# 4D emittance measurement with quads plus slits scan for PITZ (proposal)

#### **Content:**

- Motivation and methods
- General idea and principle
- Algorithm for coupling terms/4D emittance measurement with quads plus slits scan
- Experiment setup and simulation studies for PITZ
- Summary

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$$C = \begin{bmatrix} \langle xx \rangle & \langle xx' \rangle & \langle xy \rangle & \langle xy' \rangle \\ \langle x'x \rangle & \langle x'x' \rangle & \langle x'y \rangle & \langle x'y' \rangle \\ \langle yx \rangle & \langle yx' \rangle & \langle yy \rangle & \langle yy' \rangle \\ \langle y'x \rangle & \langle y'x' \rangle & \langle y'y \rangle & \langle y'y' \rangle \end{bmatrix}$$



## **Background and Motivation**

#### Beam asymmetry observed from experiment

 $\rightarrow$  Due to field imperfection of RF coupler kick and solenoid.

- ➔Normal quads and skew quads can produce the beam wings structure, consistent with experiment results, induce the x and y plane beam coupling.
- →Gun quads are used for compensation the quads error field in the gun section, from experiment confirms work well.

#### But.... We still need to know....

- Try to find a reasonable and judgeable way to decide the optimized comenpensation quads strength and can optimize....standard procedure.
- ➢ Goal: minimize rms emittance.
- Start from: coupling beam dynamics and 4D beam emittance....



#### **4D emittance measurement Methods**

- Applied skewed quadrupoles in combination with a regular slit emittance measurement device.
- A rotatable slit device in combination with regular quadrupoles.
- Multi-quads scan.
- Peper pot.
- Single octupole plus two steerers.

\*M. Maier†, X. Du, P. Gerhard, L. Groening, S. Mickat, H. Vormann, C. Xiao, COMPLETE TRANSVERSE 4D BEAM CHARACTERIZATION FOR ION BEAMS AT ENERGIES OF FEW MeV/U, TH2A03 Proceedings of LINAC2016, East Lansing, MI, USA.
\*C. Xiao, L.Groening, P.Gerhard, M.Maier, S.Mickat, H.Vormann. Measurement of the transverse four-dimensional beam rms-emittance of an intense uranium beam at 11.4 MeV/u. Nuclear Instruments and Methods in Physics Research A 820( 2016)14–22.
\*C. Xiao, M. Maier, X. N. Du, P. Gerhard, L. Groening, S. Mickat, and H. Vormann. Rotating system for four-dimensional transverse rms-emittance measurements, PHYSICAL REVIEW ACCELERATORS AND BEAMS 19, 072802 (2016).
\*Eduard Prat and Masamitsu Aiba. Four-dimensional transverse beam matrix measurement using the multiple-quadrupole scan technique. PHYSICAL REVIEW SPECIAL TOPICS - ACCELERATORS AND BEAMS 17, 052801 (2014).
\*R. P. Shanks, M. P. Anania, et al., Pepper-Pot Emittance Measurement of Laser-Plasma Wakefield Accelerated Electrons, https://pure.strath.ac.uk/portal/files/2040338/SPIE\_paper.pdf.
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# Normal quads plus rotated slit -> principle

Three slits 0 degree, 45 degree, 90 degree and two quads layout



We measured for quads settings both a and b:
<xx>, <xx'>, <x'x'> horizontal slit scan
<yy>, <yy'>, <y'y'> vertical slit scan
<xx>, <xx'>, <x'x'> rotated slit in rotated coordinate

□ With some algorithm to reconstruct the correlations terms at reconstruction point.



