Interaction of Ultrarelativistic Electron and Proton Bunches with Dense Plasmas

Saltanat P. Sadykova¹, Anri A. Rukhadze²

Humboldt-Universität zu Berlin, Institut für Physik, Germany ¹

Prokhorov General Physics Institute of RAS, Moscow, Russia²

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Motivation

- Small-scale accelerators (several meters in length): plasma wakefield accelerators
- In 1949 A. I. Akhiezer, Ya. B. Fainberg¹ proposed to employ the nonrelativistic electron bunches for generation of high plasma wakefields
- In 1956 G. I. Budker, V. I. Veksler and Ia. B. Fainberg ² proposed to **accelerate the charged particles in a plasma** medium using collective plasma fields
- In 1961 A. A. Rukhadze showed that the **relativistic** electron bunches can generate plasma waves with high relativistic phase velocity ³
- Later on, other acceleration schemes were proposed: Laser-plasma wakefield acceleration, time-shifted sequence of injected into a cold plasma electron bunches
- In the work ⁴ of Caldwell et al., Nature Phys., 2009 there was considered a <u>possibility of the **proton-drive**</u>n **plasma wakefield acceleration**.

¹A. I. Ahiezer, Ya. B. Fainberg, Doklady Akademii Nauk SSSR, **69**, 555 (1949).

²G. I. Budker, V. I. Veksler, Ia. B. Fainberg *Proc. CERN Symp. on High Energy Accelerators and Pion Physics*, Vol. 1 (Geneva: CERN, 1956), p. 68, p.80, p. 84.

³A. A: Rukhadze, Zhurnal Tekhnicheskoj Fiziki, **31**, Nr.10, 1236 (1961).

⁴Caldwell, A., Lotov K., Pukhov, A. and Simon, F., Nature Physics, 5, (2009).

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- Generation and Amplification of a Plasma Wakefield with the help of Ultrarelativistic e⁻ bunches
 - Let the ultrarelativistic monoenergetic e^- bunch $(\gamma = 1/\sqrt{1 u^2/c^2} >> 1)$ be injected into the cold plasma at $n_b << n_p$, $n_b - e^-$ bunch, the bunch generates the plane wake plasma wave $\vec{E} = \vec{E}_0 \exp(-i\omega t + i\vec{k}\cdot\vec{r})$;
 - Consider the case when $Z||\vec{u}, \vec{u}$ bunch velocity;

Dispersion relation for a plasma $+e^-$ -bunch system in a lab. frame of ref. ³

$$(k^{2}c^{2} - \omega^{2} + \omega_{p}^{2} + \omega_{b}^{2}\gamma^{-1})\left(1 - \frac{\omega_{p}^{2}}{\omega^{2}} - \frac{\omega_{b}^{2}\gamma^{-3}}{(\omega - k_{z}u)^{2}}\right) - \frac{k_{\perp}^{2}u^{2}}{\omega^{2}}\frac{\omega_{p}^{2}\omega_{b}^{2}\gamma^{-1}}{(\omega - k_{z}u)^{2}} = 0, \quad (1)$$

where $\omega_{b,p}^2 = 4\pi e^2 n_{b,p}/m$, $k_z ||\vec{u}|$, $k_z \sim 1/R_0$, R_0 - bunch radius, k_{\perp} - transverse coordinate.

³A.F. Alexandrov, L.S. Bogdankevich, A.A. Rukhadze, *Principles of Plasma Electrodynamics* (Springer, Heidelberg, 1984), pp. 167-170.

