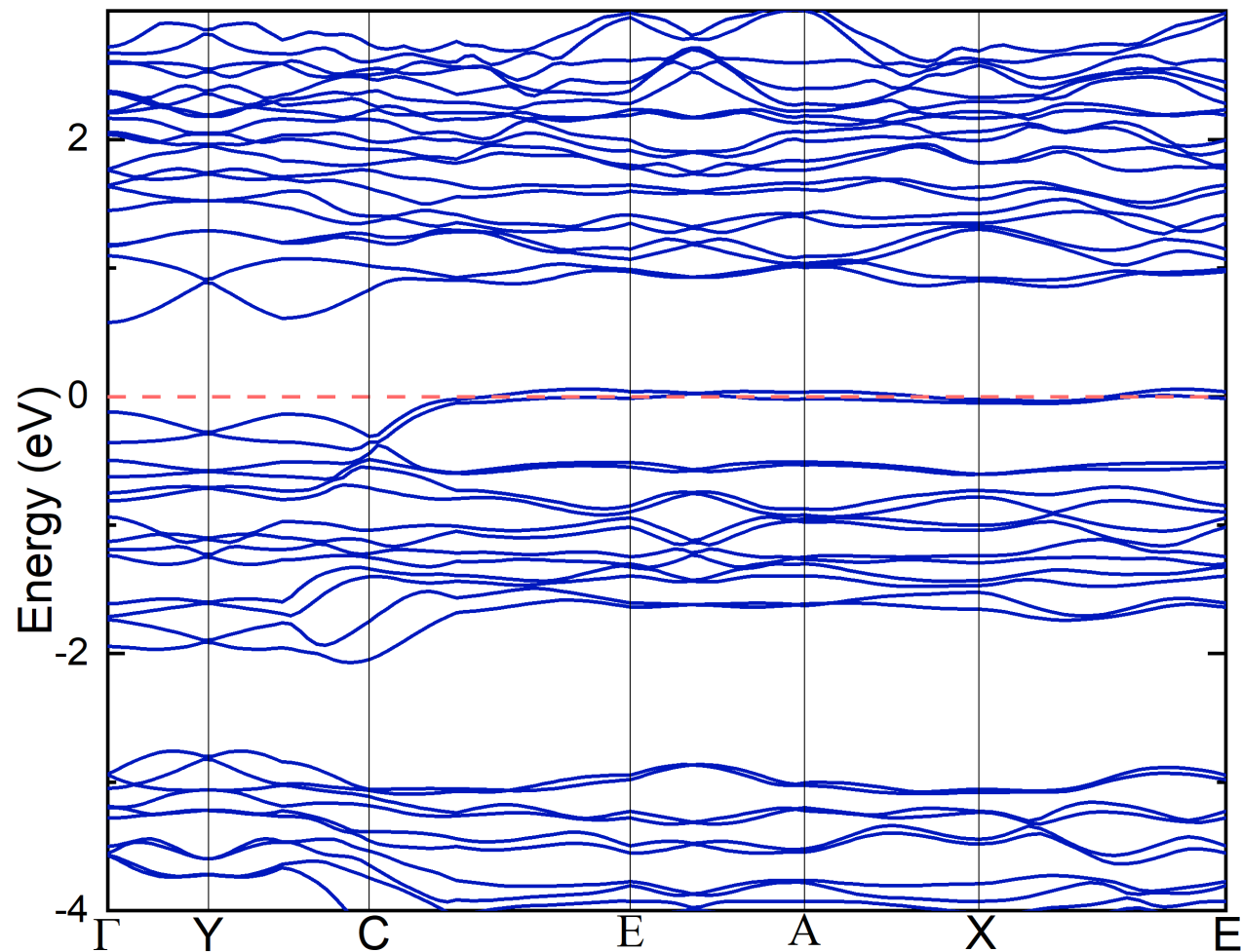
$\text{Cs}_2\text{Te}_5$



Orthorhombic crystal structure  
Cmcm (63)  
 $a = 9.657 \text{ \AA}$   
 $b = 12.324 \text{ \AA}$   
 $c = 10.340 \text{ \AA}$

# Possible Phases Cs - Te

CsTe<sub>4</sub>



Orthorhombic crystal structure

Pbam (55)