#### One slit scan emittance measurement results from PITZ:



$$\begin{pmatrix} \beta_{x_0} \\ \alpha_{x_0} \\ \gamma_{x_0} \end{pmatrix} = \begin{pmatrix} \langle x_0^2 \rangle / \epsilon_{x, \text{rms}} \\ - \langle x_0 x_0' \rangle / \epsilon_{x, \text{rms}} \\ \langle {x_0'}^2 \rangle / \epsilon_{x, \text{rms}} \end{pmatrix}$$

{	Results		
Laport		Plot system ver. Feb 21 2017	7 17:35:57
rms size	<x<sup>2&gt;<sub>0</sub>=0.11800,</x<sup>	<y <sup="">2&gt;<sub>0</sub>=0.13100</y>	[mm]
Electron beam:			
Momentum gun	6.63000	± 0.0059	[MeV/c]
Momentum booster	22.30000	± 0.0114	[MeV/c]
or yag	0.31860		[mm]
Gacan	0.29426		[mm]
divergence	0.14251		[mrad]
covariance	0.03773		[mm mrad]
sheared div	0.01829		(mrad)
LDrift	3.64300		[m]
β	4.73331		(mm)
Y	1.11021		(mrad)
α	-2.06275		[mm mrad]
βγ-α²	1.00000		
€scaled sheared	0.843		[mm mrad]
Eno scaled	0.798		[mm mrad]
€scaled 2D	0.864		[mm mrad]
Comments: no comm			

We can get

<xx>, <xx'>, <x'x'> horizontal slit scan <yy>, <yy'>, <y'y'> vertical slit scan <xx>, <xx'>, <x'x'> rotated slit in rotated coordinate



#### **Rotated slit scan measurement**



✓ In the X'-Y' coordinate, we can consider it same as horizontal slit scan along X'. We can get  $\langle xx \rangle$ ,  $\langle xx' \rangle$ ,  $\langle x'x' \rangle$  in X'-Y' coordinate at slit position.

#### **Algorithm**

The transport of the beam matrix from location i to location f can be calculated as

 $C_f = M C_i M^T,$ 

M is the transport matrix between location i and location f.



The transports M<sup>a</sup> or M<sup>b</sup> of single particle coordinates from location i to location f using magnet settings a or b.

$$\begin{bmatrix} x \\ x' \\ y \\ y' \end{bmatrix}_{f}^{a,b} = \begin{bmatrix} m_{11}^{a,b} & m_{12}^{a,b} & 0 & 0 \\ m_{21}^{a,b} & m_{22}^{a,b} & 0 & 0 \\ 0 & 0 & m_{33}^{a,b} & m_{34}^{a,b} \\ 0 & 0 & m_{43}^{a,b} & m_{44}^{a,b} \end{bmatrix} \begin{bmatrix} x \\ x' \\ y \\ y' \end{bmatrix}_{i}, \quad (12)$$

(1) We measured for quads settings both a and b:
<xx>, <xx'>, <x'x'> horizontal slit scan
<yy>, <yy'>, <y'y'> vertical slit scan
<xx>, <xx'>, <x'x'> rotated slit in rotated coordinate

(2) The uncoupled beam matrix at position i can be reconstructed from horitzontal slit scan for horizontal beam matrix at position  $f \rightarrow i$ .

(3) The same procedure can be used for y slit scan for vertical beam matrix at position  $f \rightarrow i$ .

$$C = \begin{bmatrix} \langle xx \rangle & \langle xx' \rangle & \langle xy \rangle & \langle xy' \rangle \\ \langle x'x \rangle & \langle x'x' \rangle & \langle x'y \rangle & \langle x'y' \rangle \\ \langle yx \rangle & \langle yx' \rangle & \langle yy \rangle & \langle yy' \rangle \\ \langle y'x \rangle & \langle y'x' \rangle & \langle y'y \rangle & \langle y'y' \rangle \end{bmatrix}$$