• The general solution $\omega(\vec{k})$ of (1) with $(\operatorname{Im}\omega>0)$, $\gamma^2>>1$:

$$\omega = k_z u(1+\delta) = \omega_p (1+\delta)$$

$$\delta = \frac{-1+i\sqrt{3}}{2} \left(\frac{n_b}{2n_p} \frac{1}{\gamma}\right)^{1/3} \left(1 - \frac{u^2}{c^2} \frac{\omega_p^2}{\omega_p^2 + k_\perp^2 u^2}\right)^{1/3}.$$
 (2)

- Equation (2) shows that at the **Cherenkov resonance** $\omega \approx k_z u \approx \omega_p$ and $(\text{Im } \omega = \text{Im } \delta > 0)$ the oscillations are unstable and $\text{Im } \delta$ (increment) is the highest;
- From Eq. (2) follows that at ${\rm Re}\,\delta < 0 \Rightarrow u > \omega/k_z.$
- A . In a case of $\ensuremath{\mathsf{dense}}$ plasma when

$$\omega_p^2 >> k_\perp^2 u^2 \sim u^2 / R_0^2 \tag{3}$$

$$\delta = \frac{-1 + i\sqrt{3}}{2} \left(\frac{n_b}{2n_p}\right)^{1/3} \frac{1}{\gamma}.$$
(4)

 ${\sf B}$. In a case of ${\it rare\ plasma}$ when the inverse to (3) inequality is satisfied

$$\delta = \frac{-1 + i\sqrt{3}}{2} \left(\frac{n_b}{2n_p}\frac{1}{\gamma}\right)^{1/3}.$$
(5)

• For the development of instability and **plasma wake growth** the following constraint for a plasma length must be satisfied:

$$L > \frac{u}{\delta\omega_p} \tag{6}$$

being at the same time limited due to the bunch divergence to:

$$L < \frac{u\sqrt{\gamma}}{\omega_b} = \frac{u\sqrt{\gamma}}{(n_b/n_p)^{1/2}\omega_p},$$

here δ (Im δ).

• In accordance with Lorentz transformations the **speed of bunch electrons** in the wake frame of reference will be

$$u_1 = -\frac{u\delta\gamma^2}{1 - \frac{2u^2}{c^2}\delta\gamma^2} = -\frac{u\delta\gamma^2}{1 - 2\delta(\gamma^2 - 1)},\tag{7}$$

which remains relativistic!, here the real part of δ (Re δ) is considered. In the relativistic limit when $|\delta|\gamma^2 << 1 \Rightarrow u_1 \approx -u\delta\gamma^2 << u$, whereas in the ultrarelativistic limit $|\delta|\gamma^2 >> 1 \Rightarrow u_1 \approx u/2$.

• Saturation amplitude of the plasma wake potential



here $\Phi_0 \gamma$ is measured in the wake frame of reference.

⁴A. A. Rukhadze, S. P. Sadykova, Phys. Rev. ST Accel. Beams 15, 041302 (2012); arXiv:1210.0610.

• Saturation amplitude of the electric wakefield

The relative energy density of the electric wakefield⁴

$$\frac{E_0^2}{8\pi n_p m c^2 \gamma} \simeq \frac{c^2}{u^2} \frac{1}{2\gamma^3} \left\{ \frac{1}{\sqrt{1 - \frac{u^2 \delta^2 \gamma^4}{c^2 (1 - 2\delta(\gamma^2 - 1))^2}}} - 1 \right\}^2.$$
(9)

- In the **relativistic limit** when $|\delta|\gamma^2 \ll 1 \Rightarrow$ the result obtained by *Kovtun*, *R. I. and Rukhadze*, *A. A., JETP* **58**, 1970 for dense plasma Eq. (4)
- In the ultrarelativistic limit when $|\delta|\gamma^2>>1$ \Rightarrow

$$\frac{e\Phi_0}{mc^2} \approx \frac{0.154}{\gamma}, \quad \frac{E_0^2}{8\pi n_p mc^2 \gamma} \simeq \frac{0.012}{\gamma^3}$$
 (10)

here Φ_{0} is measured in the laboratory frame of reference.