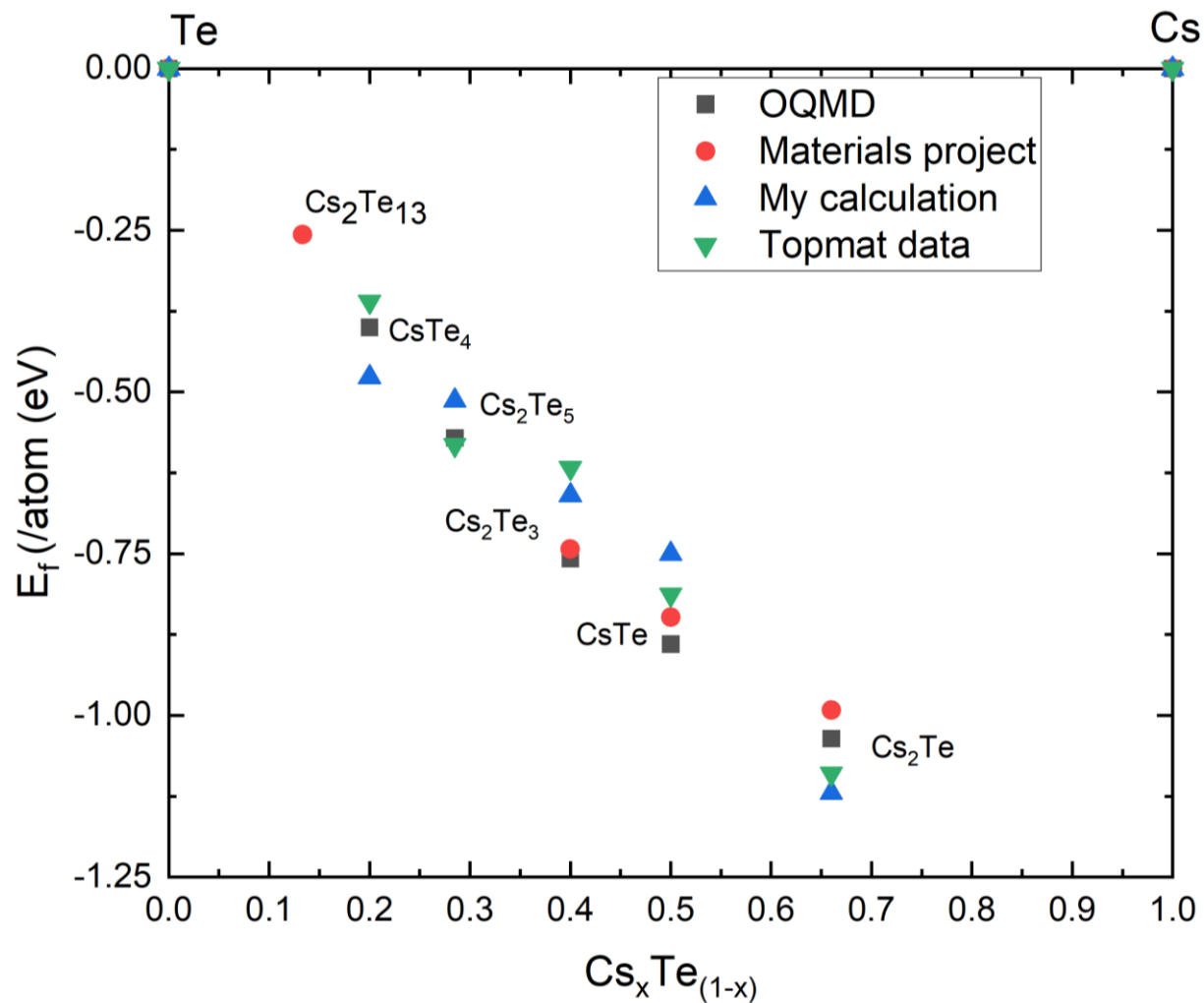
$a = 8.140 \text{ \AA}$

$b = 7.354 \text{ \AA}$

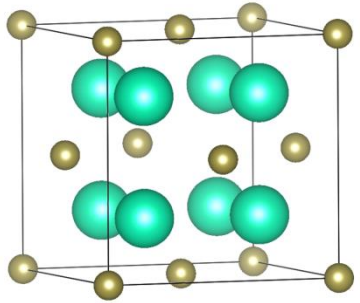
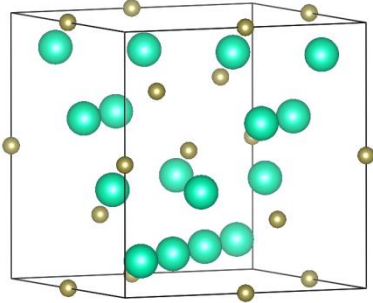
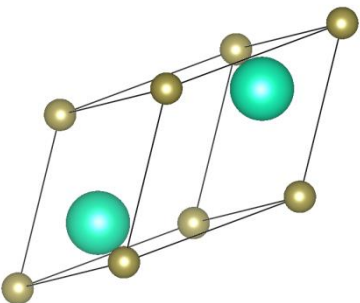
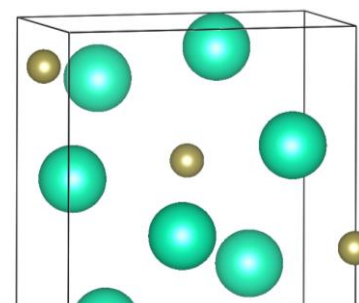
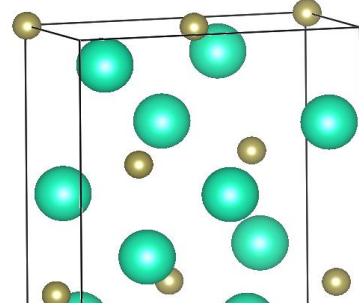
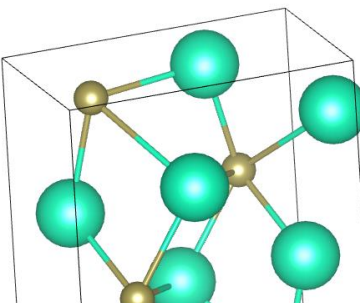
$c = 14.229 \text{ \AA}$