**DESY.** | 4D emittance measurement | Quantang Zhao| PPS | Zeuthen|

$$\begin{aligned} & \text{Coupling terms measurement} \\ & \begin{bmatrix} x \\ y \\ y \\ y \end{bmatrix}_{f}^{a,b} = \begin{bmatrix} m_{11}^{a,b} & m_{22}^{a,b} & 0 & 0 \\ m_{21}^{a,b} & m_{22}^{a,b} & 0 & 0 \\ 0 & 0 & m_{33}^{a,b} & m_{34}^{b,b} \\ 0 & 0 & m_{43}^{a,b} & m_{44}^{a,b} \end{bmatrix} \begin{bmatrix} x \\ y \\ y \\ y \end{bmatrix}_{f}^{c}, \end{aligned} \\ & (12) \\ & (12) \\ & + m_{12}^{a,b} & m_{33}^{a,b} \langle xy \rangle_{i} + m_{11}^{a,b} & m_{34}^{a,b} \langle xy' \rangle_{i}, \\ & + m_{12}^{a,b} & m_{33}^{a,b} \langle xy' \rangle_{i} + m_{12}^{a,b} & m_{34}^{a,b} \langle xy' \rangle_{i}, \\ & + m_{12}^{a,b} & m_{33}^{a,b} \langle x'y \rangle_{i} + m_{12}^{a,b} & m_{34}^{a,b} \langle xy' \rangle_{i}, \\ & \langle xy' \rangle_{f}^{a,b} &= m_{11}^{a,b} & m_{33}^{a,b} \langle xy' \rangle_{i} + m_{12}^{a,b} & m_{34}^{a,b} \langle xy' \rangle_{i}, \\ & \langle xy' \rangle_{f}^{a,b} &= (m_{11}^{a,b} & m_{43}^{a,b} + m_{21}^{a,b} & m_{34}^{a,b} \langle xy' \rangle_{i}, \\ & \langle xy' \rangle_{f}^{a,b} &= (m_{11}^{a,b} & m_{43}^{a,b} + m_{21}^{a,b} & m_{34}^{a,b} \langle xy' \rangle_{i}, \\ & \langle xy' \rangle_{f}^{a,b} &= (m_{11}^{a,b} & m_{43}^{a,b} + m_{21}^{a,b} & m_{34}^{a,b} \langle xy' \rangle_{i}, \\ & \langle xy' \rangle_{f}^{a,b} &= (m_{11}^{a,b} & m_{43}^{a,b} + m_{21}^{a,b} & m_{33}^{a,b} \langle xy \rangle_{i}, \\ & \langle xy' \rangle_{f}^{a,b} &= (m_{11}^{a,b} & m_{43}^{a,b} + m_{21}^{a,b} & m_{33}^{a,b} \langle xy' \rangle_{i}, \\ & \langle xy' \rangle_{f}^{a,b} &= (m_{11}^{a,b} & m_{43}^{a,b} + m_{22}^{a,b} & m_{33}^{a,b} \langle xy' \rangle_{i}, \\ & \langle xy' \rangle_{f}^{a,b} &= (m_{11}^{a,b} & m_{43}^{a,b} + m_{22}^{a,b} & m_{33}^{a,b} \langle xy' \rangle_{i}, \\ & \langle xy' \rangle_{f}^{a,b} &= (m_{11}^{a,b} & m_{43}^{a,b} + m_{22}^{a,b} & m_{33}^{a,b} \langle xy' \rangle_{i}, \\ & \langle xy' \rangle_{d}^{a,b} &= (m_{12}^{a,b} & m_{43}^{a,b} & m_{22}^{a,b} & m_{33}^{a,b} \langle xy' \rangle_{i}, \\ & \langle x'y' \rangle_{d}^{a,b} &= (m_{12}^{a,b} & m_{43}^{a,b} & (xy')_{i}, \\ & \langle x'y' \rangle_{d}^{a,b} &= (m_{2}^{a,b} & m_{43}^{a,b} & (xy')_{i}, \\ & \langle x'y' \rangle_{d}^{a,b} &= (m_{2}^{a,b} & m_{43}^{a,b} & (xy')_{i}, \\ & \langle x'y' \rangle_{d}^{a,b} &= (m_{2}^{a,b} & m_{43}^{a,b} & (xy')_{i}, \\ & \langle x'y' \rangle_{d}^{a,b} &= (m_{2}^{a,b} & m_{43}^{a,b} & (xy')_{d}, \\ & \langle x'y' \rangle_{d}^{a,b} &= (m_{2}^{a,b} & m_{43}^{a,b} & (xy')_{i}, \\ & \langle x'y' \rangle_{d}^{a,b} &= (m_{2}^{a,b} & m_{43}^{a,b} & (xy')_{i}, \\ & \langle x'y' \rangle_{d}^{a,b} &= (m_{2}^{a,b} & m_{43}^{a,b} & (xy$$