Figure: a) The relative stationary saturation amplitude of the plasma wake potential generated by the electron bunch Eq. (8) for a) dense (4) at $n_b = 2 \cdot 10^{12} cm^{-3}$, $n_p = 10^{16} cm^{-3}$, $\Phi_{0max} \simeq 770$ V, $E_{0max} \simeq 14.5$ M V/m at $\gamma_0 = 24$ and b) rare (5) plasmas at $n_b = 2 \cdot 10^{12} cm^{-3}$, $n_p = 6 \cdot 10^{12} cm^{-3}$, $\Phi_{0max} \simeq 15.5$ k V, $E_{0max} \simeq 7.2$ M V/m at $\gamma_0 = 2.6$. In a) and b) the relative stationary saturation amplitude in the ultrarelativistic limit (10) is presented for comparison.

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- Generation and Amplification of a Plasma Wakefield with the help of relativistic p⁺ bunches ⁴
 - Let the ultrarelativistic monoenergetic p^+ bunch ($\gamma = 1/\sqrt{1 u^2/c^2} >> 1$) be injected into the cold plasma at $n_{bi} << n_p$, $n_{bi^-} p^+$ -bunch, the bunch generates the plane wake plasma wave $\vec{E} = \vec{E}_0 \exp(-i\omega t + i\vec{k}\cdot\vec{r})$;
 - Consider the case when $Z||\vec{u}, \vec{u}$ the bunch velocity;

Dispersion relation for a plasma $+p^+$ -bunch system in a lab. frame of ref. ⁴

$$(k^{2}c^{2} - \omega^{2} + \omega_{p}^{2} + \omega_{bi}^{2}\gamma^{-1})\left(1 - \frac{\omega_{p}^{2}}{\omega^{2}} - \frac{\omega_{bi}^{2}\gamma^{-3}}{(\omega - k_{z}u)^{2}}\right) - \frac{k_{\perp}^{2}u^{2}}{\omega^{2}}\frac{\omega_{p}^{2}\omega_{bi}^{2}\gamma^{-1}}{(\omega - k_{z}u)^{2}} = 0,$$
(11)

where $\omega_{bi,p}^2 = 4\pi e^2 n_{bi,p} / \{M, m\}$, $k_z || \vec{u}$, $k_z \sim 1/R_0$, R_0 - the bunch radius, k_{\perp} - transverse coordinate.

⁴A. A. Rukhadze and S. P. Sadykova, Phys. Rev. ST Accel. Beams 15, 041302 (2012).

• The general solution $\omega(\vec{k})$ of (11) with $(\operatorname{Im} \omega > 0)$, $\gamma^2 >> 1$:

$$\omega = k_z u(1+\delta_1) = \omega_p (1+\delta_1)$$

$$\delta_1 = \frac{-1+i\sqrt{3}}{2} \left(\frac{n_{bi}}{2n_p} \frac{m}{M} \frac{1}{\gamma}\right)^{1/3} \left(1 - \frac{u^2}{c^2} \frac{\omega_p^2}{\omega_p^2 + k_\perp^2 u^2}\right)^{1/3}.$$
 (12)

- Equation (2) shows that at the **Cherenkov resonance** $\omega \approx k_z u \approx \omega_p$ and $(\text{Im } \omega = \text{Im } \delta_1 > 0)$ the oscillations are unstable and $\text{Im } \delta_1$ (increment) is the highest;
- From Eq. (2) follows that at $\operatorname{Re} \delta_1 < 0 \Rightarrow u > \omega/k_z$.
- A . In a case of **dense plasma** when $\omega_p^2 >> k_\perp^2 u^2 \sim u^2/R_0^2$ (Eq. (3)):
- Eq. (4) with $\delta \Rightarrow \delta_1$ and $n_b \Rightarrow n_{bi} \frac{m}{M}$
- B . In a case of rare plasma when the inverse to (3) inequality is satisfied :
- Eq. (5) with $\delta \Rightarrow \delta_1$ and $n_b \Rightarrow n_{bi} \frac{m}{M}$
- The constraint for a plasma length for the plasma wake growth:

$$L > \frac{u}{\delta_1 \omega_p} \tag{13}$$

Saturation amplitude of the plasma wake potential

The relative potential energy⁴

$$\frac{e\Phi_0}{Mc^2} = \frac{1}{\gamma} \left\{ \frac{1}{\sqrt{1 - \frac{u^2 \delta_1^2 \gamma^4}{c^2 (1 - 2\delta_1 (\gamma^2 - 1))^2}}} - 1 \right\}.$$
 (14)