# Possible Phases Cs - Te

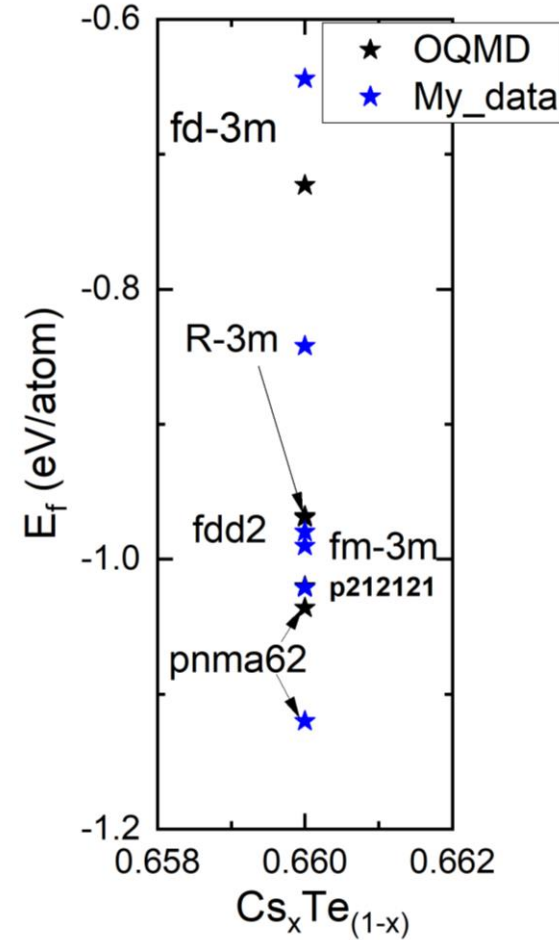
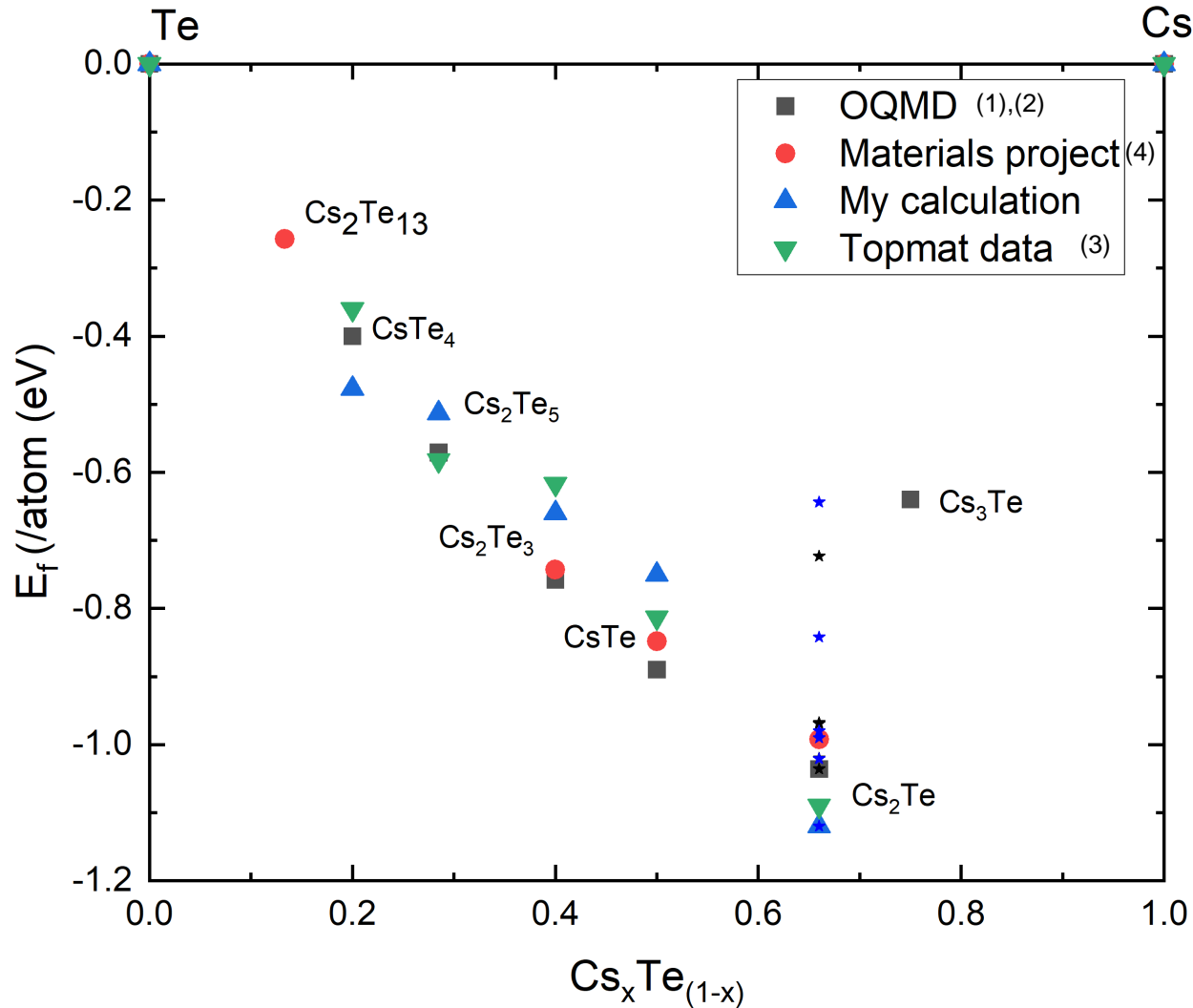
$\text{Cs}_x\text{Te}_{1-x}$