DESY

PITZ

$$\langle xx \rangle_{\theta}^{a,b} = \cos^2 \theta \langle xx \rangle_f^{a,b} + 2\sin\theta\cos\theta \langle xy \rangle_f^{a,b} + \sin^2 \theta \langle yy \rangle_f^{a,b}, \langle xx' \rangle_{\theta}^{a,b} = \cos^2 \theta \langle xx' \rangle_f^{a,b} + \sin\theta\cos\theta \langle xy' \rangle_f^{a,b} + \sin\theta\cos\theta \langle x'y \rangle_f^{a,b} + \sin^2 \theta \langle yy' \rangle_f^{a,b} \langle x'x' \rangle_{\theta}^{a,b} = \cos^2 \theta \langle x'x' \rangle_f^{a,b} + 2\sin\theta\cos\theta \langle x'y' \rangle_f^{a,b} + \sin^2 \theta \langle y'y' \rangle_f^{a,b}. \langle xy \rangle_f^{a,b} = m_{11}^{a,b} m_{33}^{a,b} \langle xy \rangle_i + m_{11}^{a,b} m_{34}^{a|b} \langle xy' \rangle_i$$

$$+ m_{12}^{a,b} m_{33}^{a,b} \langle x'y \rangle_i + m_{12}^{a,b} m_{34}^{a,b} \langle x'y' \rangle_i$$

$$\begin{split} \langle xy' \rangle_{f}^{a,b} + \langle x'y \rangle_{f}^{a,b} &= (m_{11}^{a,b} m_{43}^{a,b} + m_{21}^{a,b} m_{33}^{a,b}) \langle xy \rangle_{i} \\ &+ (m_{11}^{a,b} m_{44}^{a,b} + m_{21}^{a,b} m_{34}^{a,b}) \langle xy' \rangle_{i} \\ &+ (m_{12}^{a,b} m_{43}^{a,b} + m_{22}^{a,b} m_{33}^{a,b}) \langle x'y \rangle_{i} \\ &+ (m_{12}^{a,b} m_{44}^{a,b} + m_{22}^{a,b} m_{34}^{a,b}) \langle x'y' \rangle_{i}, \end{split}$$

$$\begin{split} \langle x'y'\rangle_{f}^{a,b} &= m_{21}^{a,b}m_{43}^{a,b}\langle xy\rangle_{i} + m_{21}^{a,b}m_{44}^{a,b}\langle xy'\rangle_{i} \\ &+ m_{22}^{a,b}m_{43}^{a,b}\langle x'y\rangle_{i} + m_{22}^{a,b}m_{44}^{a,b}\langle x'y'\rangle_{i}. \end{split}$$

We measured for quads settings both a and b: <xx>, <xx'>, <x'x'> horizontal slit scan <yy>, <yy'>, <y'y'> vertical slit scan <xx>, <xx'>, <x'x'> rotated slit

From these measurement results, we can get <xy>, <x'y'>,<xy'>+<x'y> at f position

All elements of the transport matrices  $M_{xx}^{a,b}$  and  $M_{yy}^{a,b}$  are known from magnet settings. The second moments  $\langle xx \rangle_{f}^{a,b}$ ,  $\langle xx' \rangle_{f}^{a,b}$ ,  $\langle xx' \rangle_{f}^{a,b}$ ,  $\langle yy \rangle_{f}^{a,b}$ ,  $\langle yy' \rangle_{f}^{a,b}$ , and  $\langle y'y' \rangle_{f}^{a,b}$  before rotation and  $\langle xx \rangle_{\theta}^{a,b}$ ,  $\langle xx' \rangle_{\theta}^{a,b}$ , and  $\langle x'x' \rangle_{\theta}^{a,b}$  after rotation can be measured. Combining Eq. (13) to Eq. (19), the solution