• Saturation amplitude of the wake electric wakefield

The relative energy density of the electric wakefield⁴

$$\frac{E_0^2}{8\pi n_p M c^2 \gamma} \simeq \frac{c^2}{u^2} \frac{1}{2\gamma^3} \left\{ \frac{1}{\sqrt{1 - \frac{u^2 \delta_1^2 \gamma^4}{c^2 (1 - 2\delta_1 (\gamma^2 - 1))^2}}} - 1 \right\}^2.$$
 (15)

- In the ultrarelativistic limit when $|\delta_1|\gamma^2>>1$ \Rightarrow

$$\frac{e\Phi_0}{Mc^2} \approx \frac{0.154}{\gamma}, \quad \frac{E_0^2}{8\pi n_p Mc^2 \gamma} \simeq \frac{0.012}{\gamma^3}.$$
 (16)

⁴A. A. Rukhadze and S. P. Sadykova, Phys. Rev. ST Accel. Beams 15, 041302 (2012).



Figure: a) The relative stationary saturation amplitude of the plasma wake potential generated by the proton bunch Eq. (14) for a) dense (4) at $n_b = 2 \cdot 10^{12} cm^{-3}$, $n_p = 10^{16} cm^{-3}$, $\Phi_{0max} \simeq 0.1$ M V, $E_{0max} \simeq 50$ M V/m at $\gamma_0 = 289$ and b) rare (5) plasmas at $n_b = 2 \cdot 10^{12} cm^{-3}$, $n_p = 6 \cdot 10^{12} cm^{-3}$, $\Phi_{0max} \simeq 5.74$ M V, $E_{0max} \simeq 63$ M V/m at $\gamma_0 = 11.6$. In a) and b) the relative stationary saturation amplitude in the ultrarelativistic limit (16) is presented for comparison.

b)

The maximum magnitudes of the wakefield and wake potential for dense plasma

$$\frac{e\Phi_{0\,max}}{\{M,\,m\}c^2} = -0.06576\alpha, \quad \frac{E_{0\,max}}{\sqrt{\{M,\,m\}c^2}} = -0.06576\alpha\sqrt{4\pi n_p} \tag{17}$$

The maximum magnitudes of the wakefield and wake potential for rare plasma

$$\frac{e\Phi_{0\,max}}{\{M,\,m\}c^2} = -0.0618\alpha^{3/5}, \quad \frac{E_{0\,max}}{\sqrt{\{M,\,m\}c^2}} = -0.0618\alpha^{3/5}\sqrt{4\pi n_p}, \quad (18)$$

where $\alpha = \frac{-1}{2} \left(\frac{n_{bi}}{2n_p}\frac{m}{M}\right)^{1/3}$ for **proton** bunch and $\alpha = \frac{-1}{2} \left(\frac{n_b}{2n_p}\right)^{1/3}$ for **electron** bunch.