# Cs<sub>2</sub>Te - Phases

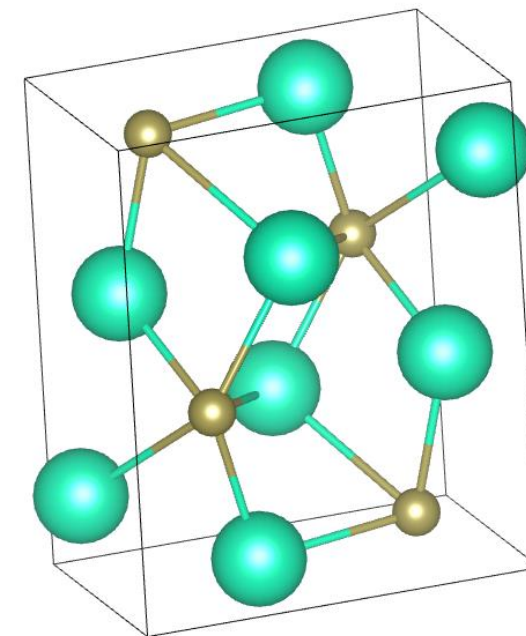
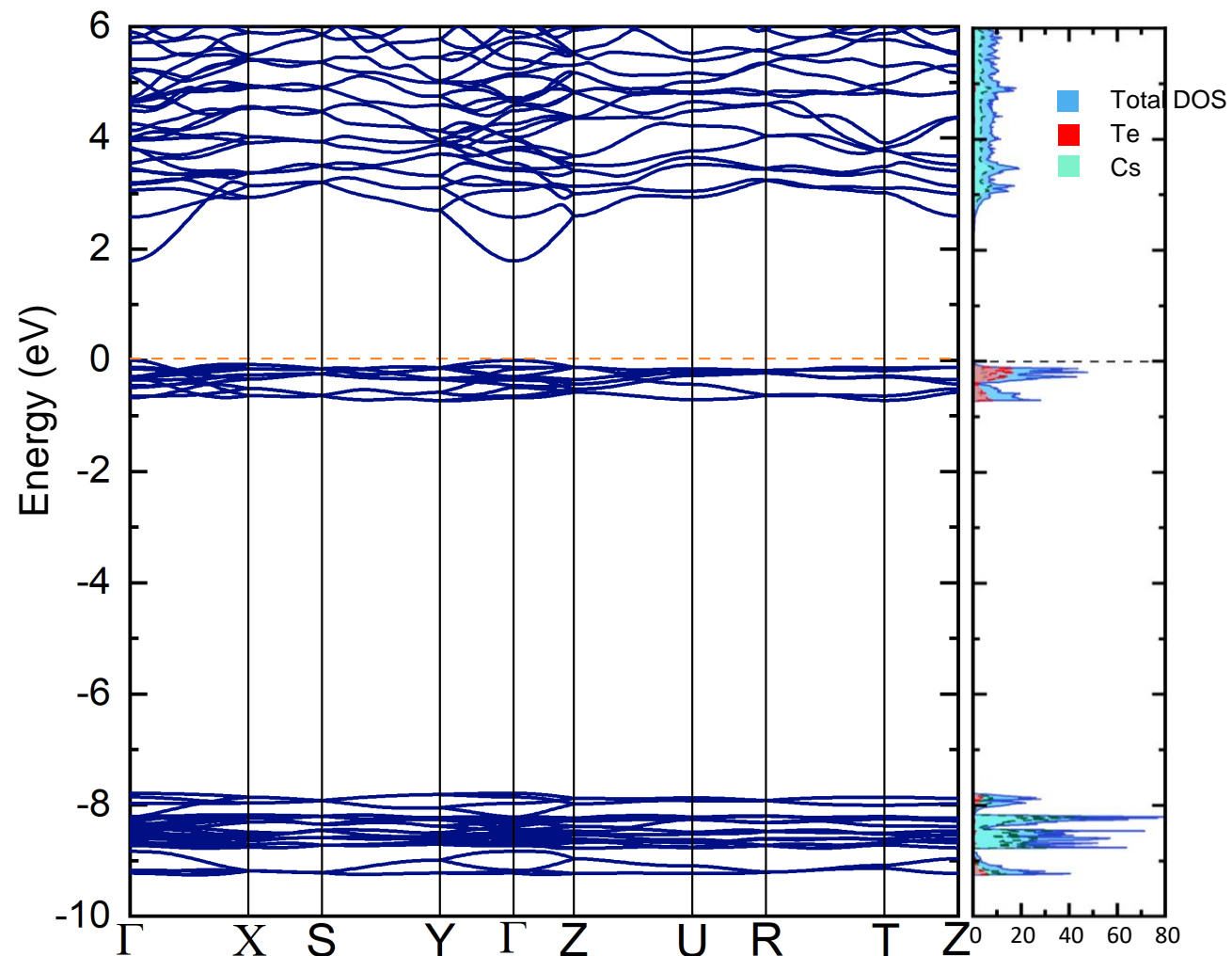
Cubic Fm-3m (225)	Cubic Fd-3m (227)	Trigonal R-3m (166)	Orthorhombic P212121 (19)	Orthorhombic Fdd2 (13)	Orthorhombic Pnma (62)
					
-1.036	-0.723	-0.968	-1.020	-0.969	-1.036
-0.990	-0.644	-0.842	-1.021	-0.979	-1.119

# Possible Phases Cs - Te



# Orthorhombic crystal structure

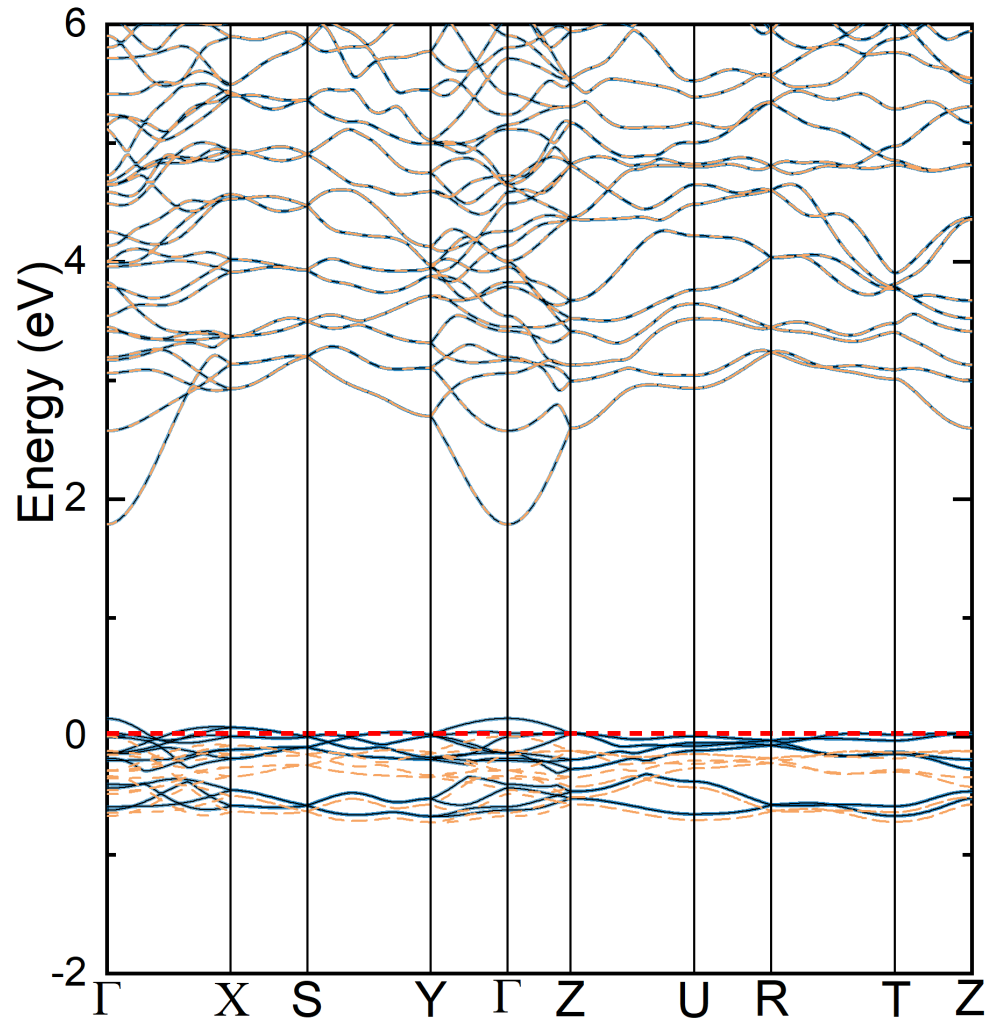
Space group  $pnma$  (62) - Most common structure