$$\begin{bmatrix} \Gamma_{11} \langle xy \rangle_{i} + \Gamma_{12} \langle xy' \rangle_{i} + \Gamma_{13} \langle x'y \rangle_{i} + \Gamma_{14} \langle x'y' \rangle_{i} = \Lambda_{1} \\ \Gamma_{21} \langle xy \rangle_{i} + \Gamma_{22} \langle xy' \rangle_{i} + \Gamma_{23} \langle x'y \rangle_{i} + \Gamma_{24} \langle x'y' \rangle_{i} = \Lambda_{2} \\ \Gamma_{31} \langle xy \rangle_{i} + \Gamma_{32} \langle xy' \rangle_{i} + \Gamma_{33} \langle x'y \rangle_{i} + \Gamma_{34} \langle x'y' \rangle_{i} = \Lambda_{2} \\ \Gamma_{31} \langle xy \rangle_{i} + \Gamma_{32} \langle xy' \rangle_{i} + \Gamma_{33} \langle x'y \rangle_{i} + \Gamma_{34} \langle x'y' \rangle_{i} = \Lambda_{4} \\ \Gamma_{51} \langle xy \rangle_{i} + \Gamma_{52} \langle xy' \rangle_{i} + \Gamma_{53} \langle x'y \rangle_{i} + \Gamma_{54} \langle x'y' \rangle_{i} = \Lambda_{4} \\ \Gamma_{51} \langle xy \rangle_{i} + \Gamma_{52} \langle xy' \rangle_{i} + \Gamma_{53} \langle x'y \rangle_{i} + \Gamma_{54} \langle x'y' \rangle_{i} = \Lambda_{5} \\ \Gamma_{61} \langle xy \rangle_{i} + \Gamma_{62} \langle xy' \rangle_{i} + \Gamma_{63} \langle x'y \rangle_{i} + \Gamma_{64} \langle x'y' \rangle_{i} = \Lambda_{6} \\ \end{bmatrix}$$

$$\begin{bmatrix} \Gamma_{11} & \Gamma_{12} & \Gamma_{13} & \Gamma_{14} \\ \Gamma_{31} & \Gamma_{32} & \Gamma_{33} & \Gamma_{34} \\ \Gamma_{51} & \Gamma_{32} & \Gamma_{33} & \Gamma_{44} \\ \Gamma_{51} & \Gamma_{22} & \Gamma_{63} & \Gamma_{64} \end{bmatrix} \begin{bmatrix} \langle xy \rangle_{i} \\ \langle x'y \rangle_{i} \\ \langle x'y \rangle_{i} \end{bmatrix} = \begin{pmatrix} \Lambda_{1} \\ \Lambda_{2} \\ \Lambda_{3} \\ \Lambda_{4} \\ \Lambda_{5} \\ \Gamma_{61} & \Gamma_{62} & \Gamma_{63} & \Gamma_{64} \end{bmatrix} \begin{bmatrix} \langle xy \rangle_{i} \\ \Gamma_{11} & \Gamma_{12} & \Gamma_{13} & \Gamma_{14} \\ \Gamma_{31} & \Gamma_{32} & \Gamma_{33} & \Gamma_{34} \\ \Gamma_{51} & \Gamma_{52} & \Gamma_{53} & \Gamma_{54} \\ \Gamma_{51} & \Gamma_{52} & \Gamma_{63} & \Gamma_{64} \end{bmatrix} \begin{bmatrix} \Lambda_{1} \\ \Lambda_{2} \\ \Lambda_{3} \\ \Lambda_{4} \\ \Gamma_{51} & \Gamma_{52} & \Gamma_{53} & \Gamma_{54} \\ \Gamma_{51} & \Gamma_{52} & \Gamma_{53} & \Gamma_$$



PIT

#### **Quads settings consideration**

Frobe

Condition number:  

$$\kappa(\Gamma) \coloneqq \|\Gamma\|_{2} \|\Gamma^{\dagger}\|_{2}, \qquad \Gamma^{\dagger} = (\Gamma^{T}\Gamma)^{-1}\Gamma^{T}$$
nius norm of gama matrix  

$$\|\Gamma\|_{2} \coloneqq \sqrt{\sum_{i=1}^{n} \sum_{j=1}^{k} (\Gamma_{i,j})^{2}}, \qquad \|\Gamma^{\dagger}\|_{2} \coloneqq \sqrt{\sum_{i=1}^{k} \sum_{j=1}^{n} (\Gamma^{\dagger}_{i,j})^{2}}$$

The numerical stability (degeneration of the system) is better if the condition number is small. Well-conditioned matrices have condition numbers which are close to 1.0.