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Conclusion

- In the present work for the first time the analytical problem of interaction of **ultrarelativistic electron and proton bunches with plasmas** has been solved. These bunches remain relativistic in the frame of reference of generated by these bunches wakes compared to those considered earlier which were nonrelativistic.
- The wake amplitude growth produced by the bunches gets saturated with an increase of bunch energy at a not quite high level. The saturation amplitude of the electric wakefield possesses a maximum in dependence on the relativity factor γ and should be tuned in accordance with the constraints for Cherenkov resonance in either dense or rare plasmas, plasma and bunch densities. This amplitude can be increased by increasing the plasma and bunch densities. $E_{0max} \simeq 14.5 \text{ M V/m}$ produced by the electron bunch at $\gamma_0 = 24$, $n_p = 10^{16} cm^{-3}$ (dense), whereas that produced by the **proton bunch** is $E_{0max} \simeq 63 \text{ MV/m}$ at $\gamma_0 = 11.6$, $n_p = 6 \cdot 10^{12} \text{ cm}^{-3}$ (rare). These longitudinal magnitudes are much less than the transverse one⁴ forming the longitudinal Poynting vector which could be of the same order as that of the contemporary quite powerful pulse lasers (10^{15} W/cm^2) .

⁴S. P. Sadykova, A. A. Rukhadze et al., arXiv:1210.0610.

• Nonlinear plasma Langmuir wave is unstable and it breaks down when

$$e\Phi_0>mc^2\sqrt{\gamma}_w$$
,

where $\gamma_w = 1/\sqrt{1 - u_{ph}^2/c^2}$ and u_{ph} is the wave phase velocity. In our case $u_{ph} = u$ and $\gamma_w = \gamma$. The resonance Cherenkov instabilities arise when the plasma wake amplitudes are much less than the breakdown thresholds.

• For a better and detailed research we are planing to run simulations of the considered phenomena using the "KARAT" code ⁵

⁵Collaboration with Prof. Rukhadze,A.A. and Tarakanov, V.P., authors of the code, (**Prokhorov General Physics Institute of RAS**); V. P. Tarakanov, *User's Manual for Code KARAT* (USA, VA: Berkeley Research Associates Inc., 1992).

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Amplification of a surface electromagnetic wave by running over plasma surface ultrarelativistic electron bunch as a new scheme for generation of Terahertz radiation

• The surface wave is a wave of *E*-type with the nonzero field components E_x , E_z , B_y ³



³S. P.Sadykova, A. A. Rukhadze, et al. archive: arXiv:1210.0610.

Amplification of a surface electromagnetic wave by running over plasma surface ultrarelativistic electron bunch as a new scheme for generation of Terahertz radiation

- Estimated parameters
- $f_0 \simeq 0.5 \cdot 10^{12}$ Hz, $\omega_0 = 3 \cdot 10^{12}$ s⁻¹ Since $\omega_0 = \omega_p / \gamma$ then the plasma and bunch parameters can be chosen respectively.
- Electron energy of 50 MeV ($\gamma = 100$) and current density of 500 A/cm⁻² ($n_b = 10^{11}$ cm⁻³, net current I = 3 A).
- The plasma frequency should be of order $\omega_p = \gamma \omega_0 = 3 \cdot 10^{14} \text{ s}^{-1}$ and $n_p \simeq 3 \cdot 10^{19} \text{ cm}^{-3}$, atmospheric pressure.
- Correspondingly, the time increment will be $\omega_0 \delta'' \simeq 2 \cdot 10^8 \text{ s}^{-1} << \omega_0$ at a = 0.1 and the amplification coefficient $\delta'' k_z \simeq 1/L \simeq 7.4 \cdot 10^{-3} \text{ cm}^{-1}$ leading to the system length of 1.34 m where the plasma radius is $r_p = 0.1$ cm and beam radius is $r_b = 0.2 \text{ cm}$; $c/\omega_p = 10^{-4} << r_p$, i.e. the plasma surface can be considered as a flat one; the condition $\gamma^2 \delta' \simeq 3 > 1$ is satisfied.
- The SEW radiation Poynting vector $|P| = c/4\pi (E_x^2) \simeq 6 \cdot 10^{11} \text{ W/cm}^{-2}$ and the fields - $|E_x| \simeq |B_y| \simeq 10^7 \text{ V/cm} = 10^9 \text{ V/m}.$

Thank you very much for your Attention !