	DFT	Ref <sup>(6)</sup>	EXP <sup>(5)</sup>
a (Å)	5.844	5.845	5.871
b (Å)	9.415	9.542	9.109
c (Å)	11.663	11.591	11.474

# $Cs_2Te$ band structure

With relativistic and spin orbit coupling



Method	$E_{gap}$	$E_{gap}^{+SOC}$ (5)	Experimental $E_{gap}$ (7)
PBE	1.78	1.63	
reference	1.76	1.58	3.3

# Effective mass calculation

## Conduction bands

- I used two different methods to calculate effective mass for different bands.
- Old method uses a parabolic fit to the band and then calculate the effective mass using the curvature of the fit.
- The effective mass at the Fermi energy using

$$m^* = \hbar^2 \left( \frac{d^2 E}{dk^2} \Big|_{k=k_f} \right)^{-1}$$

- New method takes point by point double derivative to calculate the effective mass.

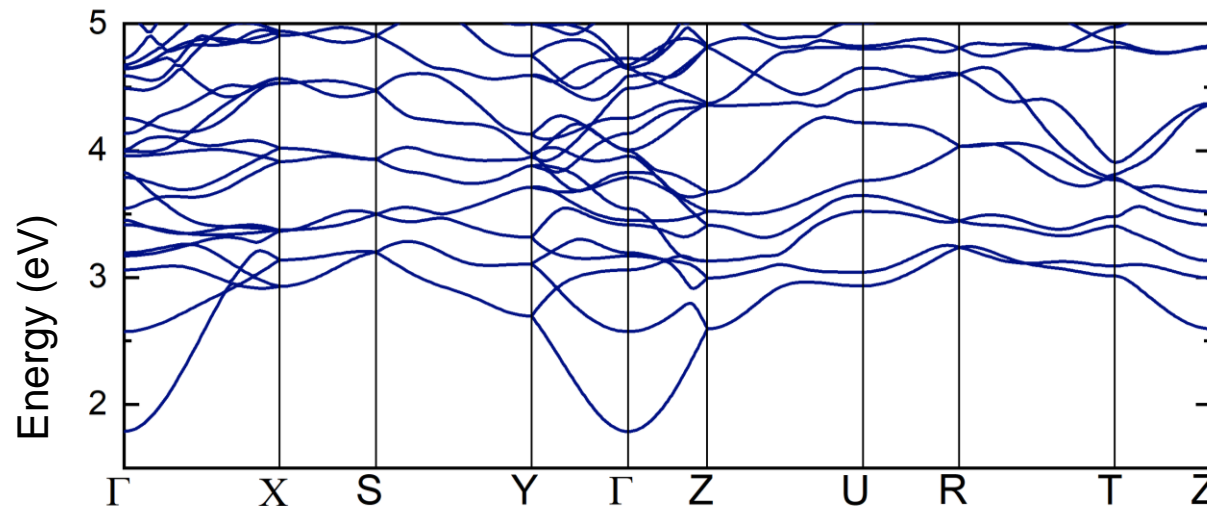
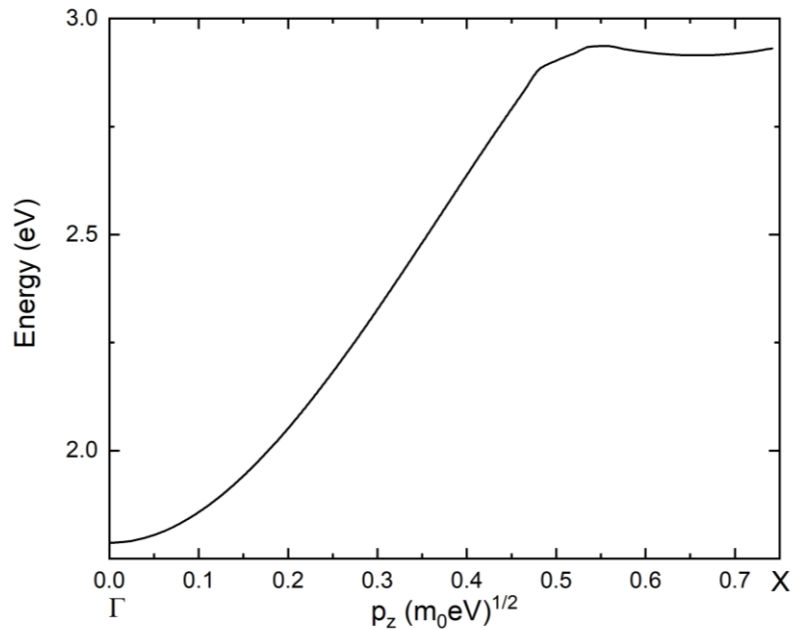


Figure represents the zoomed conduction band plot.