In order to obtain reliable evaluation results a four-dimensional emittance measurement needs:

- (i) one reference emittance measurement with 100% transmission efficiency between location i and location f to obtain projected beam parameters at location i (on-diagonal section of beam matrix of Ci).
- (ii) all quadrupoles varied numerically in a brute-force method in order to check each setting for full transmission efficiency from location i to location f, and for reasonable beam sizes on slit and screen
- (iii)All settings from safety islands are combined to determine combinations of two settings a and b corresponding to a low condition number.

Measurement is quick and data analysis is easy  $\rightarrow$ We can try several settings of quads and to find out the reliable experiment result.



#### For PITZ two quads plus slits scan set up

- Three slits are required: rotation 0 degree(horizontal), rotation 90 degree(vertical), arbitrary angle(not 0 and 90, such as 30 degree, 45 degree).
- For current setup, one more slit need to instal at EMSY2, with 45 degree rotation angle → easy to set up the experiment and also can use fastscan software.





## **Simulation studies**

#### Initial beam 4d beam matrix, unit mm rad



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# **Simulation set up**

#### 5 groups quads settings

group1				
	Q3, k / m^2	Q4, k /m^2		
а	-21.5	19		
b	-15	16		
group2				
	Q3, k / m^2	Q4, k /m^2		
С	-10	8		
d	10	-12		
group3				
	Q3, k / m^2	Q4, k /m^2		
е	-10	8		
f	-21.5	19		
group4				
	Q3, k / m^2	Q4, k /m^2		
е	-25	33		
f	-22	38		
group5				
	Q3, k / m^2	Q4, k /m^2		
е	-45	43		
f	-24	40		

Condition number for each group

$$\kappa(\Gamma) \coloneqq \|\Gamma\|_2 \|\Gamma^{\dagger}\|_2, \qquad \Gamma^{\dagger} = (\Gamma^T \Gamma)^{-1} \Gamma^T$$

$$\|\Gamma\|_{2} \coloneqq \sqrt{\sum_{i=1}^{n} \sum_{j=1}^{k} (\Gamma_{i,j})^{2}}, \qquad \|\Gamma^{\dagger}\|_{2} \coloneqq \sqrt{\sum_{i=1}^{k} \sum_{j=1}^{n} (\Gamma_{i,j}^{\dagger})^{2}}$$



Note: In simulation, for rotated slit is equavilent to rotate the beam in same angle. Beam rotated and then get  $\langle xx \rangle$ ,  $\langle xx' \rangle$  and  $\langle x'x' \rangle$  in rotated coordinate.







# **Group 5 quads settings beam transport**





## **Summary and out look**

- Quads plus roated slit scan (slit+grid) now used for heavy ions Linac 4D emittance measruement and confirmed it works.
- Quads plus roated slit scan is easy to implement into PITZ and also the fastscan can be used. → more convenient and data processing consistent with slit scan measurement (standard emittance measurement).
- Simulation studies are done→show possible to get approxiate results with good quads settings (when condion numbet k close to 1).
- One more slit needed to install at EMSY2 with 45 degree rotation.
- Try with experiment to investigate coupling terms measurement and RMS emittance minimization with gun quads by this mehod.



# **Quads settings ?**

- do initial emittance measurements at 0° and 90°, i.e. in ver. and hor. plane
- backtransform to quad doublet entrance
- vary in brute force way two quad strengths Q1, Q2 and check for (analytically!) :
  - 100% transmission
  - reasonable beam size at slit
  - reasonable beam size at grid
- store settings Q1, Q2 that passed this test
- build all possible pairs of settings, check their K
- pick pair with lowest *K* for measurements:
  - 1. slit at 0° with setting Q1a, Q2a
  - 2. slit at  $\theta$  with setting Q1<sup>a</sup>, Q2<sup>a</sup>
  - 3. slit at 90° with setting Q1ª, Q2ª
  - 4. slit at θ with setting Q1<sup>b</sup>, Q2<sup>b</sup>



\*L. Groening / GSI Darmstadt, 4d Phase Space Measurements 9/29/2017