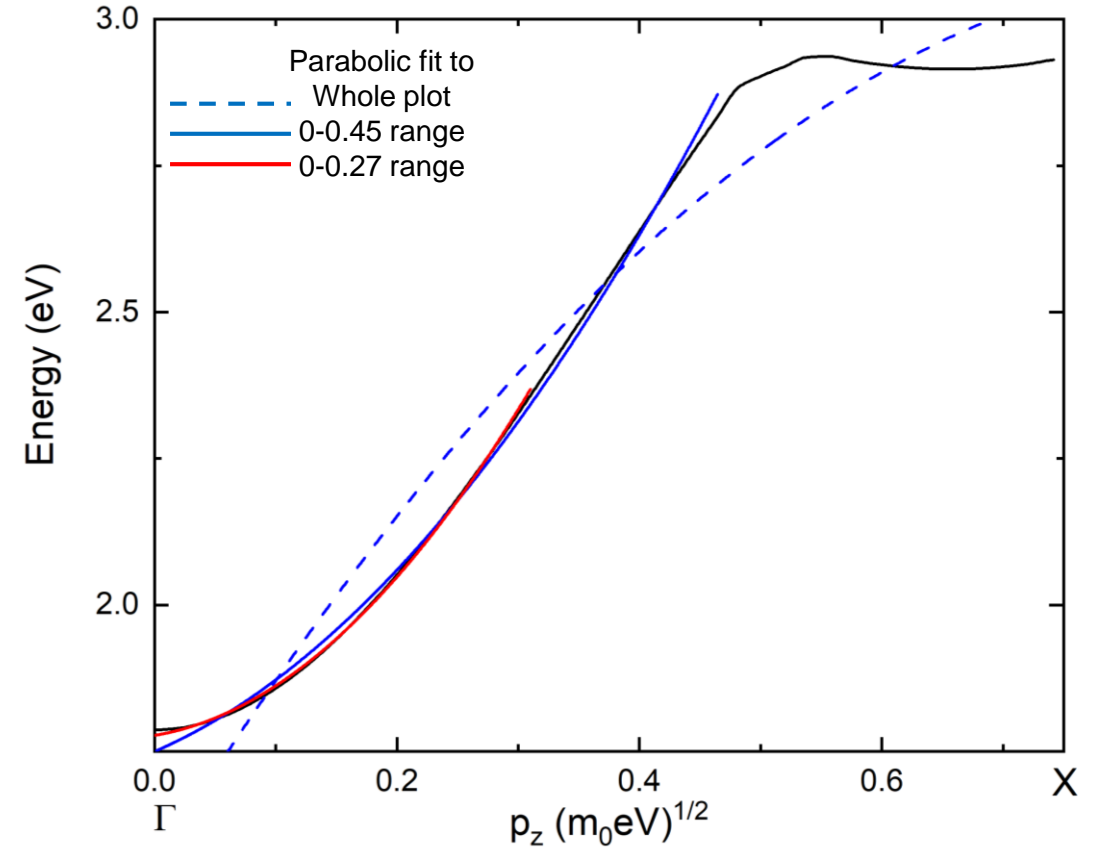


# Effective mass calculation

## $\Gamma X$ direction example



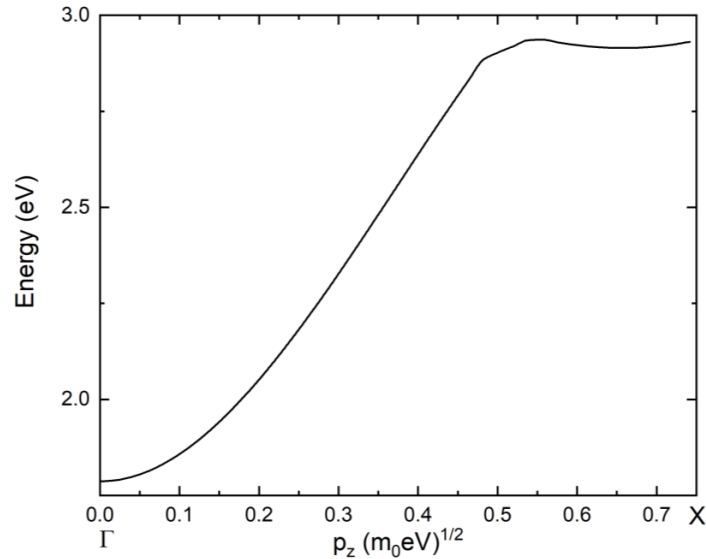
Parabolic fits  
➔



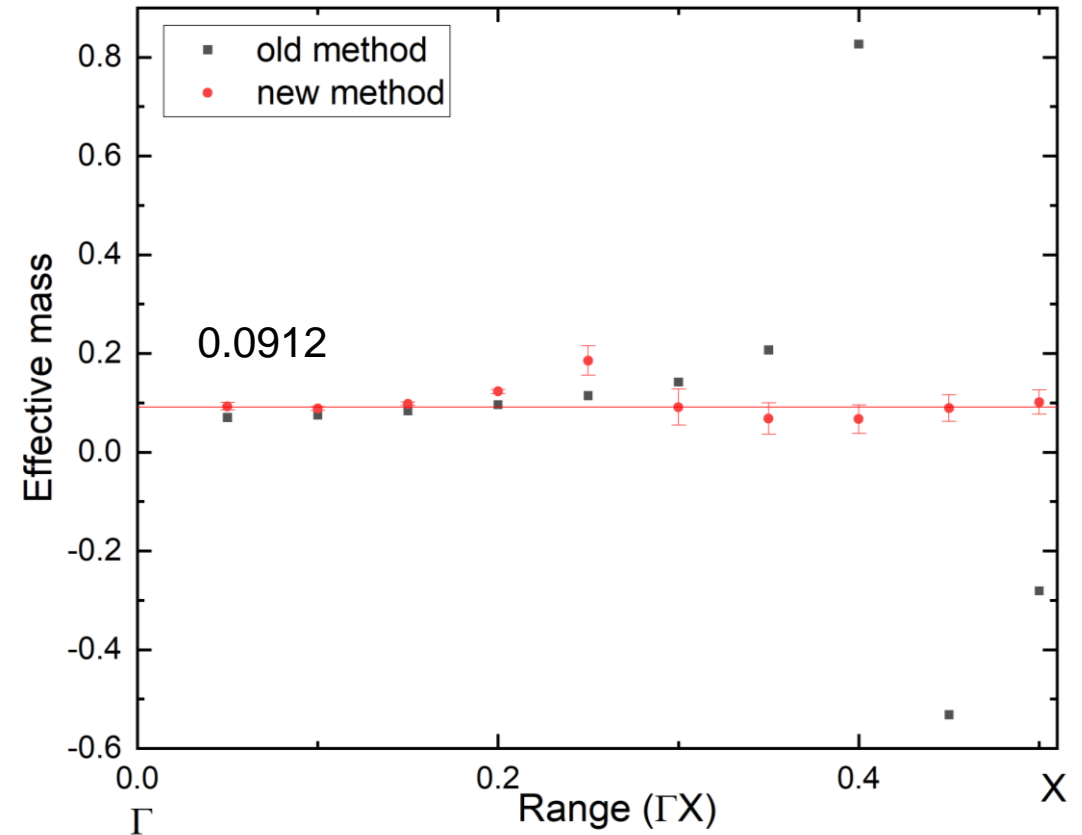
This figure represents the lowest conduction band in the  $\Gamma X$  direction. All three parabolic fits for different regions are not good fits to the band.

# Effective mass calculation

## $\Gamma X$ direction as an example



- Above figure represents the minimum conduction band in the  $\Gamma X$ .
- Since it is not a perfect parabolic band, I consider small k-point value regions starting from 0 such as (0-0.05), (0-0.1), (0-0.15) ... and finally full range (0-0.5).
- I used a parabolic fit and point by point derivative method to obtain effective mass for each region.
- The right figure represents the obtained effective mass plot against the range.

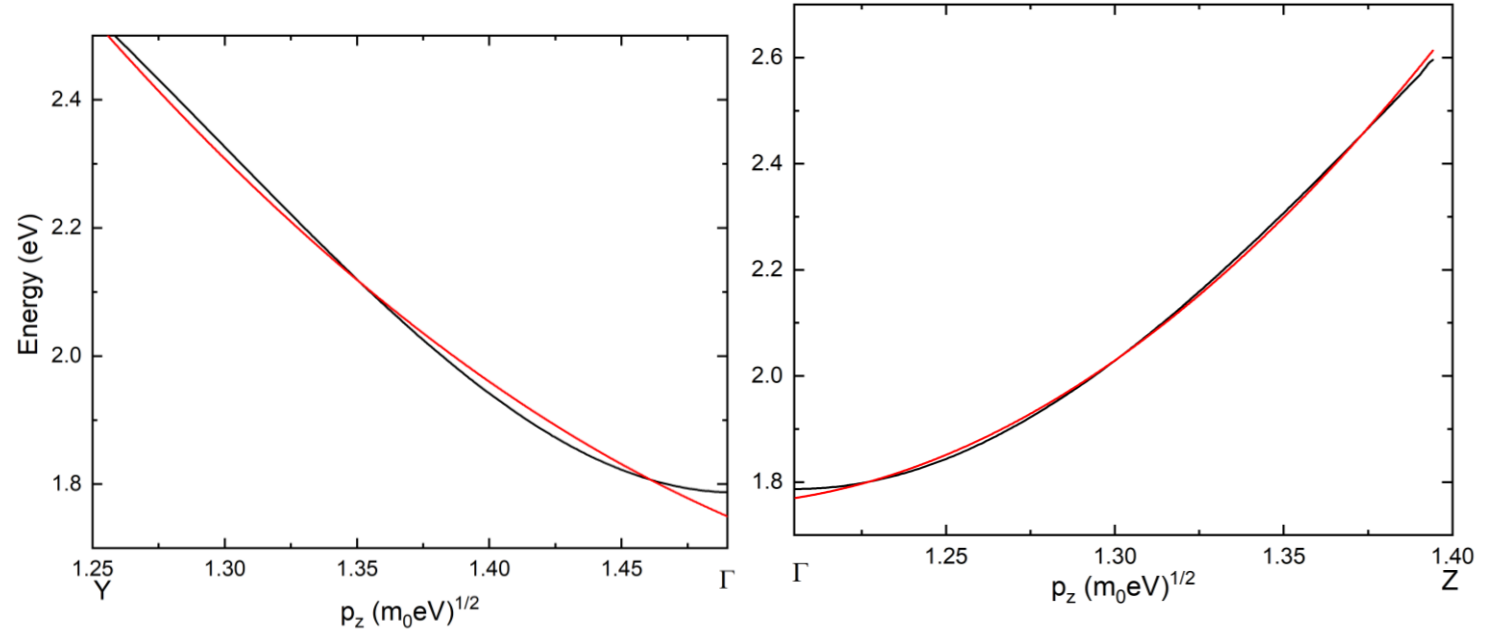


Red solid line represents the average of red values (0.0912) which is almost within the error bars.

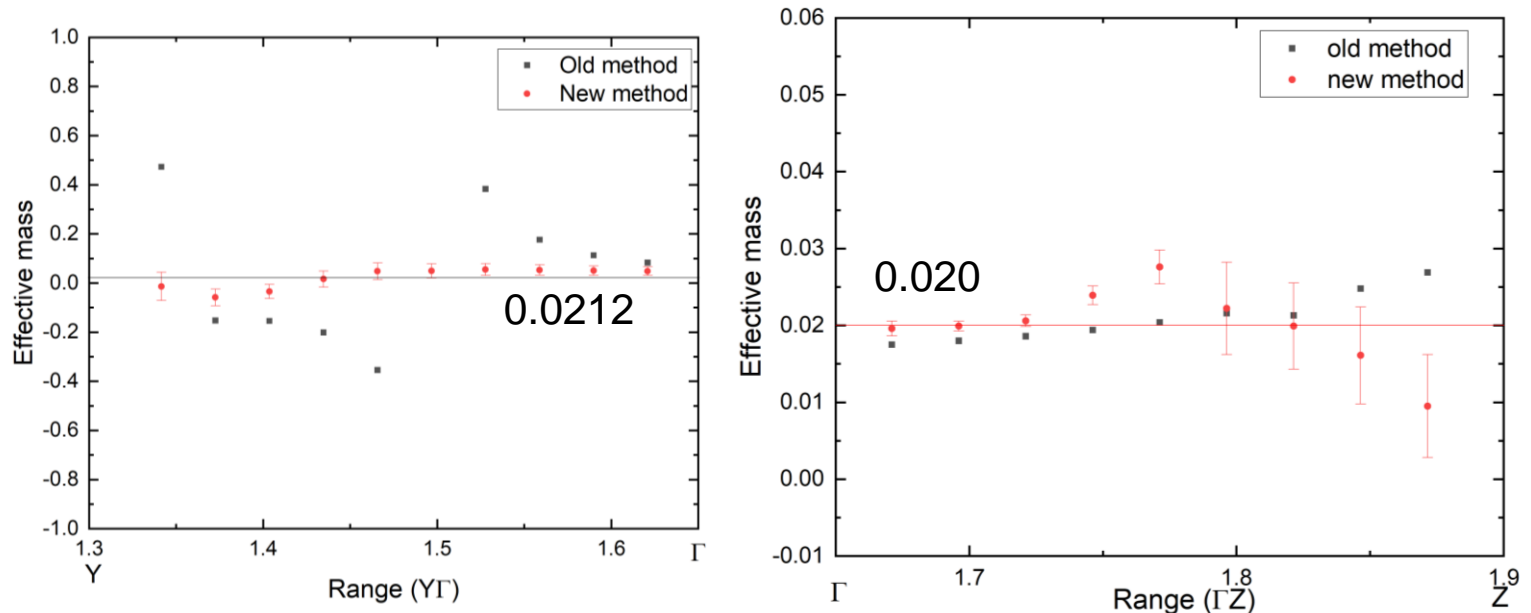
# Effective mass calculation

## *Y $\Gamma$ and $\Gamma$ Z direction*

- These top figures represent the lowest conduction band in the Y $\Gamma$  and  $\Gamma$ Z directions.
- Red solid lines represent the parabolic fits to relevant bands.



- These below figures represent the calculated effective masses similar to the  $\Gamma$ X direction.



# Future plans???

- Which valence bands contribute to the photoemission?
- Which conduction bands are populated by the incident photon energy?
- Find the absorption coefficient to evaluate the UV absorption depth (how far electrons need to go to get out into the vacuum).
- Find the significance of phonon scattering (using the electron phase velocity).
- Three step analysis for  $Cs_2Te$ .

# References

1. Saal, J. E., Kirklin, S., Aykol, M., Meredig, B., and Wolverton, C. "Materials Design and Discovery with High-Throughput Density Functional Theory: The Open Quantum Materials Database (OQMD)", *JOM* 65, 1501-1509 (2013).
2. Kirklin, S., Saal, J.E., Meredig, B., Thompson, A., Doak, J.W., Aykol, M., Rühl, S. and Wolverton, C. "The Open Quantum Materials Database (OQMD): assessing the accuracy of DFT formation energies", *npj Computational Materials* 1, 15010 (2015).
3. <https://discover.materialscloud.org/topomat/materials/>
4. A. Jain\*, S.P. Ong\*, G. Hautier, W. Chen, W.D. Richards, S. Dacek, S. Cholia, D. Gunter, D. Skinner, G. Ceder, K.A. Persson (*\*=equal contributions*)  
*The Materials Project: A materials genome approach to accelerating materials innovation*  
*APL Materials*, 2013, 1(1), 011002.
5. I. Schewe-Miller and P. Böttcher, Synthesis and crystal structures of  $K_5Se_3$ ,  $Cs_5Te_3$  and  $Cs_2Te$ , *Z. Kristallogr.*196, 137 (1991).
6. Holger-Dietrich Sanick, Caterina Cocchi, Electronic Structure of Cesium-based Photocathode Materials from Density Functional Theory: Which Exchange-Correlation Functional Can We Trust? arXiv preprint arXiv: 2101.04596
7. R. A. Powell, W. E. Spicer, G. B. Fisher, and P. Gregory, Photoemission studies of cesium telluride, *Phys. Rev. B* 8, 3987 (1973).

**Thank you**

## Contact

**DESY.** Deutsches  
Elektronen-Synchrotron

[www.desy.de](http://www.desy.de)

Gowri Adhikari  
PITZ  
[gowriadhikari@desy.de](mailto:gowriadhikari@desy.de)

# Most recent QE measurements

17/03/2021

Photon energy (eV)	Current (nA)	Light Power ( $\mu$ W)	QE (%) With the lens	Log (QE%)	Current (nA)	Light Power ( $\mu$ W)	QE (%) without the lens	Log (QE%)
5.19	0.0235	0.494	$2.4702 \times 10^{-2}$	-1.6073	0.021	0.700	$1.5270 \times 10^{-2}$	-1.8162
4.88	0.0069	0.342	$9.8913 \times 10^{-3}$	-2.0048	0.00527	0.567	$4.6321 \times 10^{-3}$	-2.3342
4.18	0.00034	10.8	$1.3229 \times 10^{-5}$	-4.8785	0.00024	10.4	$9.5653 \times 10^{-6}$	-5.0193
3.71	0.00017	8.67	$7.2843 \times 10^{-6}$	-5.1376	0.00012	7.79	$5.5536 \times 10^{-6}$	-5.2554
3.4	0.00006	30.5	$6.7277 \times 10^{-7}$	-6.1721	0.00004	24.9	$5.4710 \times 10^{-7}$	-6.2619
3.18	0.00006	4.89	$4.0196 \times 10^{-6}$	-5.3958	0.00006	5.596	$4.5476 \times 10^{-6}$	-5.3422
2.95	0.00006	0.24	$7.3966 \times 10^{-5}$	-4.1309	0.00007	0.208	$8.5377 \times 10^{-5}$	-4.0687
2.82	0.00006	0.331	$5.1114 \times 10^{-5}$	-4.2915	0.00008	0.3004	$7.5093 \times 10^{-5}$	-4.1244